

1a. La Francis Rd. at US 11 - NE Corner


1c. La Francis Rd. (paved) - Southbound


1b. La Francis Rd. at US 11 - NW Corner


1d. La Francis Rd. (unpaved) - Southbound

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2a. La Francis Rd. at Clinton Mills Rd. - SW Corner


2c. Rogers Rd. (East) at Clinton Mills Rd.


2b. La Francis Rd. at Clinton Mills Rd. - SE Corner


2d. Rogers Rd. (West) - Eastbound

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3a. Rogers Rd. at Clinton Mills Rd. - NE Corner


3c. Soucia Rd. - Northbound


3b. Rogers Rd. at Clinton Mills Rd. - NW Corner


3d. Soucia Rd. - Steel grate bridge


4a. Colgan Rd. - Northbound


4c. Colgan Rd. at Clinton Mills Rd. - NW Corner


4b. Colgan Rd. at Clinton Mills Rd. - NE Corner


4d. Patnode Rd. (South) at SR 190

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5a. Patnode Rd. (South) - Southbound


5c. Patnode Rd. (North) at Gagnier Rd. - NE Corner


5b. Patnode Rd. (South) at Gagnier Rd. - Southbound


5d. Patnode Rd. at Gagnier Rd. - NW Corner

Group, me.
Engineers
Scientists
Consultants

Clinton County, New York


6a. Patnode Rd. (North) - Southbound


6c. Patnode Rd. (North) at US 11 - SW Corner


6b. Patnode Rd. (North) - Southbound


6d. Patnode Rd. (North) at US 11 - SE Corner

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7a. Swamp Rd. - Eastbound


7c. Swamp Rd. at SR 189 - NE Corner


7b. Swamp Rd. at SR 189 - SE Corner


7d. Swamp Rd. at SR 189 - Eastbound

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8a. Lagree Rd. at SR 189 - NW Corner


8c. Lagree Rd. - Eastbound


8b. Lagree Rd. at SR 189 - SW Corner


8d. Lagree Rd. - Eastbound


9a. SR 189 at US 11- NE Corner


9c. SR 189 - New Overlay - Northbound


9b. SR 189 at US 11 - NW Corner


9d. Merchia Rd. at SR 189 - NW Corner

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10a. Merchia Rd. at SR 189 - SW Corner


10c. Merchia Rd. at J ones Rd. - NE Corner


10b. Merchia Rd. at J ones Rd. - SW Corner


10d. Merchia Rd. at J ones Rd. - SE Corner

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TRANSPORTATION ASSESSMENT Clinton County, New York

## Local Road Photos

Photo Sheet
Source: Fieldwork 10/12/05


11a. Looby Rd. at Whalen Rd. - Gravel By-pass


11c. Whalen Rd. - Northbound


11b. Whalen Rd. at Looby Rd. with Gravel By-pass


11d. Whalen Rd. at Looby Rd. - NW Corner

MARBLE RIVER WIND PROJ ECT TRANSPORTATION ASSESSMENT Clinton County, New York


12a. Lagree Rd. - Eastbound


12c. Looby Rd. at US 11 - Southbound


12b. US 11 at Looby Rd. / Lagree Rd.


12d. Looby Rd. at US 11 - Southbound

MARBLE RIVER WIND PROJ ECT
TRANSPORTATION ASSESSMENT Clinton County, New York


13a. Looby Rd. at SR 189 - NW Corner


13c. Clinton Mills Rd. at SR 189 - SE Corner


13b. Looby Rd. at SR 189 - Churubusco


13d. Looby Rd. at SR 189 - SW Corner


14a. Looby Rd. - Westbound


14c. SR 189 - Canadian Border - Northbound


14b. SR 189 - New Overlay - Southbound


14d. Liberty Pole Rd. at SR 189 - Westbound


Group, me.
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MARBLE RIVER WIND PROJ ECT TRANSPORTATION ASSESSMENT Clinton County, New York

Local Road Photos
Photo Sheet


15a. Campbell Rd. at US 11 - Southbound


15c. Campbell Rd. at US 11 - SE Corner


15b. Campbell Rd. at US 11 - SW Corner


15d. Campbell Rd. - Northbound

MARBLE RIVER WIND PROJ ECT TRANSPORTATION ASSESSMENT Clinton County, New York

## Local Road Photos

Photo Sheet
Source: Fieldwork 10/12/05


16a. Number 5 Rd. - Westbound


16c. Number 5 Rd. at Campbell Rd. - SW Corner


16b. Number 5 Rd. at Campbell Rd. - NW Corner


16d. Bohen Rd. at SR 190 - Northbound


17a. Bohen Rd. at SR 190 - NE Corner


17c. Gagnier Rd. at Campbell - SE Corner


17b. Bohen Rd. at SR 190 - NW Corner


17d. Gagnier Rd. at Campbell - NE Corner

Ground me.
Engineers
Scientists
Consultants


18a. Gagnier Rd. - Westbound


18c. Gagnier Rd. at US 11 - NW Corner


18b. Gagnier Rd. at US 11 - Westbound


18d. Gagnier Rd. at US 11 - SW Corner

## Appendix A

Truck Turning Radius/ Insufficient Roadway Geometry

## Appendix B

Roadway Design Inventory Cover Over Structure

Appendix C

Local Road Photos

## Appendix D

NYSDOT Sample Permits
IMAGE: H:\A456-MarbleRiver\Scans\BladeTruck.tif
IMAGE: H:\A456-MarbleRiver\Scans\LoneStar_Page_1.tif
IMAGE: H:\A456-MarbleRiver\Scans\LoneStar_Poge_2.tif


[^0]MARBLE RIVER WIND PROJECT
Transportation Assessment Clinton County, New York

Figure

MARBLE RIVER WIND PROJECT
Transportation Assessment Clinton County, New York

MARBLE RIVER WIND PROJECT
Transportation Assessment Clinton County, New York

APPENDIX A:
Intersection Improvements Downstream / Corner Widening Local \& County Roads

MARBLE RIVER WIND PROJECT
Transportation Assessment Clinton County, New York

APPENDIX A:
Intersection Improvements Upstream / Corner / Downstream Widening: Local \& County Roads


Figure

$\qquad$

Clinton County, New York
APPENDIX A:

Consultants

Figure


APPENDIX A:
MARBLE RIVER WIND PROJECT
Transportation Assessment Clinton County, New York

Intersection Improvements Upstream / Corner / Downstream Widening: Local \& County Roads


APPENDIX A:

MARBLE RIVER WIND PROJECT
Transportation Assessment Clinton County, New York

Figure

MARBLE RIVER WIND PROJECT Transportation Assessment Clinton County, New York

Figure
Roadway Drainage Inventory / Cover over Structure

LEGEND:
CPP

1. Dead End. Not recommended for use without constructing truck turning area or getting permission to access
private property beyond 1.6 miles.
Roadway Drainage Inventory / Cover over Structure

LEGEND:
$\begin{array}{ll}\text { CMP } & \text { CORRUGATED METAL PIPE } \\ \text { CONC } & \text { CONCRETE }\end{array}$
COMMENTS:
2. State highway route to Canadian border.
3. Two major bridges at north end between Frontier Rd. and Canadian border.
4. Some closed drainage observed north of Looby Rd.
Roadway Drainage Inventory / Cover over Structure

$\begin{array}{ll}\text { LEGEND: } & \\ \text { CPP } & \text { CORUGATED PLASTIC PIPE } \\ \text { CMP } & \text { CORRUGATED METAL PIPE } \\ \text { CONC } & \text { CONCRETE } \\ \text { COMMENTS: } & \\ \text { 1. } 2 \text { culverts with } 18 \text { " cover or less. }\end{array}$
Roadway Drainage Inventory / Cover over Structure

LEGEND:
RCP
COMMENTS:
5. 2 culverts with $18^{\prime \prime}$ cover or less.
Roadway Drainage Inventory / Cover over Structure

$\begin{array}{ll}\text { LEGEND: } & \\ \text { CPP } & \text { CORRUGATED PLASTIC PIPE } \\ \text { CMP } & \text { CORRUGATED METAL PIPE }\end{array}$
COMMENTS:
6. 3 culverts with 18 " cover or less.
Roadway Drainage Inventory / Cover over Structure

| LAGREE RD - TOWN RD |  |  |  | NOTES |
| :---: | :---: | :---: | :---: | :---: |
| EAST FROM US 11 | EXISTING DRAINAGE |  |  |  |
| MILE | MATERIAL | PIPE | COVER (IN) |  |
|  |  | D (IN) | cover (IN) |  |
| 0.00 |  |  |  | 12.5' WIDE PAVED |
| 0.10 |  |  |  | 12.5' WIDE GRAVEL |
| 0.50 | CMP | 15 | $<3$ |  |
| 1.10 | CMP | 18 | 10 |  |
| 1.11 | CMP | 21 | 10 | 35"X21" ELLIPTICAL |
| 1.60 | CMP | 36 | 18 |  |
| END |  |  |  | SR 189 |

COMMENTS:

1. 4 culverts

LEGEND:
CORRUGATED METAL PIPE
CORRUGATED PLASTIC PIPE
2. Dead End. Do not recommended use without constructing truck turning area or getting permission for access onto private property 2. Dirt road in poor condition.
Roadway Drainage Inventory / Cover over Structure

$\begin{array}{ll}\text { RCP } & \text { REINFORCED CONCRETE PIPE } \\ \text { CMP } & \text { CORRUGATED METAL PIPE }\end{array}$
COMMENTS:
3. 1 culvert with less than 18 " cover.
4. Paved road condition very good.
Roadway Drainage Inventory / Cover over Structure

LEGEND:
RCP
CPP
CMP

## COMMENTS:

REINFORCED CONGRETE PIPE
CORRUGATED PLASTIC PIPE
CORRUGATED METAL PIPE
2. Pavidr 3. Gravel road condition poor between Gagnier Rd. and SR 190.
Roadway Drainage Inventory / Cover over Structure

| CLINTON MILLS RD | EXISTING DRAINAGE |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MILE | MATERIAL | PIPE | BOX |  | COVER (IN) | NOTES |
|  |  | D (IN) | SPAN (IN) | HEIGHT (IN) |  |  |
| 0.00 |  |  |  |  |  | $18^{\prime}$ WIDE PAVED |
| 0.40 |  |  |  |  |  | END PAVED - BEGIN 8' GRAVEL - POOR |
| END |  |  |  |  |  | DIRT PATH |
|  |  |  |  |  |  |  |
| SOUCHIA RD - TOWN RD |  |  |  |  |  |  |
| NORTH FROM |  |  |  |  |  |  |
| CLINTON MILLS RD |  |  | ISTING DR | AINAGE |  |  |
| MIL E | MATERIAL | PIPE |  | 30X | COVER (IN) | NOTES |
| MILE | MATERIAL | D (IN) | SPAN (IN) | HEIGHT (IN) | COVER (IN) | NOTES |
| 0.00 |  |  |  |  |  | 10' DIRT - POSTED NO MAINT DEC.- APRIL |
| 0.00 | STONE |  | 153 |  |  | 11.5' LONG - STEEL GRATE BRIDGE (POOR) |
| 0.70 |  |  |  |  |  | POSTED PRIVATE PROPERTY |
| END |  |  |  |  |  |  |


| ROGERS RD - TOWN RD |
| :---: |
| $\begin{array}{l}\text { EAST FROM } \\ \text { CLINTON MILLS RD }\end{array}$ |
| MILE |
| 0.00 |
| 0.05 |
| 0.10 |
| 0.10 |
| 0.20 |
| 0.60 |
| END |

COMMENTS:

1. Colgan is a dead end. Check property ownership for access beyond paved section ( 0.4 miles).
Truck turning area may be necessary at end of paved road if access is not allowed beyond 0.4 miles.
2. Soucia Rd. has an unsafe steel grate bridge at the beginning. Do not use Soucia for access.
3. Rogers Rd. is becomes an $8^{\prime}$ wide road with very old broken pavement. It could be good access to a "laydown area" because it loops back to Clinton Mills Rd. However, it would need major tree clearing at the edge of road if used for "laydown". Check property ownership along Rogers Rd.
Roadway Drainage Inventory / Cover over Structure

| EAST FROM SR 189 | EXISTING DRAINAGE |  |  |  |  | NOTES |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MILE | MATERIAL | PIPE | BOX |  | COVER (IN) |  |
|  |  | D (IN) | SPAN (IN) | HEIGHT (IN) |  |  |
| 0.00 |  |  |  |  |  | $32^{\prime}$ WIDE PAVED |
| 0.60 | CPP | 36 |  |  | 33 |  |
| 1.10 | CPP | 24 |  |  | 24 |  |
| 1.40 | CPP | 36 |  |  | 18 | TWIN CULVERTS |
| 1.40 | CMP | 30 |  |  | 18 |  |
| 1.50 |  |  |  |  |  | 20' WIDE PAVED |
| 1.50 | RCP | 24 |  |  | 60 |  |
| 1.70 | RCP | 24 |  |  | 72 |  |
| 2.10 | CPP | 36 |  |  | 18 |  |
| 2.30 | CMP | 18 |  |  | 66 |  |
| 2.80 | CPP | 24 |  |  | 36 |  |
| 2.90 | CPP | 30 |  |  | 20 |  |
| 3.50 | CMP | 18 |  |  | $>96$ |  |
| 3.70 | CPP | 24 |  |  | 32 |  |
| 4.00 | RCP |  | 144 | 54 | 20 | CONCRETE BRIDGE |
| 4.40 | CPP | 36 |  |  | 18 | TWIN 18" CULVERTS |
| 4.40 | CPP | 36 |  |  | 18 |  |
| 4.70 | CPP | 36 |  |  | 48 |  |
| 5.20 | CPP | 36 |  |  | 24 |  |
| 5.30 |  |  |  |  |  | BULL RUN RD INTERSECTION |
| 5.60 | CPP | 24 |  |  | 60 |  |
| 5.80 | CPP | 24 |  |  | 36 |  |
| 6.00 | CPP | 15 |  |  | 24 |  |
| 6.05 | CPP | 18 |  |  | 26 |  |
| 6.10 | CPP | 24 |  |  | 26 |  |
| 6.20 |  |  |  |  |  | BEGIN CANAAN RD |
| END |  |  |  |  |  |  |

LEGEND:
CPP
RCP
CMP
CORRUGATED PLASTIC PIPE REINFORCED CONCRETE PIP CORRUGATED METAL PIPE
2. Clinton Mills Rd. is the primary East-West road through the project area. Access to specific WGT sites
will likely be from this road.
Roadway Drainage Inventory / Cover over Structure

| TON M | MILE | EXISTING DRAINAGE |  |  |  |  | NOTES |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MILE |  | MATERIAL | PIPE |  | OX | COVER (IN) |  |
|  |  |  | D (IN) | SPAN (IN) | HEIGHT (IN) | COVER (IN) |  |
| 0.00 | 4.15 |  |  |  |  |  | CLINTON MILLS RD |
| 0.50 | 3.65 | IRON | 30 |  |  | $<6$ | ROLLING HILLY ROAD |
| 1.20 | 2.95 |  |  |  |  |  | POPLAR HILL ROAD (POSTED PRIVATE) |
| 1.90 | 2.25 |  |  |  |  |  | STEEP HILL |
| 2.00 | 2.15 |  |  |  |  |  | $12^{\prime}$ WIDE PAVED - POOR CONDITION |
| 2.40 | 1.75 | CMP | 21 |  |  | 12 |  |
| 2.80 | 1.35 |  |  |  |  |  | $16^{\prime}$ WIDE PAVED |
| 2.90 | 1.25 | CPP | 36 |  |  | 30 |  |
| 3.10 | 1.05 | CPP | 18 |  |  | 28 |  |
| 3.20 | 0.95 | CPP | 15 |  |  | 28 |  |
| 3.40 | 0.75 | CMP | 48 |  |  | 24 |  |
| 3.40 | 0.75 | RCP | 42 |  |  | 36 |  |
| 3.40 | 0.75 | RCP | 42 |  |  | 36 |  |
| 3.60 | 0.55 | CMP | 15 |  |  | 16 |  |
| 3.65 | 0.50 | CMP | 18 |  |  | 15 |  |
| 3.66 | 0.49 | CPP | 18 |  |  | 12 |  |
| 4.00 | 0.15 | CMP | 15 |  |  | 18 |  |
| 4.10 | 0.05 | RCP | 15 |  |  | 18 |  |
| 4.15 | 0.00 |  |  |  |  |  | 18' WIDE PAVED |
| 4.15 | 0.00 |  |  | 42 | 60 | 28 | CONC. CULVERT UNDER US 11, EAST SIDE OF LAFRANCIS |
| END | START |  |  |  |  |  | US 11 |

LEGEND:
CPP
RCP
CMP REINFORCED CONCRETE PIPE CORRUGATED METAL PIPE
COMMENTS:
2. 4-mile paved road in good condtion running North-South. Look for potential laydown areas along this road.
Roadway Drainage Inventory / Cover over Structure
BOHEN/CAMPBELL RD - COUNTY RD

| NORTH FROM SR 190 | EXISTING DRAINAGE |  |  |  |  | NOTES |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MILE | MATERIAL | PIPE |  | OX | COVER (IN) |  |
|  |  | D (IN) | SPAN (IN) | HEIGHT (IN) |  |  |
| 0.00 |  |  |  |  |  | 18' WIDE PAVED - GOOD CONDITION |
| 0.30 | CPP | 30 |  |  | 24 |  |
| 0.80 | CPP | 30 |  |  | 30 |  |
| 0.85 | CPP | 30 |  |  | 30 |  |
| 1.00 | RCP | 36 |  |  | $>72$ |  |
| 1.10 |  |  |  |  |  | NUMBER 5 RD/END BOHEN RD/BEGIN CAMPBELL RD |
| 1.20 | CPP | 15 |  |  | 18 |  |
| 1.70 | CPP | 30 |  |  | 18 |  |
| 2.00 | CPP | 15 |  |  | 24 |  |
| 2.10 |  |  |  |  |  | GAGNIER RD INTERSECTION |
| 2.20 |  |  |  |  |  | 20' WIDE PAVED |
| 2.70 |  |  | 120 | 60 | 20 | CONCRETE BRIDGE |
| 2.75 | CPP | 36 |  |  | 24 |  |
| 2.90 | CONC | 24 |  |  | 12 | W/ $8^{\prime}$ LONG CONC. HEADWALL |
| 3.10 |  |  |  |  |  | LAMB RD INTERSECTION |
| 3.20 | CPP | 18 |  |  | 15 |  |
| 3.90 |  |  | 180 | 72 | 20 | CONCRETE BRIDGE |
| 4.10 | CPP | 36 |  |  | 36 |  |
| 4.25 |  |  |  |  |  | US 11 |
| END |  |  |  |  |  |  |

LEGEND: $\begin{array}{ll}\text { CPP } & \text { CORRUGATED PLASTIC PIPE } \\ \text { RCP } & \text { REINFORCED CONCRETE PIPE } \\ \text { CONC } & \text { CONCRETE }\end{array}$ $\begin{array}{ll}\text { CPP } & \text { CORRUGATED PLASTIC PIPE } \\ \text { RCP } & \text { REINFORCED CONCRETE PIPE } \\ \text { CONC } & \text { CONCRETE }\end{array}$ $\begin{array}{ll}\text { CPP } & \text { CORRUGATED PLASTIC PIPE } \\ \text { RCP } & \text { REINFORCED CONCRETE PIPE } \\ \text { CONC } & \text { CONCRETE }\end{array}$
2. Very good paved road running North-South along the west project boundary.
3. Campbell Rd is a county road from US 11 south to Gagnier Rd.
COMMENTS:

1. 4 culverts with less than 18 " cover. $\begin{array}{ll}\text { CPP } & \text { CORRUGATED PLASTIC PIPE } \\ \text { RCP } & \text { REINFORCED CONCRETE PIPE } \\ \text { CONC } & \text { CONCRETE }\end{array}$
Roadway Drainage Inventory / Cover over Structure

$\begin{array}{ll}\text { LEGEND: } & \\ \text { CPP } & \text { CORRUGATED PLASTIC PIPE } \\ \text { RCP } & \text { REINFORCED CONCRETE PIPE } \\ \text { CMP } & \text { CORRUGATED METAL PIPE } \\ \\ \text { COMMENTS: } \\ \text { 1. } 3 \text { culverts with less than } 18 \text { " cover. } \\ \text { 2. Paved road very good condition. }\end{array}$
Roadway Drainage Inventory / Cover over Structure

LEGEND:
CPP
COMMENTS:
2. No apparent cover over structures problems.
3. Very good paved road runnung East-West.




# Transportation Assessment Report 

MARBLE RIVER WIND PROJ ECT CLINTON COUNTY, NEW YORK

PREPARED FOR

PREPARED BY

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Project No. A456-005

November 9, 2005
Revised March 2006

## TABLE OF CONTENTS

SECTION ..... PAGE
1.0 INTRODUCTION ..... 1
2.0 OFF-SITE OS/OW ROUTE PLANNING ..... 2
2.1 OS/OW Truck Route No. 1 ..... 3
2.2 OS/OW Truck Route No. 2 ..... 7
2.3 Summary and Follow-up for Off-Site OS/OW Route Planning ..... 11
2.4 Recommendation ..... 12
3.0 ON-SITE OS/OW ROUTE PLANNING ..... 13
3.1 State Route (SR) 189 and Local Roads. ..... 14
3.2 Summary and Follow-up for On-Site OS/OW Route Planning ..... 17
3.3 Recommendation ..... 18
4.0 PROJ ECT CONTACT INFORMATION ..... 18
APPENDIX A: Truck Turning Radius / Insufficient Roadway Geometry (14 figures)
APPENDIX B: Roadway Drainage Inventory / Cover Over Structure (14 pages)
APPENDIX C: Local Road Photos (18 sheets)
APPENDIX D: NYSDOT SAMPLE PERMITS (48 pages)
MAP POCKET: MAP 1. Off-Site Highway Map For OS/OW Route Planning
MAP 2. On-Site Roadway Drainage Map For OS/OW Route Planning
MAP 3. On-Site Truck Circulation Map For OS/OW Route Planning

### 1.0 INTRODUCTION

ESS Group, Inc. (ESS) has prepared a preliminary assessment of the potential Over-Size/Over-Weight (OS/OW) truck routes for the Marble River Wind Project. This report describes and evaluates potential routing to the site, describes and evaluates local transportation routes within the site boundries, identifies potential transportation route deficiencies, outlines tasks required by outside agencies and contractors, and identifies NYSDOT permits required before the work can be performed. At the time this report was prepared, the general project area and some of the wind turbine locations were identified but specific wind turbine siting information was not available. The exact transportation routes to the turbine sites have not been identified and will be refined as turbine locations are finalized. Therefore, all local area road intersections that could potentially be used were visually inspected to identify possible construction vehicle maneuvering difficulties.

The Transportation Assessment included Off-Site highway OS/OW route planning from Interstate Route 87 (I-87) at Exit 34 (Keeseville, NY) to County Line Road in Clinton/Chateaugay, NY (referred to as OS/OW Route No.1); and from I-87 at Exit 42 (Champlain, NY) along US Route 11 (US 11) to County Line Road in Clinton/Chateaugay, NY (referred to as OS/OW Route No.2). The study also includes On-Site OS/OW route planning in the form of an inventory of the local area road network to determine the condition and safety of smaller local, low-volume roads to support the anticipated OS/OW cargo of wind turbine components carried by special heavy hauling transport trucks.

OS/OW Tractor-trailers for transporting wind turbine components are special heavy hauling vehicles of various lengths, widths and weights. The configurations of tractor and trailer are customized depending on the particular wind turbine part being transported. The following table is a sample of how OS/OW truck configurations match up with wind turbine parts:

## TABLE 1: OS/ OW TRUCK CONFI GURATIONS

| Wind <br> Turbine <br> Part | Approx <br> $\mathbf{\text { Wr }}$ <br> (lbs.) | Truck Description | Length <br> (ft.) | Height <br> (ft.) | Width <br> (ft.) | Est. Gross <br> Vehicle Wt. <br> (lbs.) |
| :--- | :---: | :--- | :---: | :---: | :---: | :---: |
| Rotor Blade | 13,200 | 5-Axle Double Drop Stretch | $\mathbf{1 6 0}^{*}$ | 14 | $11^{\prime}-6^{\prime \prime}$ | 45,000 |
| Base Tower | 120,000 | 6-Axle Stretch | 108 | $\mathbf{1 6}^{*}$ | $12^{\prime}-6^{\prime \prime}$ | 150,000 |
| Mid Tower | 137,000 | 6-Axle Stretch | 113 | 15 | $11^{\prime}-6^{\prime \prime}$ | 167,000 |
| Top Tower | 95,000 | 6-Axle Stretch | 113 | 15 | $11^{\prime} 6^{\prime \prime}$ | 125,000 |
| Nacelle | 155,000 | 11-Axle Low Profile | $\mathbf{1 6 0}^{*}$ | $\mathbf{1 6}^{*}$ | $11^{\prime}-6^{\prime \prime}$ | $\mathbf{2 0 0 , 0 0 0 *}$ |
| Hub Assembly | 46,000 | 8-Axle Stretch | 102 | 15 | $14^{\prime}-0^{\prime \prime}$ | 75,000 |

[^1]The planning parameters used to evaluate potential OS/OW routes included
a.) Traffic Safety (i.e. accident data);
b.) Traffic Capacity (i.e. traffic volume as a function of roadway capacity); and
c.) Structural Capacity (e.g. roadway width, roadway condition, drainage structures, bridges, intersection geometry and roadway alignment).

Based on the above parameters, potential mitigation measures were identified to address specific roadway deficiencies resulting from OS/OW wheel loads, OS/OW vertical clearance and OS/OW vehicles paths as the large trucks negotiate tight-radius turns over the trucking route. Table 2 presents the mitigation measures that may be applied to particular roadway deficiencies.

TABLE 2: POTENTI AL MITI GATION MEASURES

| OBSERVED ROADWAY DEFI CI ENCY | POTENTI AL MI TI GATI ON MEASURE |
| :--- | :--- |
| Insufficient Roadway Width | Widen roadway for OS/OW transport trucks |
| Poor Roadway Condition | Roadway reconstruction and/or regrading |
| Insufficient Cover Over Structure | Add cover or steel plates over structure |
| Poor Structure Condition | Replace structure |
| Inadequate Bridge Capacity | Use bridge plates or jumpers |
|  | Find alternative route |
| Low Overhead Wires | Coordinate raising wires with utility company |
| Insufficient Roadway Geometry | Construct large radius intersection geometry |
|  | Find alternate route |

### 2.0 OFF-SITE OS/ OW ROUTE PLANNI NG

Two OS/OW truck routes were identified and evaluated to determine the safest and most feasible route to the project area. Each route was videotaped and a mileage log was kept to record the locations of potential roadway deficiencies along the routes. Both routes are primarily flat, 2-lane highways with posted speed limits of 45-55 MPH with excellent visibility for stopping sight-distance. In general, no vertical curbing is present along the pavement edges to collect stormwater run-off. As a result, there are very few catch basins or drain manholes along the routes. All stormwater sheets off the paved surface and is either collected in drainage ditches and cross-culverts or simply infiltrates into the ground. MAP 1 shows these routes.

The easterly limit of the OS/OW route planning is I-87 (The Northway). It is assumed that $\mathrm{I}-87$ will be part of the approved truck route from the point of origin. This study only covers the final leg of the truck route from I-87 to the Clinton/Franklin county line.

### 2.1 OS/ OW Truck Route No. 1

Route No. 1 begins at the intersection on Route 9 N (Exit 34 off I-87, Keeseville) and State Route 22 (SR 22) North.

- Turn left on SR 22 North, 7.2 miles to
- Turn left on Military Turnpike (aka Old Turnpike Extension), 7.0 miles to SR 3 intersection
- Straight ahead on SR 190 West, 31.4 miles to
- County Line Road (Clinton/Franklin). END ROUTE.
- ROUTE LENGTH: 45.6 miles.

This route goes through the following eight communities in Clinton County: Ausable, Peru, Schuyler Falls, Plattsburgh, Beekmantown, Altona, Ellenburg and Clinton.

This route was suggested by the NYSDOT Regional Traffic and Safety Office as a truck route most likely to get NYSDOT approval.

## Traffic Safety:

Accident Data was requested from the NYSDOT (Region 7) Traffic \& Safety Office and reviewed for OS/OW Route No.1. The accident data collected covers a period of approximately 3 years (July 9, 1999 and May 31, 2002) as reported in the NYSDOT Safety Information Management System (SIMS) database.

OS/OW Route No. 1 is divided into three sections:

1) SR 22 North (mile 0.0) to Military Turnpike (mile 7.2)
2) Military Turnpike (mile 7.2) to SR 3 / SR 190 West (mile 14.2); and
3) SR 190 West (mile 14.2) to County Line Road (mile 45.6)

## SECTION 1 ACCIDENT DATA:

From July 9, 1999 to May 31, 2002, there were a total of 56 accidents according to the NYSDOT SIMS database. Of the 56 accidents, 27 occurred at intersections and 29 occurred at non-intersection locations (includes 1 collision with an animal). Of the 56 accidents reported, 1 resulted in a fatality and 31 resulted in an injury. The frequency of accidents involving personal injury is 4.4 accidents per mile for this 7.2 mile section.

## SECTION 2 ACCIDENT DATA:

From January 15, 2001 to May 31, 2002, there were a total of 33 accidents according to the NYSDOT SIMS database. Of the 33 accidents, 16 occurred at intersections and 17
occurred at non-intersection locations (includes 2 collisions with an animal). Of the 33 accidents reported, 3 resulted in a fatality and 13 resulted in an injury. The frequency of accidents involving personal injury is 2.3 accidents per mile for this 7.0 mile section.

## SECTION 3 ACCIDENT DATA:

From January 31, 2000 to May 31, 2002, there were a total of 391 accidents according to the NYSDOT SIMS database. Of the 391 accidents, 82 occurred at intersections and 309 occurred at non-intersection locations (includes 60 collisions with an animal). Of the 391 accidents reported, 6 resulted in a fatality and 105 resulted in an injury. The frequency of accidents involving personal injury is 3.5 accidents per mile for this 31.4 mile section.

TABLE 3: ACCIDENT SUMMARY

|  |  | ACCI DENTS** |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| SECTIO <br> $\mathbf{N}$ | LENGT <br> $\mathbf{H}$ | INTERSECTIO <br> $\mathbf{N}$ | NON- <br> INTERSECTI ON | FATAL | INJ URY |
| 1 | 7.2 mi. | 27 | 29 | 1 | 31 |
| 2 | 7.0 mi. | 16 | 17 | 3 | 13 |
| 3 | 31.4 mi | 82 | 309 | 6 | 105 |
| TOTAL | 45.6 mi. | 125 | $355^{*}$ | 10 | 149 |

* includes 63 collisions with an animal
** 35 months period (July 1999 to May 31, 2002)
The above SIMS data includes accidents that occurred early in the morning, late at night, in the rain, snow and ice with poor visibility. The NYSDOT Special Hauling permit specifically prohibits operating in these conditions. The one exception is a waiver for peak-hour restriction which may allow OS/OW transports between 7-9AM and 4-6PM which NYSDOT considers as "curfew hours". The NYSDOT Special Hauling permit requires several full-time vehicle escorts, several police escorts, requires speed limit restrictions and hours of operation limited to daytime-only, preferably in the summer.


## Traffic Capacity

Highway Sufficiency Ratings data (through 2003) was obtained from NYSDOT to determine if any sections of the truck route were experiencing traffic capacity problems that may adversely impact the decision to select this as the primary truck route. Table 4 summarizes the Highway Sufficiency data for Route No. 1.

## TABLE 4: HIGHWAY SUFFICIENCY

| ROUTE <br> NO. | NO. <br> LANES | PAVED SHOULDER <br> WI DTH (ft.) | PAVEMENT <br> WI DTH (ft.) | AADT RANGE <br> (estimated) |
| :---: | :---: | :---: | :---: | :---: |
| SR 22 | 2 | $6-7$ | $22-23$ | $2340-7590$ |
| MILITARY <br> TPKE. | 2 | $0-1$ | $22-23$ | Not available |
| SR 190 | 2 | $4-8$ | $22-24$ | $980-7590$ |
| AADT =Average Annual Daily Trips |  |  |  |  |

The lower range of the AADT is at the west end of the truck route at the Clinton/Franklin county line in the middle of farm country. The upper range of the AADT is in the Plattsburgh area of the truck route. Even at the upper range of the AADT, the estimated traffic volume is still very low and would not pose a problem during OS/OW load transport. Conversations with the NYSDOT Planning \& Programs Office (Region 7) confirm that there are no current problems with traffic capacity along this route.

## Structural Capacity

ROADWAY WIDTH. In general, the roadway width is at least 26 feet (two 12 -foot lanes with a 1-foot shoulder). In areas approaching populated urban centers and busy intersections, the shoulders are widened up to 8 -feet resulting in roadway width of 40 feet (two 12 -foot lanes with 8 -foot shoulders).

ROADWAY CONDITION. At the time of this study (10/10/05), the condition of the pavement was very good and the pavement markings were clear and well-defined (e.g. double-yellow centerlines, painted white edge lines). Advance warning and regulatory signs were in good condition and properly located to notify motorists of upcoming roadway changes and conditions.

DRAINAGE STRUCTURES. Inventory of drainage culvert size, culvert type, depth of cover and general condition of culverts to be performed by an experienced Route Surveyor to determine probability of pipe failure under overweight construction vehicle loads. The route surveyor will submit a route plan to NYSDOT for review and NYSDOT will query the NYSDOT GIS database for a drainage report to identify drainage structures along the route.

RAILROAD CROSSINGS. None encountered.

BRIDGES.

UNDERPASSES. None encountered.

OVERPASSESS. Physical characteristics such as allowable weight loads, bridge type and condition to be performed by NYSDOT Structures Division during the actual Special Hauling Permit application process. The route surveyor will submit a route plan to NYSDOT for review and NYSDOT will query the NYSDOT GIS database for a bridge report to identify potential bridge related problems along the route.

The following bridges and culverts were identified along the route.
TABLE 5: Route No. 1 Bridge/ Culvert I nventory (partial list only)

| LOCATION <br> (mile mark) | DESCRI PTI ON |
| :---: | :--- |
| 1.1 | Bridge over I-87 (BIN 1017250) |
| 1.5 | Culvert for Dry Mill Brook |
| 5.6 | Bridge over Little Ausable River (tributary) |
| 7.2 | Bridge over Little Ausable River (tributary) |
| 11.0 | Bridge over Salmon River |
| 12.3 | Bridge 1 over Saranac River |
| 12.3 | Bridge 2 over Saranac River |
| 16.05 | Culvert (unknown stream) |
| 23.5 | Culvert (unknown stream) |
| 28.6 | Bridge over unknown brook at Miner Lake |
| 29.4 | Bridge over Chazy River at Miner Lake |
| 31.0 | Culvert over Spring Brook |
| 33.2 | Bridge over Graves Brook |
| 37.3 | Bridge over North Branch Chazy River |
| 38.4 | Possible culvert |
| 39.1 | Culvert for North Branch Chazy River |

BIN = Bridge Identification Number
See MAP 1.

## INTERSECTION GEOMETRY AND ROADWAY ALIGNMENT.

The preliminary assessment of OS/OW Route No. 1 identified several locations where the roadway geometry appears problematic for construction vehicle turning movements. The locations are as follows:

SECTION 1 (mile 0.0). Left turn from SR 9N to SR 22 North. There appears to be insufficient roadway width and intersection fillet radius on the inside corner for an oversize construction vehicle turning left. Detailed intersection geometry is required to engineer a solution.

SECTION 1 (mile 6.4). Immediately following the intersection of SR 22B, there is a sharp bend to the right (approx. 45-degrees). The paved width at the apex of the curve is approximately 36 feet. It is likely that the vehicle path of the oversize construction vehicle may not be able to stay within the paved roadway. Detailed intersection geometry is required to engineer a solution.

SECTION 2 (mile 7.2). Left turn from SR 22 North to Military Turnpike. There appears to be insufficient roadway width and intersection fillet radius on the inside corner for an oversize construction vehicle turning left. Detailed intersection geometry is required to engineer a solution.

SECTION 3 (mile 37.3). Left turn from SR 190 West to SR 190 West (just before US 11, Ellenburg Corners). There appears to be insufficient roadway width and intersection fillet radius on the inside corner for an oversize construction vehicle turning left. Detailed intersection geometry is required to engineer a solution. See APPENDIX A Figure 5.

SECTION 3 (mile 37.7) Right turn from SR 190 West to SR 190 West (leaving Ellenburg Corners). There appears to be insufficient roadway width and intersection fillet radius on the inside corner for an oversize construction vehicle turning right. Detailed intersection geometry is required to engineer a solution.

Examples of possible solutions to tight-radius 90 -degree turns for oversize construction vehicles are shown in APPENDIX A, Figures 6-14.

### 2.2 OS/ OW Truck Route No. 2

The second potential OS/OW Truck Route we reviewed begins at I-87 North (The Northway) at Exit 42 (Route 11, Champlain), then US 11 South to County Line Road. OS/OW Route No. 2 is as follows:

- I-87 North off-ramp (mile 0.0 ) to end of ramp, 0.1 miles to
- Turn left on US 11 South to Mooers Center, 6.5 miles then
- Right on US 11 South to Ellenburg Corners (JCT SR 190 West), 14.3 miles then
- Straight on US 11 South to County Line Road (Clinton/Franklin), 9.6 miles. END ROUTE
- ROUTE LENGTH: 30.5 miles.

This route goes through the following three communities in Clinton County: Champlain, Mooers and Clinton. This route was selected for inclusion in this study because it is the most direct route from I-87 to the project area and has far fewer obstacles to avoid along
the route. It has fewer 90-degree tight-radius turns, fewer bridge and drainage structures to examine, and fewer intersections and low overhead wire crossings. See MAP 1.

## ASSUMPTION:

For purposes of this study, it is assumed that OS/OW transports without vertical height restrictions can use I-87 between Exit 34 (Keeseville) and Exit 42 (Chaplain) to access US11, the start of OS/OW Route No. 2. OS/OW transports with height restricted load will have to get off I-87 at Exit 34 because there are low bridges on I-87 between Exits 34 and 42 .

## Traffic Safety

Accident Data was requested from the NYSDOT (Region 7) Traffic \& Safety Office and reviewed for OS/OW Route No.2. The accident data collected covers a period of approximately 3 years (July, 1999 and May 31, 2002) as reported in the NYSDOT Safety Information Management System (SIMS) database.

## ACCIDENT DATA:

From July 2, 1999 to May 31, 2002 there were a total of 265 accidents reported according to NYSDOT SIMS database. Of the 265 accidents, 52 occurred at intersections and 213 accidents occurred at non-intersection locations (including 29 collisions with an animal). Of the 265 accidents reported, 4 resulted in a fatality and 72 resulted in an injury. The frequency of accidents involving personal injury is 2.5 accidents per mile for this 30.5 -mile route. This is significantly lower than the accident rate for OS/OW Route No. 1 (3.5 accidents/mile). The lower accident rate for Route No. 2 could be attributed to the fact that the route is 15 miles shorter, has half as many intersections and more importantly does not pass through densely populated urban centers with heavier traffic volumes such as Plattsburgh. See Table 6.

TABLE 6: ACCIDENT SUMMARY

|  |  | ACCI DENTS** |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| SECTIO <br> $\mathbf{N}$ | LENGT <br> $\mathbf{H}$ | INTERSECTIO <br> $\mathbf{N}$ | NON- <br> I NTERSECTI ON | FATAL | I NJ URY |
| 1 | 30.5 mi | 52 | $213^{*}$ | 4 | 72 |

* includes 29 collisions with an animal
** 35 months period (July 1999 to May 31, 2002)


## Traffic Safety Summary of OS/ OW Route No. 2

The above SIMS data includes accidents that occurred early in the morning, late at night, in the rain, snow and ice with poor visibility. The NYSDOT Special Hauling permit specifically prohibits operating in these conditions. The one exception is a waiver for peakhour restriction which may allow OS/OW transports between 7-9AM and 4-6PM which NYSDOT considers as "curfew hours". The NYSDOT Special Hauling permit requires several full-time vehicle escorts, several police escorts, requires speed limit restrictions and hours of operation limited to daytime-only, preferably in the summer.

## Traffic Capacity

Highway Sufficiency Ratings data (through 2003) was obtained from NYSDOT to determine if any sections of the truck route were experiencing traffic capacity problems that may adversely impact the decision to select this as the primary truck route. Table 7 summarizes the Highway Sufficiency data for Route No. 2.

## TABLE 7: HIGHWAY SUFFICIENCY

| ROUTE <br> NO. | NO. <br> LANES | PAVED SHOULDER <br> WIDTH (ft.) | PAVEMENT <br> WIDTH (ft.) | AADT RANGE <br> (estimated) |
| :---: | :---: | :---: | :---: | :---: |
| US 11 | 2 | $8-12$ | $22-24$ | $2950-7810$ |

The lower range of the AADT is at the west end of the truck route at the Clinton/Franklin county line in the middle of farm country. The upper range of the AADT is the east end of the truck route at the "Mall Entrance" at the I-87 interchange. Here the roadway widens to a 4 -lane section to accommodate turning lanes into and out of the retail shopping area. Even at the upper range of the AADT, the estimated traffic volume is still very low and would not pose a problem during OS/OW load transport. Conversations with the NYSDOT Planning \& Programs Office (Region 7) confirm that there are no current problems with traffic capacity along this route.

## Structural Capacity

ROADWAY WIDTH. In general, the roadway width is at least 40 feet (two 12 -foot lanes with 8 -foot breakdown lanes) for the first 10 miles then the width narrows to 32 feet (two 12 -foot lanes with 4 -foot paved shoulders) for the remainder of the route.

ROADWAY CONDITION. At the time of this study (10/10/05), the condition of the pavement was very good and the pavement markings were clear and well-defined (e.g. double-yellow centerlines, painted white edge lines). Advance warning and regulatory
signs were in good condition and properly located to notify motorists of upcoming roadway changes and conditions.

DRAINAGE STRUCTURES. Inventory of drainage culvert size, culvert type, depth of cover and general condition of culverts to be performed by an experienced Route Surveyor to determine probability of pipe failure under overweight construction vehicle loads. The route surveyor will submit a route plan to NYSDOT for review and NYSDOT will query the NYSDOT GIS database for a drainage report to identify drainage structures along the route.

RAILROAD CROSSINGS. None encountered.

## BRIDGES.

UNDERPASSES. None encountered.

OVERPASSESS. Physical properties such as allowable weight loads, bridge type and condition to be performed by NYSDOT Structures Division during the actual Special Hauling Permit application process. The route surveyor will submit a route plan to NYSDOT for review and NYSDOT will query the NYSDOT GIS database for a bridge report to identify potential bridge related problems along the route.

The following bridges and culverts were identified along this route.
TABLE 8: Route No. 2 Bridge/ Culvert I nventory (partial list only)

| LOCATION <br> (mile mark) | DESCRIPTION |
| :---: | :--- |
| 0.2 | Bridge over I-87 (BIN 1009070) |
| 3.1 | Bridge over Great Chazy River BIN 1009060 |
| 3.1 | Bridge 1 over Great Chazy River BIN 1009050 |
| 5.1 | Bridge 2 over Sperry Brook BIN 1094080 |
| 9.8 | Bridge over Great Chazy River |
| 13.3 | Bridge over Spring Brook |
| 15.3 | Bridge over Graves Brook BIN 1009020 |
| 18.5 | Bridge over Great Chazy River |
| 22.9 | Concrete Culvert (stream unknown) |
| 24.0 | Bridge over Brandy Brook |
| 26.5 | Culvert (stream unknown) |
| 29.8 | Bridge over Marble River BIN 1008990 |
| 30.5 | END ROUTE |

See MAP 1.

## INTERSECTION GEOMETRY AND ROADWAY ALIGNMENT.

The preliminary assessment of OS/OW Route No. 2 identified only 2 locations where the roadway geometry appears problematic for construction vehicle turning movements. The locations are as follows:

MILE 0.1/OFF-RAMP. Left turn from end of I-87 NB off-ramp to US 11 South. There appears to be insufficient roadway width and intersection fillet radius on the inside corner for an oversize construction vehicle turning left. Detailed intersection geometry is required to engineer a solution.

MILE 6.6/MOOERS CENTER. Right turn on US 11 in the center of town. There appears to be insufficient roadway width and intersection fillet radius on the inside corner for an oversize construction vehicle turning right. Detailed intersection geometry is required to engineer a solution.

### 2.3 Summary and Follow-up for Off-Site OS/ OW Route Planning

Based on available traffic safety data, OS/OW Route No. 2 (Route 11) appears to experience fewer accidents than Route No. 1. This may be attributed to the following reasons:

1. Route No. 2 is 15 miles shorter.
2. Route No. 2 avoids Plattsburgh which has heavier traffic volume and more accidents.
3. Route No. 2 has fewer intersections along its route where the potential for accidents resulting in injury is higher.

It should be noted that both routes would be very safe for OS/OW truck transport given the amount of effort required to execute the NYSDOT Special Hauling Permit to guarantee public safety during OS/OW transports.

Preliminary estimates indicate that up to 990 OS/OW truck trips will be required for this project. This is based on 109 turbines requiring 9 truck trips to bring the component parts to the site. Available traffic capacity data indicates Route No. 2 (Route 11) appears to have better physical roadway characteristics to support multiple OS/OW hauling trips than Route No. 1. This is attributed to the following reasons:

1. Route No. 2 is wider and has more capacity to handle the large number of $\mathrm{OS} / \mathrm{OW}$ loads required to complete the job.
2. Route No. 2 has only three signalized intersections and two of those are at the very beginning of the route at the I-87 off-ramps. Route No. 1 has seven signalized intersections several of which go through Plattsburgh. Hundreds of OS/OW truck trips would be delayed as they move through the signalized portion of the route causing substantial frustration for motorists over an extended period of time.

It should be noted that both routes currently have very low traffic volumes and the roadway infrastructure has plenty of capacity to handle the additional 900 OS/OW truck trips generated by this project. However, selecting a final truck route should take into account the magnitude of delays caused by multiple signalized intersections spaced relatively close together.

Based on the number of problematic 90 -degree tight-radius turns for each $\mathrm{OS} / \mathrm{OW}$ route examined in this study, Route No. 2 is the preferred route. Route No. 2 has only two problem intersections where the roadway geometry appears to be insufficient for largeradius turns. Route No. 1 has five problem intersections.

Finally, based on the number of bridge and culvert crossings encountered along each route, Route No. 2 appears to be the preferred route. The extreme gross vehicle weight of the OS/OW loads being considered requires the complete and thorough inspection of each bridge and culvert crossing along the route. It was observed (but not confirmed) that Route No. 2 has 12 such crossings whereas Route No. 1 has 16 crossings.

### 2.4 Recommendation

The results of this OS/OW transportation route study are preliminary, but give clear direction as to where to direct efforts in planning the final route for this project. The following action plan is recommended as this project moves forward.

1. Work closely with NYSDOT Special Hauling Permits Division (Albany) and get them involved early in the process. Allow a minimum of 6 to 9 months for NYSDOT permitting.
2. Contact an experienced Route Surveyor who will submit a final route (required by NYSDOT).
3. Provide accurate highway survey information for problem intersections in order to determine engineering solutions to construction vehicle turning movements.
4. Understand where vertical clearance problems occur along the route especially as they relate to low overhead electric wires. The Route Surveyor should provide this.
5. Contact the appropriate utility companies 6 to 9 months in advance of hte first OS/OW truck trip to notify them that they need to raise wires above the road.
6. Select a heavy hauling transportation contractor (e.g. Lone Star Transportation, Fort Worth, TX) specializing in wind energy transports. Heavy haul contractors use different truck/trailer configurations for different wind turbine components. This could have serious implications on final truck route selection.

### 3.0 ON-SITE OS/ OW ROUTE PLANNI NG

This section of the Preliminary Transportation Assessment addresses traffic safety and the physical capacity of the local area road network that serves the project area. It is over these smaller local roads that the wind turbine components will travel to reach their final destinations. For purposes of this study, each drainage structure was located to the nearest 0.1 mile as each road was field inspected to complete the inventory. The roadway width and condition was also recorded in a mileage logbook and photographs were also taken. The following Table identifies the roads included in this study. See MAP 2 at the back of this report.

TABLE 9: LOCAL AREA ROAD NETWORK

| ROAD NAME | ACCI DENTS* | LENGTH <br> (miles) | TYPE |
| :--- | :---: | :---: | :--- |
| SR 189 | $19 * *$ | 5.7 | paved State Highway |
| CLINTON MILLS RD | 2 | 6.2 | paved collector |
| CANAAN RD | 2 | 4.2 | paved collector |
| BOHEN RD | 1 | 1.1 | paved collector |
| CAMPBELL RD | 1 | 3.2 | paved collector |
| GAGNIER RD | 0 | 2.4 | paved collector |
| LOOBY RD | 3 | 2.4 | paved collector |
| PATNODE RD | na | 3.6 | paved/gravel minor |
| LA FRANCIS RD | na | 4.2 | paved/gravel minor |
| BULL RUN RD | na | 4.4 | paved minor |
| ROGERS RD | na | 0.6 | broken paved, minor |
| SOUCIA RD | na | 0.2 | gravel, unsafe, dead end |
| COLGAN RD | na | 0.4 | gravel minor, dead end |
| LAGREE RD | na | 1.6 | gravel minor |
| SWAMP RD | na | 0.3 | gravel minor, dead end |
| WHALEN RD (Looby- Merchia) | na | 2.4 | paved minor |
| MERCHIA RD (Merchia-SR 189) | na | 1.0 | paved minor |
| NUMBER 5 RD (Ryan-Campbell) | na | 1.0 | paved minor minor, dead end |
| JONES RD | na | 1.6 | gravel minor, dead end |
| LIBERTY POLE RD |  |  |  |

* NYSDOT SIMS database (July 1999-May 31,2002)
** Includes 6 collisions with an animal
- NOTE: The following local roads were not included in this study for the reasons indicated:
- County Line Rd. Beyond project area.
- Lost Nation Rd. Beyond project area
- Ryan Rd. Beyond project area
- Santamore Rd. Beyond project area.
- McNierney Rd. Beyond project area.
- Merchia Rd. (County Line Rd. to Whalen Rd.). Beyond Project area.
- Jones Rd. (Merchia Rd. to Frontier Rd.). Posted private property
- Frontier Rd. Beyond Project area.
- Brandybrook Rd. Beyond project area.
- Cashman Rd. Beyond project area.
- Robare Pond Rd. Private road.
- Bombard Rd. Beyond project area.
- Bull Run Rd. Beyond project area.
- Lamb Rd. Beyond project area.
- Poplar Hill Rd. Posted private property.
- Smith Rd. Residential area, access to turbine not likely.
- Broad St. Residential area, access to turbine not likely.
- Baker Rd. Beyond project area.
- Keegan Rd. Beyond project area.


### 3.1 State Route (SR) 189 and Local Roads

For purposes of on site route planning, local roads (including county and town roads) and State Route 189 were evaluated.

## Traffic Safety

The safety record for these local collector and minor roads is very good. Traffic volume in this area is very low and mostly consists of local residential traffic and farm vehicles. About half the roads studied are low speed gravel roads through forest and farmland. The roads are laid out straight in a grid with 90 -degree intersections and very good visibility for stopping sight-distance.

## Structural Capacity

This section summarizes the physical characteristics of the local area road network. In general, the paved and unpaved roads were in good conditions and capable of supporting the anticipated heavy construction vehicle loads with the exception of the following roads: Soucia Rd., Colgan Rd., Swamp Rd., Liberty Pole Rd., Rogers Rd., and Patnode Rd. (lower section).

Soucia, Colgan, Swamp and Liberty Pole are all dead ends that terminate at private property boundaries. No maintenance on these roads is performed between December and April. Rogers Rd. is a short half-loop road beginning and ending at Clinton Mills Rd. The width becomes very narrow and the asphalt pavement is very old and broken. Patnode Rd. (south section between SR 190 and Gagnier Rd.) is a narrow gravel road in poor condtion and should be avoided.

The major roadway deficiencies observed in the field were 1) Insufficient intersection geometry with roadway approach-widths of 20 feet or less, and 2) Shallow cover over drain pipe culverts.

INSUFFICIENT INTERSECTION GEOMETRY. This is the single most common problem observed in the field. Almost all of the 3 -way and 4 -way intersections have 90 -degree tight-radius intersections with obstacles present on the inside corners such as traffic signs, utility poles, and drainage culverts. Often times there is also a significant difference in grade between the road (higher elevation) and the adjacent ground (lower elevation) on the inside corner. See Potential Mitigation Techniques.

SHALLOW COVER OVER STRUCTURES. Drainage culverts are prevalent in the project area. Wetlands and waterways dominate the landscape and the roadway network that bisects the region is generally only a few feet above the wetlands so there are plenty of small diameter culverts with less than 18 inches of cover that connect the wetlands on either side of the road.

Bridge and culvert data and roadway characteristics were gathered in the field (Oct. 1112,2005 ) to assess the impact and frequency of possible culvert failure or roadway deficiencies under the weight of OS/OW wheel loads for a given road. The results of the drainage and roadway survey can be found in APPENDIX B.

SUMMARY OF FINDINGS. A total of 35 culverts were located that had 18 inches of cover or less. This does not include culverts on dead end roads such as Colgan, Soucia, Liberty Pole and Swamp Roads. Of the 35 culverts, 8 culverts crossed gravel roads ( 4 on Lagree, 2 on Patnode South, 2 on La Francis); and the other 27 culverts crossed paved county roads.

In conversations with the Clinton County Highway Department, they expressed a preference for using steel plates to cross over shallow-cover culverts on county roads, but have concerns about snow plows hitting the plates during snowstorms (October-April).

The number of culverts actually requiring protective measures will most likely be reduced as the local truck routes become better defined. For purposes of this study, we are assuming all the roads will be used for wind turbine transport.

LOCAL BRIDGES. During the study of local roads, we encountered seven bridges spanning brooks and streams which will require more detailed inspections before final local truck routes are selected. These bridges are located as shown on the Table below:

## TABLE 10: LOCAL AREA BRIDGES

| LOCAL ROAD | MI LE | DESCRIPTION |
| :--- | :---: | :--- |
| SR 189 | 5.5 | Concrete bridge over brook. Check with NYSDOT for <br> BIN |
| SR 189 | 5.0 | Concrete bridge over brook. Check with NYSDOT for <br> BIN |
| LOOBY RD | 0.6 | Concrete bridge over brook. Check with CC Hwy. Dept |
| CLINTON MILLS RD | 4.0 | Concrete bridge over stream. Check with CC Hwy. <br> Dept. |
| BOHEN/CAMPBELL | 2.7 | Concrete bridge over brook. Check with CC Hwy. Dept |
| BOHEN/CAMPBELL | 3.9 | Concrete bridge over brook. Check with CC Hwy. Dept |
| SOUCIA RD | 0.01 | Steel grate bridge over stream. Unsafe. Do not use. |

See MAP 2
CC Hwy. Dept = Clinton County Highway Department
Of the seven bridges listed above, two of them will probably not be used. One bridge on SR 189 is too far north of the project area and will not be part of the local truck route. The second bridge is at the start of Soucia Rd (at Clinton Mills Rd). This bridge is very old and not capable of withstanding heavy loads. It has a steel grate deck on steel I-beam supports. The abutment walls are fieldstone. It is also narrow, only $12^{\prime}-9$ " wide by $11^{\prime}-6{ }^{\prime \prime}$ long. The only way to access Soucia Rd. with heavy equipment is to completely rebuild the bridge.

USE OF BRIDGE PLATES. If necessary, bridge plates or jumpers may be placed on the road to span the other four bridges in the project area to increase the weight bearing capacity of the bridge structures when heavy loads need to pass.

BRIDGE UNDERPASSES. None.

RAILROAD CROSSINGS. None.

INSUFFICIENT ROADWAY WIDTH. All local roads appeared to have adequate width or had available shoulder width for temporary widening to accommodate wide loads. The
only exception is at intersections where wide turns are necessary to change direction along the route. See the following Section on Insufficient Roadway Geometry.

INSUFFICIENT ROADWAY GEOMETRY. The most difficult OS/OW truck turning maneuver along the truck routes is the 90 -degree turn. Intersections where the turning angle is greater than 90 -degrees should be avoided. The 90 -degree turn is made even more difficult on the local county roads because the roadway widths are narrower than those on state roads. To facilitate 90 -degree turning movements on the local roads it will be necessary to widen the roadway width with compacted gravel. This temporary widening may occur:

1) On the approach road (upstream)
2) On the inside corner of the intersection and/or
3) On the receiving road (downstream)

For more detail on these temporary intersection improvements, refer to APPENDIX A at the back of the report. Depending on specific intersection constraints, any combination of the above may be necessary. For purposes of this report, we have used the largest turning radius generated by the OS/OW transport truck that will carry the turbine rotor blades. This special hauling vehicle may be in excess of 175' long with the blade and has a critical inside turning radius of 150 '. The vehicle path of the turbine rotor blade truck is the basis for evaluating truck turning movements at local intersections.

TRUCK TURNING MOVEMENTS. MAP 3 in the back of this report has been prepared to illustrate the possible 90 -degree turns at each intersection within the local road network. The preliminary evaluation of the truck turning movements is based on field observations, intersection photographs (as shown in APPENDIX C) and the estimated vehicle path of the wind turbine blade truck (APPENDIX A). The probability of successful 90 -degree turns takes into account that some intersection corner widening (or combination of widenings) will be required. It should be noted that intersection corner widening may require that Stop signs,
Yield signs and Street signs be removed and reset to make way for large-radius truck turns.

### 3.2 Summary and Follow-up for On-Site OS/ OW Route Planning

As the siting of the proposed wind turbine access roads becomes final, we should be able to narrow down the study area and focus in on specific intersections where filling, regrading and traffic sign removal and resetting will be necessary. Additional intersections may need to be evaluated as truck route planning becomes more finalized. At that time, it will also be necessary to work closely with state, county and town highway
departments to determine the best and safest methods for widening existing roads and reinforcing drainage structures and bridges while at the same time causing minimal inconvenience to the motoring public.

### 3.3 Recommendation

The results of this OS/OW transportation route study are preliminary, but give clear direction as to where to direct efforts in planning the final route for this project. The following action plan is suggested as this project moves forward.

1. Work closely with NYSDOT Special Hauling Permits Division (Albany) and get them involved early in the process. Allow a minimum of 6 to 9 months for NYSDOT permitting.
2. Contact an experienced Route Surveyor who will submit a final route (required by NYSDOT).
3. Contact the County Highway and Town Highway Departments to understand the procedures for performing work on public roads.
4. Provide accurate highway survey information for problem intersections in order to determine engineering solutions to construction vehicle turning movements.
5. Understand where vertical clearance issues occur along the route especially as they relate to low overhead electric wires.
6. Contact the appropriate utility companies 6 to 9 months in advance of the first OS/OW truck trip to notify them that they need to raise wires above the road.
7. Select a heavy hauling transportation contractor (e.g. Lone Star Transportation, Fort Worth, TX) specializing in wind energy transports.
8. Obtain all necessary state, county and local permits.
9. Conduct more fieldwork along local roads (e.g. Brandybrook Rd, Ryan Rd, Lost Nation Rd, Sancomb Rd.) where new wind turbine access roads are proposed within the project area.

### 4.0 PROJ ECT CONTACT I NFORMATI ON

The following sources of information were contacted in the preparation of this evaluation.

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Joseph Lee-Civalier Doug Miller
NYSDOT Lone Star Transportation
Special Hauling Permits Fort Worth, TX
Albany, NY
(518) 485-2448
Lorraine Turturro Mary Joyce
NYSDOT Region }
Office of Traffic & Safety
317 Washington St. / 9 th Floor
Watertown, NY }1360
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1-800-541-8271

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1-800-541-8271
(817) 230-0242
(817) 230-0242
M& T Escorts
M& T Escorts
3536 Watts Road
3536 Watts Road
Randolph, NY }1477
Randolph, NY }1477
(716) 358-2303
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(716) 358-2303
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(315) 785-2321

Scott Docteur
NYSDOT
Planning Office
317 Washington St. / \(7^{\text {th }}\) Floor
Watertown, NY 13601
(315) 785-2354

David Langlois
Clinton County Highway Dept.
736 Route 3
Plattsburgh, NY 02901
(518) 565-4626

Steve Matthews
Town of Clinton Highway Department
PO Box 576
Route 189
Churubusco, NY 12923
(518) 497-6296 (Tel/Fax)

New York State Electric \& Gas Corp. (NYSEG)
1-800-572-1111
www.nyseg .com

\title{
STATE OF NEW YORK \\ DEPARTMENT OF TRANSPORTATION
}

\author{
Central Permit Office \\ 50 Wolf Road, 1st Floor \\ Albany, New York 12232
}

\section*{INFORMATION CONCERNING SPECIAL HAULING PERMITS}
I. Special Hauling Permits are required to move vehicles and/or loads on New York State highways if the vehicle and/or loads exceed the legal dimensions or weights specified in Section 385 of the New York State Vehicle and Traffic Law. The Department of Transportation issues different types of Special Hauling Permits. For information on the different types of permits available, see "Information for Applicants for Special Hauling Permits" (Perm 43). Additional information may be obtained from the Department's Central Permit Office or from any of the Regional Offices listed below.
A. A "Permit" authorizes the movement of an oversize and/or overweight vehicle for a specific time period on permissible hauling days. The permits may also authorize the movement of small buildings 14 feet or under in width not included under Building Movement Permits, such as construction shanties, which are carried as a load by tractor trailer.
B. A "Permissible Hauling Day" is any normal working day, Monday through Friday, during the daylight hours between one-half hour before sunrise and one-half hour after sunset when weather conditions are favorable. No movements are allowed on Saturdays*, Sundays or the following holidays: New Years Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day or after 12 noon the day preceding Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day. The day preceding means any normal working day, Monday through Fridays. No travel is allowed at night between the hours of one-half hour after sunset and one-half hour before sunrise unless otherwise specified.
*Overdimensional and overweight moves that are within 12 feet in width, 85 feet in length, legal height, and which can maintain normal highway speeds may be authorized by permit to move from \(1 /\) hour before sunrise until 12 noon on Saturday unless such Saturday falls on a holiday set forth above. Request for Saturday travel must be specified on application.

\section*{II. Application Procedure}
A. Listed below are the addresses for the Department of Transportation's Regional Offices. Forms to apply for Special Hauling Permits may be obtained at any one of the offices.
\begin{tabular}{|l|l|l|}
\hline Region 2 & 207 Genesee Street, Utica, NY 13501-2869 & \((315) 793-2356\) \\
\hline Region 3 & 333 E. Washington Street, Syracuse, NY 13202-1466 & \((315) 428-4388\) \\
\hline Region 4 & 1530 Jefferson Road, Rochester, NY 14623-3161 & \((585) 272-3470\) \\
\hline Region 5 & 125 Main Street, Buffalo, NY 14203-3088 & \((716) 847-3286\) \\
\hline Region 6 & 107 Broadway, Hornell, NY 14843 & \((607) 324-8521\) \\
\hline Region 7 & 317 Washington Street, Watertown, NY 13601-3784 & \((315) 785-2381\) 23-32 \\
\hline Region 8 & 4 Burnett Blvd., Poughkeepsie, NY 12603-2594 & \((845) 575-6038\) \\
\hline Region 9 & 44 Hawley Street, Binghamton, NY 13901-3200 & \((607) 721-8082\) \\
\hline Region 10 & Veteran's Highway, Hauppauge, NY 11787-5518 & \((631) 952-6020\) \\
\hline
\end{tabular}
B. Applications made by mail using Special Hauling Permit form (PERM 39-1 or PERM 39-2) are mailed to the address given by the applicants. If requested, they may be mailed to any Post Office c/o General Delivery.

Application may be made in person or by mail at any of the Regional Offices listed above. Application may also be made through the various Transmission Service Companies or Permit Service Companies having a licensing agreement with the State.

Permit numbers are not assigned by telephone. The permittee must have the permit form in his possession before traveling on New York State highways.
III. Permits
A. Highway Use Tax Sticker: Applicants for Special Hauling Permits for vehicles that have a gross weight of 18,000 pounds or more must obtain a Highway Use Tax Sticker from the Highway Use Tax Unit, NYS Department of Taxation and Finance, One Broadway Center, Schenectady, NY 12306 (Telephone No. (518) 388-5245).
B. Traveling over THRUWAY, PARKWAY, COUNTY ROAD, TOWN HIGHWAY, CITY OR VILLAGE: Permission must be obtained from appropriate authority. Permit issued by NYS Department of Transportation good only for highways under Department of Transportation jurisdiction.
C. Liability: The applicant agrees to assume all responsibility and liability for damages to persons or property that may accrue during movements of the vehicle or combination of vehicles, through negligence of himself, his agent or employees or from any other cause and to save the State of New York harmless therefrom.
D. Revocation: The permit is revocable without hearing or necessity of showing cause, either before or during movement.
E. Special Requirements: Each Special Hauling Permit issued will state the conditions for travel.

LEGAL DIMENSIONS, WEIGHTS \& GROSS WEIGHTS FOR NEW YORK STATE
IV. The maximum legal dimensions (overall, inclusive of load, bumpers, etc.) are:
\begin{tabular}{|l|l|l|l|}
\multicolumn{2}{l}{} & \multicolumn{2}{c}{\begin{tabular}{c} 
State \\
Highway
\end{tabular}} \\
\begin{tabular}{|l|l|l|}
\hline Access Highway
\end{tabular} \\
\hline A. & Width of Vehicle, inclusive of load & 8 feet \({ }^{1}\) & 8 feet 6 inches \\
\hline B. & Height of vehicle from underside of tire to top of vehicle, inclusive of load & 13 feet 6 inches & 13 feet 6 inches \\
\hline C. & Length of single vehicle inclusive of load and bumpers & 40 feet & 40 feet \\
\hline D. & Length of a combination of vehicles inclusive of load and bumpers & 65 feet \(^{2}\) & Unlimited \(^{2}\) \\
\hline E. & Length of a single trailer & 48 feet & 53 feet \(^{3}\) \\
\hline F. & Length of a single twin trailer & 28 feet 6 inches & 28 feet 6 inches \\
\hline
\end{tabular}

NOTE: 'Except in New York City. Width of vehicle is 8 feet 6 inches on highways with minimum pavement width of 10 feet. Commissioner of Transportation may restrict certain highways.
\({ }^{2}\) Automotive Carriers - Overhang limited to 3 ' FRONT and 4' REAR
Stinger Steered Car Carrier - Length cannot exceed \(75^{\prime}\). Overhang limited to \(3^{\prime}\) FRONT and \(4^{\prime}\) REAR. Limited to qualifying and access highways.
\({ }^{3}\) Except in New York City. Limited to Qualifying and Access Highways. Distance from King pin to center of rear axle group limited to
1. Special Hauling Permits are not required for a combination of vehicles hauling poles, girders, columns, or similar objects of great length if only the legal length is exceeded. The width, height and weight of the combination must be legal.
2. Vehicles over \(8^{\prime} 6^{\prime \prime}\) in width shall display 18 inch by 18 inch red flags front and rear on the left side unless other warning devices or escort vehicles are required or prescribed.
3. Escort vehicles may be required for oversize movements of extreme width or length or if highway conditions warrant them.

\section*{V. Maximum legal weight for State Highways and Designated Highways are:}
A. Maximum load per tire.
The lesser of manufacturer's tire rating or 800 pounds per inch of tire
B. Maximum wheel loading
11,200 pounds
C. Maximum weight, one axle
22,400 pounds
D. Maximum weight, any two consecutive axles, less that eight (8) feet apart
36,000 pounds
1. Axles less than 46 inches apart, measured from axles' center, are considered one axle.
E. Maximum weight, any two consecutive axles eight (8) to ten (10) feet apart. Weight cannot exceed formula:
\[
* * \mathrm{~W}=500 \quad(\mathrm{LN} / \mathrm{N}-1+12 \mathrm{~N}+36) ; \quad 40,000 \text { pounds maximum }
\]
\({ }^{* *}\) See Item F. 1.b. for explanation of terms.
F. Maximum weight on all axles of a single vehicle or combination of vehicles having three (3) axles or more is 80,000 pounds based on one of the following formulas:
1. For any vehicle or combination of vehicles having a total gross weight less than 71,000 pounds, the higher of the following shall apply:
a. the total weight of all axles shall not exceed 34,000 pounds plus 1,000 pounds for each foot and major fraction of a foot of the distance from the center of the foremost axle to the center of the rear most axle, or
b. the overall gross weight on a group of two or more consecutive axles shall not exceed the weight produced by application of the following formula:
\[
\mathrm{W}=500(\mathrm{LN} / \mathrm{N}-1+12 \mathrm{~N}+36)
\]
where W equals overall gross weight on any group of two or more consecutive axles to the nearest 500 pounds, L equals distance in feet from the center of the foremost axle to the center of the rear-most axle of any group of two or more consecutive axles, and N equals number of axles in group under consideration, except that two consecutive sets of tandem axles may carry a gross load 34,000 pounds each providing the overall distance between the first and last axles of such consecutive sets of tandem axles is thirty-six feet or more.
2. For any vehicle or combination of vehicles having a total gross weight of 71,000 pounds or greater, formula in section F.1.b. shall apply.

\author{
STATE OF NEW YORK \\ DEPARTMENT OF TRANSPORTATION \\ CENTRAL PERMIT OFFICE, Building 5, Room 311 \\ 1220 Washington Avenue, Albany, New York 12232-0455
}

GUIDELINES FOR SPECIAL HAULING PERMIT REQUIREMENTS
(Special Requirements indicated on permit issued may be different than guidelines below based on engineering judgment, weights, and specific highway geometry)
\begin{tabular}{ll} 
WIDTH & REQUIREMENTS \\
8'-7" TO \(12^{\prime}-0 " 1\) & \\
& - \(18^{\prime \prime}\) x 18" RED OR ORANGE FLUORESCENT FLAGS ON STAFFS AT ALL CORNERS AT THE EXTREMITIES OF THE LOAD \\
& - WR TRAILER
\end{tabular}

PERM 87 (1/03) REVERSE
\begin{tabular}{ll} 
HEIGHT & REQUIREMENTS \\
13'-7" TO 13'-11" & - WARNING SIGN ATTACHED TO THE FRONT AND REAR OF THE VEHICLE \\
& - ALL HEADLIGHTS AND OTHER EXTERNAL LIGHTS SHALL BE TURNED ON \\
& - POWER UNIT TO HAVE A MINIMUM OF ONE AMBER LIGHT LOCATED ON TOP OF THE VEHICLE AND VISIBLE IN A 360 \\
& DEGREE RADIUS
\end{tabular}

\section*{SPEED REQUIREMENTS}

IF PERMIT VEHICLE CANNOT MAINTAIN NORMAL HIGHWAY SPEEDS, DEFINED AS WITHIN 20 MPH OF POSTED OR STATUTORY SPEED, A CERTIFIED ESCORT VEHICLE TO FOLLOW ON ROUTE. WHEN PERMIT VEHICLE IS REQUIRED TO TRAVELAT LESS THAN 5 MPH OVER BRIDGES OR CULVERTS A CERTIFIED ESCORT VEHICLE TO FOLLOW OVER BRIDGE OR CULVERT. SEE POLICY MANUAL FOR REQUIREMENTS FOR SELF-PROPELLED CRANES.

\section*{POLICE ESCORT REQUIREMENTS}
A. WHENEVER A VEHICLE/LOAD MUST CROSS THE CENTER LINE OF A BRIDGE OR HIGHWAY.
B. WHENEVER A VEHICLE/LOAD MUST TRAVEL THE WRONG WAY ON A ONE WAY STREET OR RAMP.
C. WIDTH OF VEHICLE/LOAD IS GREATER THAN 16 FEET.
D. VEHICLE/LOAD LENGTH IS GREATER THAN 160 FEET.
E. VEHICLE/LOAD HEIGHT IS 16 FEET OR GREATER.
F. VEHICLE AND LOAD WEIGHT IS 200,000 POUNDS OR GREATER.
G. ALL NIGHT MOVES, EXCEPT LONG ISLAND WHERE COUNTY POLICE MUST BE NOTIFIED PRIOR TO MOVE.

\section*{BOND REQUIREMENTS}

MINIMUM OF \(\$ 10,000\) SURETY BOND (EXACT AMOUNT TO BE DETERMINED AT TIME OF APPLICATION) ON FILE WITH THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION PRIOR TO ISSUANCE OF SPECIAL HAULING PERMIT FOR THE FOLLOWING SIZE AND WEIGHTS:
- HOUSE OR BUILDING MOVES GREATER THAN 14 FEET WIDE
- WIDTH OF VEHICLE/LOAD OF 16 FEET OR GREATER
- HEIGHT OF VEHICLE/LOAD OF 16 FEET OR GREATER
- WEIGHT OF 200,000 POUNDS OR GREATER

MINIMUM \(\$ 25,000\) SURETY BOND, PER COMPANY (NOT PER MOVE), ON FILE FOR MOBILE/MANUFACTURED HOUSING OR MODULAR BUILDING UNITS GREATER THAN 14 FEET WIDE

\section*{NOTES}
1. LIGHTING ON THE TOWING VEHICLE MUST BE IN CONFORMANCE WITH THE NEW YORK CODE OF RULES AND REGULATIONS, TITLE 17, PART 154-1.15 "WARNING LAMP REQUIREMENTS."
- TOWING VEHICLE MUST HAVE THE MINIMUM OF ONE AMBER REVOLVING LIGHT (MINIMUM 6 INCHES, BUT NO MORE THAN 9 INCHES, IN DIAMETER) LOCATED ON TOP OF THE VEHICLE VISIBLE IN A 360 DEGREE RADIUS
- TOWING VEHICLE MUST HAVE ALL EXTERIOR LIGHTS OPERATIONALAND LIT WHEN MOVING A PERMITTED LOAD
- WHEN TANDEM DRIVE AXLE POWER UNITS ARE TOWING MANUFACTURED HOMES, 2 CERTIFIED ESCORT VEHICLES WILL BE REQUIRED
- WHEN THE COMBINATION UNIT EXCEEDS 105 FEET IN TOTAL LENGTH INSTEAD OF 100 FEET FOR A SINGLE DRIVE AXLE.
2. THE REQUIREMENTS SHOWN ON THIS FORMARE ONLY GUIDELINES. ACTUAL SPECIAL REQUIREMENTS WILL DEPEND ON THE HIGHWAY GEOMETRY, SIZE AND WEIGHT OF THE LOAD AND THE LOCATION OF THE MOVEMENT.
3. FOR MANUFACTURED HOUSING REQUIREMENTS SEE ADDITIONAL REQUIREMENTS OF PART 154-1 OF TITLE 17 OF THE NEW YORK CODE OF RULES AND REGULATIONS.

New York StateTransportation Federation

\section*{Special Hauling Forms}

\section*{Ahoutlis}

Transportation Federation
Bridge Authority Dept. of Transportation Thruway Authority

Vnifed Fermiting Unified Permitting Initiative

About the Unified Permitting Initiative

\section*{Penmiting News}

Last Updated: 09/20/05

\section*{Other Aqencest}

The following agencies also have special requirements for moving OverSize or OverWeight loads over their facilities:
- New York City DOT
- MTA Bridges and Tunnels
- Port Authority of NY/NJ
- Peace Bridge
- Niagara Falls Bridge Commission
- Ogdensburg Bridge and Port Authority

\section*{Other minfosian}
- Department of Motor Vehicles
- Department of Taxation and Finance
- New York State Police
- MTA
- FHWA Vehicle Sizes and Weights
- USDOT Federal Motor Carriers Administration (FMCSA)
- Safety and Fitness Electronic Records System (SAFER)

Insurance/Indemnification Agreements
- PERM 3 - Indemnification Agreement between Municipality and NYS DOT [Let1
- PERM 4 - Undertaking in connection with restricted Permits [Letter] 2/03
- PERM 5 - Undertaking in connection with Spec. Haul Permits(Public [Letter] 2/03
- PERM 17 - Certificate of Insurance for Special Hauling, Divisible Load, Hv [letter] 11/05

\section*{Bonds}
- PERM 14 - Surety Bond [Letter] 7/01

\section*{Single Trip Permit Applications}
o PERM 35 b - Attachment for Building Move Permit Describing Conditis Regulations for the move[Legal]
- PERM 39-1 - Special Hauling Trip \& Building Movement Permit [Legal]
- PERM 85 - Special Hauling Route Survey for Over Dimensional Vehicles [Lette

\section*{Annual Permit Applications}
- PERM 39-2k - Special Hauling Monthly, Annual \& Blanket Permit [Legal]
- PERM 39-4 - Special Hauling Permit - Vehicle Configuration Attachment : [Legal]
- PERM 99 - Special Hauling Permit - Additional Trailer Attachment Form [Letter.

\section*{Pre-Approval}
- PERM 12 - Special Hauling Pre-Approval Application Form for A Future Permit

\section*{Amendments}
- PERM 39-3g - Special Hauling Permit Amendment [Letter]

\section*{FOR INFORMATION CONCERNING PERMITS COVERING THE MOVEMENT OF OVERSIZE OR OVERWEIGHT VEHICLES, SEE PAGE 2.}

References after each item below are to subdivisions of Section 385 of the New York State Vehicle and Traffic Law.

\section*{MAXIMUM DIMENSIONS OF VEHICLES AND COMBINATIONS}

Width: \(\quad 96\) inches (in.) (for school buses, 98 in .) except that on qualifying highways and access highways, the limit is 102 in . Outside New York City, the limit is 102 in . if the traffic lane is ten or more feet wide. (Subd. 1)
Height: \(\quad 131 / 2\) feet (ft.) (Subd. 2)
Length: Single vehicles (except articulated buses and semi-trailers) - 40 ft . (Subd. 3)
Buses carrying more than 7 passengers - 45 ft .; Articulated buses - 62 ft . (Subd. 3)
Semi-trailer or trailer - 48 ft . In double bottom operation, a semi-trailer or trailer may not exceed \(281 / 2 \mathrm{ft}\). (Subd. 3) House Coach - 45 ft . If \(40-45 \mathrm{ft}\). long, the wall-to-wall turning diameter may not exceed \(90 \mathrm{ft}\).3 in . (Subd. 3)

Outside New York City, and in New York City on I-95 between I-287 and I-295, on I-295 between I-95 and I-495, and on I-495 between I-95 and the Nassau-Queens county line, a semi-trailer over 48 feet (but not over 53 feet) may be operated on a qualifying highway or specially-designed access highway if the distance between the kingpin of the semi-trailer and the centerline of the rear axle or rear axle group does not exceed forty-three feet, and if the semi-trailer is equipped with a rear-end protective device of substantial construction consisting of a continuous lateral beam extending to within four inches of the lateral extremities of the semi-trailer and located not more than twenty-two inches from the surface (as measured with the vehicle empty and on a level surface).

Combination of Vehicles - 65 ft . except that on qualifying highways and access highways there is no limit on length. However, the single vehicle length limits apply to the vehicles in a combination of vehicles on qualifying highways and access highways. Also, certain vehicles are exempt from the 65 foot rule. (Subd. 4)

\section*{PERMISSIBLE GROSS WEIGHT OF VEHICLES AND COMBINATIONS WITH PNEUMATIC TIRES}

Axles less than 46 inches apart are considered as one axle. Each axle is considered to have two wheels. If there is more than one tire on a wheel, add their widths together. (Subd. 5)
Weight per inch width of tire on one wheel -800 pounds (lbs.) (Subd. 6)
Weight on any one wheel \(-11,200 \mathrm{lbs}\). (Subd. 7)
Weight on any one axle \(-22,400 \mathrm{lbs}\). (Subd. 8)
Weight on any two consecutive axles less than 8 ft . apart - \(36,000 \mathrm{lbs}\). (Subd. 9)
Weight on any two consecutive axles 8 ft . to 10 ft . apart - apply the federal bridge formula.
For vehicles weighing under \(71,000 \mathrm{lbs}\)., the maximum weight may be the maximum allowed by either the New York or the federal bridge formula.

For vehicles weighing \(71,000 \mathrm{lbs}\). or more, the maximum weight may not exceed the amount set by the federal bridge formula.
New York State bridge formula - weight on all axles of a vehicle, or on a combination having three or more axles \(-34,000\) lbs. plus \(1,000 \mathrm{lbs}\). per foot and major fraction between first and last axles, center to center. Maximum \(-80,000 \mathrm{lbs}\). (Subd. 10) NOTE: In New York City, the maximum weight is \(73,280 \mathrm{lbs}\).
Federal bridge formula: \(\mathrm{W}=500((\mathrm{LN} / \mathrm{N}-1)+12 \mathrm{~N}+36)\)
where: W equals the overall gross weight on any group of two or more consecutive axles to the nearest five hundred pounds; L equals distance in feet from the center of the foremost axle to the center of the rearmost axle of any group of two or more consecutive axles; and N equals the number of axles in any group under consideration, except that two consecutive sets of tandem axles may carry a gross load of thirty-four thousand pounds each, providing the overall distance between the first and last axles of such consecutive sets of tandem axles is thirty -six feet or more.

Solid tires may not carry more than 80 percent of the weight allowed for pneumatic tires. (Subd. 11)
Metal tires may not carry more than 40 percent of the weight allowed for pneumatic tires. (Subd. 12)
- FOR INFORMATION ABOUT PERMITS ISSUED BY THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION (NYSDOT):
- Visit the NYSDOT web site at www.dot.state.ny.us, and click on Highway Permits, or Call the NYSDOT Central Permit Office at (518) 457-1155.
or
- E-mail divload@.dot.state.ny.us for the movement of legal dimension vehicles where the load (sand, asphalt, gravel, etc.) causes the vehicle to exceed the legal weights defined in Title 3, Article 10, Section 385, or Call the NYSDOT Divisible Load Permit Unit at (518) 485-2999 or toll free at (888) 783-1685.
or
- E-mail spechaul@dot.state.ny.us for the movement of oversize and/or overweight vehicles/loads where the load is generally one piece and non-divisible, such as mobile homes, bulldozers, etc., or call the NYSDOT Special Hauling Permit Unit at (518) 485-9176 or toll free at (866) 704-9440.
or
- Contact one of the NYSDOT Regional Offices:

\section*{REGION 1}

328 State Street
Schenectady, New York 12305
Phone: (518) 388-0358
Fax: (518) 388-0379

\section*{REGION 4}

1530 Jefferson Road
Rochester, New York 14623-3161
Phone: (585) 272-3470
Fax: (585) 272-3474

\section*{REGION 7}

317 Washington Street
Watertown, New York 13601-3784
Phone: (315) 785-2325
Fax: (315) 785-2438

\section*{REGION 10}

Veterans Memorial Highway
Hauppauge, New York 11788-5518
Phone: (631) 952-6028
Fax: (631) 952-6029

\section*{REGION 2}

207 Genesee Street
Utica, New York 13501-2869
Phone: (315) 793-2356
Fax: (315) 793-2522

\section*{REGION 5}

125 Main Street
Buffalo, New York 14203-3088
Phone: (716) 847-3286
Fax: (716) 847-3815

\section*{REGION 8}

4 Burnett Boulevard Poughkeepsie, New York 12603-2594
Phone: (845) 575-6038
Fax: (845) 575-6126

\section*{REGION 3}

333 East Washington Street Syracuse, New York 13202-1466
Phone: (315) 428-4388
Fax: (315) 428-4311

\section*{REGION 6}

107 Broadway
Hornell, New York 14843
Phone: (607) 324-8514
Fax: (607) 324-2663

\section*{REGION 9}

44 Hawley Street
Binghamton, New York 13901-3200
Phone: (607) 721-8082
Fax: (607) 721-8070
- FOR INFORMATION ABOUT PERMITS ISSUED BY THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION (NYCDOT):
- Visit the NYCDOT web site at www.nyc.gov/html/dot/html/permits/commperm.html or Call the NYCDOT at (212) 341-3726 or 3864 or 3991
- FOR INFORMATION ABOUT COMMERCIAL TRUCKING ON THE NEW YORK STATE THRUWAY:
- Visit the New York State Thruway Authority web site at www.thruway.state.ny.us/commercial/index.html
- FOR INFORMATION ABOUT TANDEM TRAILER PERMITS ON THE NEW YORK STATE THRUWAY:
\(\rightarrow\) E-mail Dorothy_berg@thruway.state.ny.us or call (518) 436-3150
- FOR INFORMATION ABOUT SPECIAL HAULING PERMITS FOR THE NEW YORK STATE THRUWAY:
- Call (518) 436-2805
- FOR INFORMATION ABOUT SPECIAL HAULING PERMITS FOR THE NEW YORK STATE BRIDGE AUTHORITY:
- Call (845) 831-3700 or E-mail webmaster@NYBA.net
The various types of permits which may be obtained from the New York State Department of Transportation for the movement of oversize and/or overweight vehicles over State Highways are shown on the following Special Hauling Permit Schedule
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \multirow[t]{2}{*}{TYPE PERMIT} & \multirow[t]{2}{*}{PERMISSIBLE HAULING DISTANCE} & \multirow[t]{2}{*}{DURATION OF PERMIT} & \multirow[t]{2}{*}{FOAMS REQUIRED} & \multicolumn{4}{|l|}{FEES} \\
\hline & & & & REG. OFFICE & MAIN OFFICE & ADD. COMB & AMENDMENT \\
\hline 1. Trip* & From one specific place to another, or a round trip for vehicle hauling the same load (weight \& dimensions) or unladen. Only one round trip allowed per permit. & Up to 5 permissible hauling days & \begin{tabular}{l}
Permit Form (PERM 39-1) and Certificate of Insurance (PERM 17) on file or \(\$ 4\) payment for Departmental Blanket Policy coverage. \\
One of the following will apply: \\
(1) Certificate of Insurance (PERM 17) on file \\
(2) PERM 17 not on file
\end{tabular} & \$60.00 & \$40.00 & N/A & \$10.00 \\
\hline 2. 25 Air Mile Radius - * Vehicles of dealers and transporters of manufactured homes, contractors and trucking concems. Limited to 12 ft . in width, 72 ft . in length, and legal height. Length may be increased to 85 ft . for specific vehicles on selected routes. & \begin{tabular}{l}
a. \(\mathbf{2 5}\) air mile radius of municipality in which business is located. Limited to 116,000 lbs. ** \\
b. 25 air mile radius of limits of specific construction project. Limited to 116,000 lbs. \\
Tandem axle limit - 56,000 lbs. Triaxde limit - 60,000 lbs.
\end{tabular} & Minimum One Month up to a Maximum One Year & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17) on file. & \begin{tabular}{l}
\(\$ 80.00\) \\
permit vehi not to \\
\(\$ 380.00\) \\
per vehicle
\end{tabular} & \(\$ 60.00\)
le per month
exceed
\(\$ 360.00\)
per year & \begin{tabular}{l}
\(\$ 20.00\) \\
PER ADDITIONAL COMBINATION
\end{tabular} & \$15.00 \\
\hline \begin{tabular}{l}
4. Blanket - \\
Vehicles of dealers, manufacturers, and transporters of manufactured homes, truckers, contractors and others.
\end{tabular} & On State highways with a minimum pavement width of 20 ft . Vehicle must be of legal height and limited to 10 ft . in width and 72 ft . in length and 125\% of legal weight. Limited to \(100,000 \mathrm{lbs}\). & Minimum One Month up to a Maximum One Year & Permit Form (PERM 39-2) and Cerlificate of Insurance (PERM 17) on file. & \begin{tabular}{l}
\(\$ 80.00\) \\
per vehicle not to \\
\(\$ 380.00\) \\
per vehic
\end{tabular} & \begin{tabular}{c}
\(\$ 60.00\) \\
per month \\
exceed \\
\(\$ 360.00\) \\
e per year
\end{tabular} & & \$15.00 \\
\hline 5. Building Movement & \begin{tabular}{l}
a. Within a City or Incorporated Village. \\
b. Other locations. \\
c. Building acquired by Agreement of Adjustment Sealed Proposal or Private sale by DOT.
\end{tabular} & Up to 5 permissible hauling days & \begin{tabular}{l}
Permit form (PERM 39-1) and Certificate of Insurance (PERM 17) on file or \(\$ 50\) payment for Departmental Blanket Policy coverage. \\
Permit form (PERM39-1) and Certificate of Insurance (PERM17) on file or use Departmental Blanket Policy coverage and Certificate of Transfer of Building or Structure (ROW 115).
\end{tabular} & \[
\begin{array}{r}
\$ 380.00 \\
\text { pet } \\
\text { Permit fe }
\end{array}
\] & \[
\begin{aligned}
& \$ 360.00 \\
& \text { hove } \\
& \text { exemption }
\end{aligned}
\] & N/A & \(\$ 15.00\)
N/A \\
\hline 6. Emergency Blanket * Transporters of equipment required to meet emergency conditions. & \begin{tabular}{l}
On State highways with a minimum pavement width of 20 ft . Vehicle limited to \(116,000 \mathrm{lbs}\). gross weight, 13 ft . in width, 72 ft . in length, legal height. "* \\
Tandem axle limit - \(56,000 \mathrm{lbs}\). Triaxle limit - 60,000 lbs.
\end{tabular} & Maximurn: 12 months or up to day before expiration of insurance & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17) on file. & \[
\$ 380.00
\] & \begin{tabular}{l}
\[
\$ 360.00
\] \\
ehicle year
\end{tabular} & \begin{tabular}{l}
\(\$ 20.00\) \\
PER ADDITIONAL COMEINATION
\end{tabular} & \$15.00 \\
\hline \begin{tabular}{l}
7. Oversize Farm Equipment \\
a. Dealer
\end{tabular} & 1. 50 air mile radius of principal place of business. 15 ft . max. width. & \begin{tabular}{l}
Minimum One Month up to a \\
Maximum of One Year
\end{tabular} & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17) on file. & \begin{tabular}{l}
\(\$ 80.00\) \\
per vehic not to \\
\(\$ 380.00\) \\
per vehic
\end{tabular} & \(\$ 60.00\)
e per month
exceed
\(\$ 360.00\)
le per year & & \$15.00 \\
\hline & 2. Trip & Up to 5 permissible hauling days & Permit Form (PERM 39-1) and Certificate of Insura & \$60.00 & \$40.00 & N/A & \$10.00 \\
\hline b. Farmer Registered Vehicles and Farm Tractors & Trip - From one specific place to another, or round trip for hauling the same equipment or unladen over 17 ft in width & Up to 5 permissible hauling days & Policy coverage. & \$40.00 & \$20.00 & N/A & \$5.00 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline 8. Oversize Snow Plow Equipment for Private Contractor & 25 air mile radius of principal place of business. & October 15 through April 15 & Permit Form (PERM 39-2) and Certilicate of Insurance (PERM 17) on file. & \begin{tabular}{l}
\[
\$ 110.00
\] \\
perve
\end{tabular} & \[
\begin{aligned}
& \$ 90.00 \\
& \text { hicle }
\end{aligned}
\] & & \$15.00 \\
\hline 9. Special Vehicle Combination Available to steel haulers in the Buffalo area and auto car carriers within border areas. & Limited to selected state highways. & \begin{tabular}{l}
a. Weekly \\
b. Monthly \\
c. Annually
\end{tabular} & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17) on file. & \multicolumn{2}{|l|}{\(\$ 120.00\)
per vehicle per week
\(\$ 220.00\) | \(\$ 200.00\)
per vehicle per month
not to exceed
\(\$ 520.00\) | \(\$ 500.00\)
per vehicle per year} & \multirow[t]{4}{*}{\begin{tabular}{l}
\(\$ 20.00\) \\
PER ADDITIONAL COMBINATION
\end{tabular}} & \$15.00 \\
\hline \begin{tabular}{l}
10. Bulk Milk Permit \\
Transporters of Bulk Mik. Limited to legal dimensions and \(125 \%\) of legal weight.
\end{tabular} & On all State highways with continuous travel allowed. Limited to \(100,000 \mathrm{lbs}\). & Minimum One Month up to a Maximum One Year & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17) on file. & \multicolumn{2}{|l|}{\begin{tabular}{c} 
per vehicle per month \\
not to exceed
\end{tabular}
\begin{tabular}{c|c}
\(\$ 380.00\) & \(\$ 360.00\) \\
per vehicle per year
\end{tabular}} & & \$15.00 \\
\hline 11. 100 Mile Radius Permit \({ }^{-}\) Vehicles of dealers and transporters of manulactured homes, contractors and trucking concerns. Limited to 12 ft . in width, 72 ft . in length, and legal height. Length may be increased to 85 ft . for specific vehicles on selected routes. & 100 air mile radius of municipality limits in which busniess is located. Gross weight limited to \(135 \%\), axle weights limited to \(125 \%\) of legal. Limited to \(108,000 \mathrm{lbs}\). & Minimum One Month up to a Maximum One Year & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17) on file. & \begin{tabular}{l}
\(\$ 80.00\) \\
per vehici \\
\(\$ 380.00\) not to \\
per vehicle
\end{tabular} & \(\$ 60.00\)
per month
exceed
\(\$ 360.00\)
per year & & \$15.00 \\
\hline 12. Sealed Shipping Container * Available for the movement of a sealed container to or from & On State highways, limited to legal dimensions and not to exceed 125\% of legal weights. & \multirow[t]{2}{*}{\begin{tabular}{l}
1. Monthly \\
2. Annually \\
3. Trip
\end{tabular}} & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17). & \multirow[t]{2}{*}{\begin{tabular}{l}
Mthly \(\$ 270.00\) \\
Ann \(\$ 770.00\) \\
Trip \(\$ 60.00\)
\end{tabular}} & \multirow[t]{2}{*}{\[
\begin{array}{r}
\$ 250.00 \\
\$ 750.00 \\
\$ 40.00
\end{array}
\]} & & \$15.00 \\
\hline & Trip - From one specific place to another. & & Permit Form (PERM 39-1) and Certificate of Insurance (PERM 17) on file or \(\$ 4\) payment for Departmental Blanket Policy coverage. & & & N/A & \$10.00 \\
\hline 14. Annual Crane Available for the movement of self propelled cranes within specified weight, width and length limits. & \begin{tabular}{l}
25 Air Mile radius of municipality limits in which busines is located. \\
Contiguous counties of a selected base county of which the business is located.
\end{tabular} & Annual & Permit Form (PERM 39-2) and Certificate of Insurance (PERM 17) on file. & \[
\] & \[
\] & N/A & \$15.00 \\
\hline 15. 16 Foot Manufactured Home Avaliable for the movement of Manulactured housing over 14 feet, but not to exceed 16 feet wide. & From one specific place to another, on designated highways. & Up to 5 permissible hauling days & Permit Form (PERM 39-1), Certificate of Insurance (PERM 17) and \(\$ 25,000\) Bond on file. & N/A & \$40.00 & N/A & \$10.00 \\
\hline
\end{tabular}

\footnotetext{
1. 4 Axle Twin Sleer Vehicle
2. 6 Axle Combination Vehicle
3. 7 Axle Combination Vehicle
}
* Overweight moves that are not over-dimensional and are within \(125 \%\) of legal weight and can maintain traffic flow speed are not restricted as to days of travel or time. ** \(116,000 \mathrm{lbs}\). is maximum based on wheelbase and number of axles. See Section 154.1.2(b)(2) and 1.2(b)(10) for weight allowed.
Main Office - (See Reverse for location)
1. Applications for Permits may be obtained at any Regional Office or the Main Office (See

Transporting vehicles shall be identified by make, year of manufacture, model number, 3. Self-propelied vehicies shall be identified by make and model number, year of manufacture, Loads must be described by type and weight of equipment or material being carried or towed. The term "Mobile Home" is sufficient identification of the load for Mobile Home Movements. 5. Modular and mobile homes must be identified by a unit serial number.
6. Overall gross weight and dimensions should also be shown on all applita

Protective Liability Insurance Coverage is required to protect the interests of the people of the State of New York and may be provided by an applicant by:
1. Paying the appropriate insurance fee for Departmental Blanket Policy coverage for Trip or
2. Furnishing a Certificate of Insurance for Highway Permits (PERM17) obtained from the Department, completed by applicant's insurance company and certifying that applicant has used to obtain a Monthly, Annual or a Blanket Permit and may be filed for Trip or Building Movement Permits.

\section*{. PERMIT FEE}

A "Permit Fee" is the charge to be assessed for each oversize and/or overweight vehicle authorized to move on State Highways by issuance of a Special Hauling Permit. Permir fees shal cannot be refunded after permit has been validated.
 applicant obtains title to a building or structure from the Commissioner of Transportation by an applicant files with the Department Form ROW-115 in triplicate and signed by an authorized Department employee.

\section*{c. PAYMENT INFORMATION}

Fees must be paid by money order, a check drawn on a New York State bank, or a certified check made payable to the New York State Department of Transportation. Service Company is used, all fees will be paid by the permittee directly to the Transmission Service Company.

DEFINITIONS
 movements on State Highways during the daylight hours (one-half hour before sunrise to oneSection 385 of the New York State Vehicle and Traffic Law. Special routing conditions or restrictions may be prescribed by the Permit Engineer and when typed or stamped on the permit becomes enforceable by any Police Agency. No movements are allowed on
Saturdays", Sundays, holidays or after 12 noon the day preceding Memorial Day, Independence Day, Labor Day. Thanksgiving Day and Christmas Day, or at night between the period of one-half hour after sunset to one-half hour before sunrise unless otherwise specified.
Night moves may be allowed in Nassau County.
"Building Movement Permit" is a permit which authorizes the movement over State highways of private homes, garages, diners and similar large buildings over 14 feet wide. Department of Transportation are exempt from the payment of permit fees. The movement of smaller buildings may be authorized by regular special hauling trip permits as provided in paragraph (b) (1) of this section. A highway work permit may also be required to authorize the demolition or movement of buildings on the State highway right-of-way.

An "Emergency Blanket Permit" is a permit available to transporters of equipment required to meet emergency conditions. It authorizes all emergency movements, at any time, of specified vehicles or load, limited to 116,000 pounds gross weight for a 5 axie venicie wit a minimum wheel base of 36 feet; A maximum gross weight of 112,000 pounds for a 4 maximum gross welght of 80,000 pounds for a 4 axie vehicle which consists of a stering axle with a minimum wheel base of 22 feet; A maximum gross weight of 73,280 pounds for a 3 axle vehicle with a minimum wheel base of 17 feet, with any tandem axle grouping limited to 56,000 pounds and any triaxie grouping limited to 60,000 pounds. The maximum
dimensions shall not exceed 72 feet in length and 13 feet in width and legal height, for a period not to exceed 12 calendar months, on State highways 20 feet or more in minimum
 before the expiration date of the permittee's current liability insurance policy, which is kept
on file with the Department, if this period is less than 12 calendar months.

An "Oversize Farm Equipment Permit" is a permit that authorizes the movement of vehicles and implements or combination thereof which are over legal width as prescribed
by the Vehicle and Traffic Law and are used solely for farm purposes in accordance with conditions prescribed in the permit. The term "oversize farm equipment" shall apply only to vehicles required to be registered with the Department of Motor Vehicles pursuant to
Article 14 of the Vehicle and Traffic Law and required to display license plate identification. Article 14 of the Vehicle and Traffic Law and required to display license plate identification. equipment permits for dealers in farm equipment; and oversize farm equipment permits for farmers.
 Section 385 of the Vehicle and Traffic Law up to a maximum of 25 feet in width.
9. A "Special Vehicle Combination Permit" is a permit authorizing movement on State highways of: (a) Two or three vehicle combinations, consisting of a tractor semitrailer or
tractor semitrailer and trailer for specifically transporting unprocessed steel, (round bar, bar ingots or steel coils) not to exceed length, height and width as prescribed by the pөиu! ! !


 of not more than a total of seven feet, exclusive of an overhang of not more than three feet
on the front and four feet on the rear of the vehicle and within 14 feet 6 inches in height,
 "Ielues uonnquisip e of sepjuen bumodsuen fo esodind

\footnotetext{
A "Bulk Milk Permit" is a permit for bulk milk haulers. The vehicle must be of legal
} subsection (9) and (10) of Section 385 of the Vehicle and Traffic Law. Continuous travel is allowed.
e 10 speof 10 sep!


 shall not exceed 125 percent of those provided by subsections (8), (9), or (10) of Section
385 of the Vehicle and Traffic Law. The maximum limits of a "100 air mile" are a width of 385 of the Vehicle and Traffic Law. The maximum limits of a " 100 air mile* are a width of
12 feet, length of 72 feet and legal height. A maximum length of 85 feet may be issued for specific vehicles on selected routes, if the highway geometry so allows. For the purpose of
this paragraph a "municipality" shall not include a county.
 containers for out of country moves. Permits for sealed shipping containers may be issued and Traffic Law, and may not exceed 125 percent of the weight allowed by subsections (8), (9) or (10) of Section 385 of the Vehicle and Traffic Law.
14. Annual Crane Permit is which authorizes movement of a self-propelled crane exceeding the width, length and weight limitations of section 385 of the Vehicle and Traffic Law.
These permits are issued on a county-wide basis and/or a air mile radius basis. The axle and/or gross weight is determined by the configuration of the vehicle.
 housingover 14 feet wide, but may not exceed 16 feet. These permits are valid subject to
restrictions contained in Part 154-1.11. restrictions contained in Part 154-1.1.

A "Permissible Hauling Day" is any normal working day, Monday through Friday, during the
daylight hours between one-half hour before sunrise to one-half hour after sunset when weather conditions are favorable. No movements are allowed on Saturdays*, Sundays, or the following holidays: New Years Day, Memorial Day, Independence Day, Labor Day,
Thanksgiving Day and Christmas Day, or after 12 noon the day preceding Memorial Day,
 means any normal working day, Monday through Friday. No movements are allowed at night
between the hours of one-half hour after sunset to one-half hour before sunrise unless otherwise specified.
*Overdimensional and overweight moves that are within 12 feet in width, 85 feet in length,
legal height and which can maintain traffic flow speed are allowed to move until 12 noon on Saturday unless such Saturday falls on a holiday set forth in this section.

A "Permittee" means a municipality, public utility company, private company, corporation, partnership, association or the individual in whose name the permit is issued.
"Vehicle means the fowing unit, such as truck or tractor, or a self-propelled unit, such as Euclid, Truck Crane, etc.
H. "Regional Office" refers to any one of the ten Offices listed below:

\section*{} 207 Genesee St., Utica, N.Y. 13501
333 E. Washington St., Syracuse, N.Y. 13202 125 Main St., Buffalo, N.Y. 14203 107 Broadway, Homell, N.Y. 14843

317 Washington St., Watertown, N.Y. 13601 4 Bumett Blvd., Poughkeepsie, N.Y. 12603
 Veteran's Highway, Hauppauge, N.Y. 11787

\section*{10.}

Main Office" means the Traffic Engineering and Safety Division which is located at the State
Campus, Department of Transportation, 1220 Washington Ave., Building 5, Room 311, Albany,
New York 12232 (518) 457-1155.
1. The maximum legal dimensions (overall, inclusive of load, bumpers, etc.) are:
Height \(131 / 2\) feet; Length 40 feet for a single vehicle and 65 feet for a combination;
width \(81 / 2\) feet. "*
2. Maximum legal weights are:
Wheel - 11,200 lbs.; One axle - 22,400 lbs.; Any two consecutive axies between 46
inches and 10 feet apart - 36,000 lbs.; For vehicles under 71,000 lbs. gross weight, with
a minimum of 3 axles or more per vehicle or combination - 34,000 lbs. plus 1,000 lbs.
per foot or wheelbase as measured beween first and last axles, center to center or the
Federal Bridge Formula, whichever is greater; From 71,000 lbs. to the maximum legal
weight of 80,000 lbs., use only the Federal Bridge Formula to determine gross weight.
* Overdimensional and overweight moves that are within 12 feet in width, 85 feet in length
and which can maintain trafic flow speed are allowed to move until 12 noon on Saturday
unless such Saturday falls on a holiday set forth in this section.
** Except in New York City. Limited to highways with a minimum pavement width of 10 feet.
Commissioner of Transportation may restrict certain highways.
A "Permit Amendment" is an amendment to a Special Hauling Permit, which must be attached
to the original Special Hauling Permit for it to be valid.

An "Additional Trailer Combination" allows more than one trailer to be married to the power unit which produces different axle weights and/or spacings. This option is only available to "Special Hauling Permit Vehicle Configuration Attachment Sheet" must be completed.

\section*{Types of Special Hauling Permits}
1. A "Trip Permit" authorizes the movement of an oversize and/or overweight vehicle from
 permissible hauling days. A "Round trip" authorizes the movement of an oversize and/or A three-way movement of an oversize and/or overweight vehicle requires payment of two A three-way movement of an oversize and/or overweight vehicle requires payment of two
permit fees. A "25 Air Mile Radius Permit" authorizes movements of specified vehicles or loads for a period of either monthly or annually, within a 25 air mile radius of the boundaries or the concerns may obtain an additional permit authorizing movernents of specified vehicles or loads within a 25 air mile radius of limits of a specific construction project. This permit authorizes: A maximum gross weight of 116,000 pounds for a 5 axle vehicle with a minimum wheel base of 36 feet; A maximum gross weight of 112,000 pounds for a 4 axle
 axle with a minimum wheel base of 22 feet; A maximum gross weight of 73,280 pounds for a 3 axle vehicle with a minimum wheel base of 17 feet, with any tandem axle grouping limited to 56,000 pounds and any triaxle grouping limited to 60,000 pounds. The length of 85 feet may be issued for specific vehicles on selected routes, if the highway geometry so allows. For the purpose of this paragraph a "municipality" shall not include a county.
4. A "Blanket Permit" authorizes movements of specified vehicles or loads at weight not to exceed 125 percent of the weights specified in subdivision (8), (9) and (10) of Section 385
 only on highways of 20 or more feet of pavement width. The blanket permit shall be
issued for a period of up to one year.

Definition - Any vehicle or combination of vehicles which exceed 16 feet in width; or 16 feet in height or greater; or greater than 160 feet in length; or 200,000 pounds or greater in gross weight; or a combination of any of the above.

Guidelines: Preapproval applications (PERM 12) for the movement of superloads should be submitted a minimum of at least two weeks prior to the final application for a permit. Manufacturers of these loads are encouraged to contact the Department prior to fabrication to ensure that a permit can be issued, and to determine the appropriate mode of transportation for all or part of the overall route.

Requirements: All applications for the movement of superloads shall be submitted to the Central Permit Office in Albany. The following information will be necessary for processing any superload and is required to be submitted with the permit application:
1. A certification by the manufacturer or designer of the load and/or vehicle that the object or vehicle cannot feasibly be manufactured or broken down into smaller sections.
2. The projected number of similar objects to be moved within the next year. Designers should obtain preliminary approval from the Central Permit Office prior to manufacturing a superload if requesting movement through New York State on or over State highways.
3. Letter from the Permittee taking full responsibility for any damage to structures, roads, signs, utility wires, telephone wires, cable wires, underground pipes or services and any damage caused as a result of the move.
4. Permittee must provide a letter from each municipality whose highways are involved in the move, giving their permission to use their highways. This letter must be on municipal letterhead and include a contact name and phone number for the municipality. If the municipality requires a State permit prior to issuance of their permit, a letter indicating that they will issue a permit contingent on a State permit being issued will be acceptable. A police escort provided by the municipal police agency involved will be accepted in lieu of a letter from the municipality.
5. When overall height is 15 feet or greater, and there is any overhead traffic signal equipment on the route that is within three inches of the height of the permitted load while operating at normal traffic speed, the permittee must provide a signal contractor that is acceptable to NYSDOT to accompany the move with a bucket truck to move the signals when necessary. These locations must be identified in the Route Survey by highway segment and intersection.
6. When overall height is 14 feet or greater, and there is insufficient vertical clearance to avoid conflict with any overhead power, telephone, or cable TV wires on the route while operating at normal traffic speeds the permittee must list within the Route Survey, in order by highway segment, the location of these facilities and all remedial actions to be taken, such as: Arrangements have been made with the utility and they will relocate telephone trunk line to provide sufficient vertical clearance prior to the move; Power company will provide bucket truck and operator to lift power line during move; Cable TV company will provide bucket truck and operator during move to temporarily remove residential service line and reconnect after move. Wherever possible, utility lines shall be raised or removed and clearance established before beginning the move. Removal or raising overhead wires and cables is the responsibility of the permittee and necessary arrangements must be coordinated with the utility companies. The Central Permit Office may require verification that the utility companies have been notified and satisfactory arrangements have been made to accommodate the load and listing any requirements or conditions they have to protect their facilities and move the load safely.
7. When the requested route has an at-grade railroad or other right-of-way crossing the State Highway on which the move is being made, the permittee shall certify that the vehicle(s) can safely cross the facility and provide emergency phone numbers and contact persons names from the appropriate company or agency which has jurisdiction and supply such certification to the Department prior to permit issuance.
8. If application indicates a gross weight of \(160,000 \mathrm{lbs}\). or greater, vehicle/load must have a structural review by the Main Office Structures Division. The Department may require that the applicant have a Professional Engineer licensed in the State of New York perform the required structural analysis and prepare a report to the Department for review.

\section*{PERM12S(Reverse) (07/02)}
9. Bonding must be in place for the following dimensions and or weights:
\begin{tabular}{rlr} 
Height: & \(\geq 16\) Feet but \(<17\) Feet & \(\$ 10,000\) \\
& \(\geq 17\) Feet & \(\$ 30,000\) \\
Width: & \(>16\) Feet & \(\$ 10,000\) \\
Length: & \(>160\) Feet & \(\$ 10,000\) \\
Weight: & \(\geq 200,000\) Lbs. but \(<300,000\) Lbs. & \(\$ 10,000\) \\
& \(\geq 300,000\) Lbs. but \(<400,000\) Lbs. & \(\$ 20,000\) \\
& \(>400,000\) Lbs. & \(\$ 50,000\)
\end{tabular}

If there is a combination of dimension and weight, as listed above, the total bond amount is determined by adding the bond amount required for each dimension and total weight.
10. A detailed "SPECIAL HAULING ROUTE SURVEY FOR OVER DIMENSIONAL VEHICLES" (PERM 85), will be required.
11. If the application indicates a gross weight equal to or greater than 200,000 pounds, the following must be submitted:
A. Schematic showing side and rear elevations and plan view of the complete unit (Tractor, trailer, jeep dollies) which will transport the load. This will allow for total viewing of the unit with overhangs and axle configuration.
B. Number of tires per axle and tire ratings (both single and dual capacity).

During review of the application the Department may also require:
C. Manufacturer's specifications on the: Power Unit; Trailer; Jeep dollies or any other equipment being used to transport the vehicle. This must include the Vehicle Identification Number (VIN), Gross Axle Weight Ratings (GAWR) for each axle, and the Gross Vehicle Weight Rating (GAWR) for each vehicle transporting the load.

\section*{SUPPLEMENTAL INFORMATION:}

During review of application, if determined necessary, the Department may require signed statements from other transportation facility providers on their letterhead (typically, Marine Terminal Operator and/or Railroad Company) that they are unable to accommodate a move over all or reasonable part of the overall route.

\section*{PRIOR TO THE MOVE.}

Police Escorts are required for all superloads. Upon issuance of a final permit the permittee should contact Police to make arrangements at least two (2) business days prior to the move. Prior to the move, the permittee shall make arrangements for all necessary escorts, equipment, personnel or other items that must accompany the move.

\section*{DURING THE MOVE}

During the move the Permittee must adhere to the following:
1. Any special requirements that the utility, telephone and cable companies require when handling their respective lines.
2. Any special requirements of the municipalities whose facilities the move transits.
3. At least 10 percent, or a minimum of 5 spare tires (whichever is less), of the transport vehicle must be available with the move.
4. Necessary equipment to change tires or inflate tires must be available with the move.
5. Vehicle must conform to safety requirements of State and Federal Law (i.e. Flares, emergency reflectors, etc.)
6. Have immediate access to an operable cell phone which has no blocks placed on the phone.
7. For certain moves the Department may require that a licensed engineering firm perform an analysis and prepare a report on any or all of the bridges that the permitted load must cross. In such cases the permittee must retain the services of that engineering firm to accompany the permitted load during its move. This licensed engineer, or representative, will be responsible to make sure the permitted load crosses all structures as required by its report or as directed by the permit.
8. Ensure that all Certified Escort drivers involved in the move have a valid Certified Escort license and drivers license.
9. Provide a sufficient number of handheld communication devices to allow effective communication between the driver of the permit vehicle, police escorts, Certified Escorts, and any Department or engineering staff representatives escorting the permit vehicle.

\section*{STATE OF NEW YORK DEPARTMENT OF TRANSPORTATION \\ SPECIAL HAULING PRE-APPROVAL APPLICATION FORM FOR A FUTURE PERMITT}

THIS FORM MAY BE USED AS AN APPLICATION FOR PRE-APPROVAL TO TRANSPORT A SUPERLOAD OVER HIGHWAYS UNDEA THE CONTROL OF THE COMMISSIONER OF TRANSPORTATION. THIS IS NOT A PERMIT. IF APPROVED, THIS APPLICATION WILL BE VALID FOR SIXTY DAYS FROM DATE OF APPROVAL AND SHOULD BE ATTACHED TO THE PERMIT APPLICATION WHEN APPLYING FOR THE ACTUAL PERMIT. AT TIME OF APPLICATION FOR THE PERMIT IF THERE ARE NO CHANGES TO THE HIGHWAY INFRASTRUCTURE ON THE PRE-APPROVED ROUTES, THE PERMIT WILL AUTOMATICALLY BE ISSUED. ALL DATE ON THE PERMIT APPLICATION MUST BE IDENTICAL TO THE PRE-APPROVAL.

\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{FOR OFFICIAL USE ONLY} \\
\hline DATE AND TIME REC'D: & APPLICATION PREVIOUSLY REJECTED AS RESULT OF ERROR BY THE: TRANSMISSION CO. state \\
\hline STATE FEE: \(\quad 40.00\) & \\
\hline \begin{tabular}{l}
STRUCTURAL REVIEW RESULTS \\
NO BRIDGE RESTRICTIONS \(\qquad\) \(\square\) \\
"R" BRIDGES AT <5 MPH \(\qquad\)
\(\square\) \\
NO "R" BRIDGES \(\qquad\) \\
H 20 OK \(\qquad\) \\
H20<5MPH \(\qquad\) \\
CROSS ALL H 20 BRIDGES DOWN C.L \(\qquad\) \\
(POLICE ESCORT REQUIRED) \\
INTERSTATE BRIDGES <5 MPH \(\qquad\)
 \\
CROSS ALL INTERSTATE BRIDGES DOWN C.L. .. \(\square\) \\
(POLICE ESCORT REQUIRED) \\
NO BRIDGE CROSSING ALLOWED . \(\qquad\)
\(\square\) \\
APPROVED BY: \(\qquad\)
\end{tabular} & \begin{tabular}{l}
PERMIT REQUIREMENTS \\
In addition to the General Restrictions of a permit, the Structural Revlew Results checked and the following Special Requirements indicated by \(X\) will be necessary if this vehicle is approved to travel on the State Highway System. \\
CERTIFIED ESCORT TO PRECEDE ON 2 LANE HIGHWAYS \(\qquad\) \(\square\) LEAD ESCORT VEHICLE TO HAVE PROPER HEIGHT POLE ATTACHED \(\qquad\) CERTIFIED ESCORT TO FOLLOW ON HIGHWAYS OF MORE THAN 2 LANES \(\qquad\)
\(\square\) \\
THIRD CERTIFIED ESCORT REQUIRED: FRONT/REAR \(\qquad\) POLICE ESCORT REQUIRED, CONTACT POLICE 48 HOURS PRIOR TO MOVE
\(\square\)
\(\qquad\) \\
NO TRAVEL IN NEW YORK STATE 7-9 am and \(4-6 \mathrm{pm}\) \(\qquad\)
\(\square\) BOND REQUIRED IN THE AMOUNT OF \(\$\) \(\qquad\) \\
SEE ATTACHED - PAGE \(\qquad\) OF \(\qquad\) OTHER. \(\qquad\)
\(\qquad\) \(\square\)
\(\square\) \\
VALIDATION \\
APPROVAL NO.: \(\qquad\) INITIALS: \(\qquad\) \\
DATE APPROVED: \(\qquad\)
\end{tabular} \\
\hline
\end{tabular}

\section*{INSTRUCTIONS - PERMIT TYPE 19}

\section*{GENERAL:}

This form may be used as an application for pre-approval to transport a superload over highways under the control of the Commissioner of Transportation. THIS IS NOT A PERMIT. If approved, this application will be valid for sixty days from the date of approval and should be attached to the permit application when applying for the actual permit. *Please direct any inquiries or correspondence to: NYS Department of Transportation, 1220 Washington Ave, Bldg 5, Rm 311, Albany NY 12232; Phone Number: 1-888-783-1685.

\section*{APPLICANT:}

Permit Account No.: This number will be assigned by DOT upon issuance of first permit. If this number has been established by prior permit, it must be supplied on application.

\section*{APPLICATION NO.:}

This number is for Transmission Service Companies Only.

\section*{LOAD DESCRIPTION:}

Describe what you are transporting. If it is construction equipment, list the make, model and serial number. If it is other than construction equipment, list the bill of lading number:

MOTOR CARRIERS NAME AND ADDRESS: The individual/company the permit will be issued. If a PERM 17 is on file, the name must be the same as permit applicant. The address (including ZIP) of the name stated on the application must be shown.

\section*{VEHICLE AND LOAD DIMENSIONS AND WEIGHTS:}

List the overall Length, Width and Height of the vehicle and load. If any dimension is within legal limits, state legal in the appropriate location.

List the Overhang, both front and rear. If no overhang, state 0 . (Overhang is that portion of any protrusion past the main body of the vehicle).
List the gross vehicle weight. If the vehicle and load are within legal weight, state legal in this section. If the vehicle and load exceed legal weight state the gross weight and complete the individual axle weight, manufacturers tire rating and spacing between the centers of each axle. MANUFACTURERS TIRE RATINGS are given on the side of each tire, for both single and dual rating (For the steering axle there is usually only two tires, one on each end of the axle, you would read the single axle rating for each tire and add them together for your total steering axie manufactures rating. For more than one tire on each end of the axle, use the dual rating multiplied by the TOTAL. number of tires for that axle).

\section*{VEHICLE AND TRAILER INFORMATION:}

List the Power Unit and Trailer state or jurisdiction (ST/JUR), where the power unit and trailer is base plated.
List the Power Unit and Trailer registration number. This is the license plate number.
List the number of axles on the power unit and trailer. The steering axle counts as an axle.

\section*{GROSS WEIGHT:}

List the Gross Vehicle Weight. If the vehicle and load are within legal weight, state the gross weight and complete the individual axle weight, manufacturers tire rating and spacing between the centers of each axle.

\section*{REGISTERED WEIGHT:}

Registered weight of the vehicle as it appears on the NYS Vehicle Registration.

\section*{COMBINED GVW RATING:}

Total Gross Vehicle Weight rating of the vehicle and any load.

\section*{SPECIFIC ADDRESS OF TRIP ORIGIN AND DESTINATION:}

If the trip originates or ends in New York State, the complete address must be shown (i.e. Origin: 123 Genesee St. Utica, NY, Destination: 65 Main St., llion, NY.) If the trip originates or ends outside of New York State, list the City and State. (i.e. Harrisburg, PA.)

ROUTING:
State the routes you would like to travel in New York State.
, as Surety are held, and firmly bound unto the DEPARTMENT OF TRANSPORTATION, STATE OF NEW YORK, as Obligee, in the sum of
\(\qquad\) lawful money of the United States, to be paid to the said OBLIGEES its certain attorneys, executors, administrators, successors or assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has made an application to the OBLIGEES for a permit to haul oversize and/or overweight equipment, and

WHEREAS, the \(O B L I G E E S\) will grant such permit for such moving, upon certain terms and conditions.

NOW THE CONDITION OF THE FOREGOING OBLIGATION IS SUCH, That if the above bounden Principal shall in all respects comply with the terms and conditions of said permit and fully meet and perform his, their or its obligations thereunder, and shall well and truly and in a manner satisfactory to the OBLIGEES complete the work permitted and save harmless the OBLIGEES from any expense incurred through the failure of said principal to comply with the terms and conditions of said permit or from any damage growing out of the negligence of the said Principal, or his, their or its agents or employees that the above obligation to be void and of non effect; otherwise, to remain in full force and virtue in law.

IN TESTIMONY WHEREOF, the said Principal has hereunto set his hand and seal and the said Surety has caused this instrument of writing to be executed.
SIGNED, sealed and dated this \(\qquad\) day of \(\qquad\) Year \(\qquad\) .

The total obligation of the Surety, in the event of loss, is \(\qquad\)

\section*{PRINCIPAL}
(Note: If DBA also provide Name of Legal Entity and Copy of "Certificate of Conducting Business under an Assumed Name" that was filed in County Clerk's Office - e.g. John Jones dba Jones Trucking)
Contact: \(\qquad\)
Address: \(\qquad\)

Telephone No.: \(\qquad\)
By: (Seal)

Telephone No.: \(\qquad\)

\section*{SURETY}

By:
Attorney-in-Fact
Address: \(\qquad\)

\title{
CERTIFICATE OF INSURANCE FOR SPECIAL HAULING, DIVISIBLE LOAD OVERWEIGHT, AND HIGHWAY WORK PERMT INSURANCE REQUIREMENTS
}

\title{
CHECK BOX(ES) FOR EACH TYPE(S) OF PERMIT(S) OBTAINED FROM THE NYS DEPARTMENT OF TRANSPORTATION
} (see reverse for permit definitions)
\(\square\) Special Hauling Permits
\(\square\) Divisible Load Overweight Permits
\(\square\) Highway Work Permits Restricted Vehicle Permits
1. NAME OF PERMIT APPLICANT
(The Legal Name of the Business Entity, i.e., Corporation, Partnership or individual, that owns/controls the motor carrier operation. Name on Insurance Certificate \& Permit Application must be identical - one name only. The Applicant's motor vehicle registration operator's name must also match for Divisible Load Overweight Permits. NOTE: If DBA, also provide Name of Legal Entity and Copy of "Certificate of Conducting Business under an Assumed Name" that was filed in County Clerk's Office.)
2. PHYSICAL ADDRESS OF PERMIT APPLICANT
(Provide street address of principal place of business; may attach additional PERM 17 ATTACHMENT sheet listing physical addresses of branch offices if application for permits will be for those locations.)
- PLEASE CHECK HERE IF THIS IS A CHANGE OF ADDRESS

2a. MAILING ADDRESS OF PERMIT APPLICANT (If different than above) \(\square\) PLEASE CHECK HERE IF THIS IS A CHANGE OF ADDRESS
3. TELEPHONE NUMBER OF PERMIT APPLICANT
4. NAME OF PERMIT APPLICANT CONTACT PERSON

5a. MOTOR VEHICLE LIABILITY POLICY NUMBER
(See Policy requirements in B on reverse) Binders, and unassigned policy numbers are only valid for 30 days.
5b. EFFECTIVE DATE EXPIRATION DATE
The wording "Continuous Until Cancelled" in place of expiration date is NOT acceptable. Maximum duration one calendar year.
6a. PROTECTIVE LIABILITY POLICY NUMBER
(See Policy requirements in A or C on reverse) Binders, and unassigned policy numbers are only valid for \(\mathbf{3 0}\) days.
6b. EFFECTIVE DATE
EXPIRATION DATE
The wording "Continuous Until Cancelled" in place of expiration date is NOT acceptable. Maximum duration one calendar year.
7. MOTOR CARRIER ID. All permit applicants must provide a USDOT number to obtain permits, with the exception of Federal \& State Agencies and municipalities, and private individuals transporting personal property. (Check as appropriate-Commercial carriers must have a USDOT Number.
\(\square\) USDOT Number - Exempt - Federal \& State Agency/Municipality Private Individual
USDOT numbers are issued by the Federal Motor Carrier Safety Administration (Form MCS-150) for interstate carriers or NYSDOT Passenger \& Freight Safety Division (Form MCS-150 NY) for intrastate carriers. To obtain a USDOT number you can:
(1) use the internet at: http://safer.fmesa.dot.gov to apply online;
(Interstate carriers)
(2) call toll-free 1-800-832-5660 or 518-431-4145 and press " 0 " for mail or fax information;
(Intrastate carriers)
(3) call toll-free 1-866-881-2630 for mail or fax information
(Intrastate carriers)
8. FEIN Number
(Federal Employee Identification Number is also known as the IRS Tax Identification Number and is required for All For Hire Carriers, agencies and municipalities.)

In accordance with NYS Department of Transportation requirements (See NYCRR, Title 17, Part 154), the subscribing insurance company hereby certifies that a protective liability insurance policy (only option for Highway Work Permits) or, in the alternative, a motor vehicle insurance policy and endorsement has been issued to the Permit Applicant:
A. if a protective liability insurance policy, for the protection of the people of the State of New York, all municipal subdivisions thereof, and the Commissioner and NYS Department of Transportation, the NYS Thruway Authority, the State Bridge Authority and their officials, officers, and employees as named insureds, (and no other co-insureds), for covering bodily injury (including death) with minimum limits of \(\$ 500,000\) each occurrence and covering property damage with minimum limits of \(\$ 100,000\) each accident and minimum aggregate annual limits of \(\$ 500,000\), against actions resulting from use of a Highway Permit by the Permittee or by an person acting by, through or for the Permittee, including omissions and supervisory acts of any of the named insureds; or
B. if a motor vehicle insurance policy and endorsement, with the People of the State of New York, all municipal subdivisions thereof, and the Commissioner and NYS Department of Transportation, the NYS Thruway Authority, the State Bridge Authority and their officials, officers, and employees as additional insureds under the policy, covering bodily injury (including death) with minimum limits of \(\$ 750,000\) each occurrence and covering property damage with minimum limits of \(\$ 250,000\) each occurrence or \(\$ 1\) million combined single limit each occurrence; or
C. if extended coverage, a protective liability insurance policy, for the protection of the people of the State of New York, all municipal subdivisions thereof, and the Commissioner and NYS Department of Transportation, the NYS Thruway Authority, the State Bridge Authority and their officials, officers, and employees as named insureds, (and no other co-insureds), for Major Commercial Highway Work Permits - covering bodily injury (including death) with minimum limits of \(\$ 1,000,000\) each occurrence and covering property damage with minimum limits of \(\$ 200,000\) each accident and minimum aggregate annual limits of \(\$ 1,000,000\), against actions resulting from use of a Highway Permit by the Permittee or by an person acting by, through or for the Permittee, including omissions and supervisory acts of any of the named insureds.

Any subscribing insurance company providing insurance pursuant to \(\mathrm{A}, \mathrm{B}\) or C above, certifies and agrees that such insurance policy shall not be cancelled until thirty (30) days written cancellation notice has been given the NYS Department of Transportation, indicating the permit applicant's name, permit account number (obtain from permit applicant), address, and policy number. Notice of reinstatement must be made by a reinstatement notice or a completed Certificate of Insurance (PERM 17) and sent to the NYS Department of Transportation to the attention of the Central Permit Office. In addition, the subscribing insurance company issuing a protective liability insurance policy (pursuant to A above) or a motor vehicle insurance policy (pursuant to \(B\) above), further certifies and agrees that the insurance policy referred to herein shall not be changed or cancelled unless:
1. All trips authorized by the Permit have been made; or
2. The effective period of the Permit has expired; or
3. In the case of a Highway Work Permit, all work authorized has been completed and accepted by the NYS Department of Transportation.

This certificate is furnished in accordance with the rules and regulations of the NYS Department of Transportation pertaining to Highway Permits. No Monthly or Annual Permits will be issued if the effective date of the Permit is not covered by the Insurance Certificate.

A Certificate of Insurance (PERM 17) is the only acceptable proof of insurance. PLEASE DO NOT SEND ACCORD FORMS, INSURANCE CARDS, COPIES OF POLICIES, ETC. Altered certificates will NOT be accepted. Certificates must be sent to the Central Permit Office at the address noted on the front of the form. If you would like to fax the certificate, the number is \(518-457-0367\). Updates and changes may be made by submitting a new Certificate of Insurance (PERM 17), as the most recent form will supersede all previous Certificates of Insurance (PERM 17) on file with the NYS Department of Transportation.

SPECIAL HAULING PERMITS (Used for transporting over-dimension and/or overweight non-divisible items on highways, e.g. manufactured homes, heavy construction equipment, buildings, etc.) Policy provided must be in accordance with \(A\) or \(B\) above.

DIVISIBLE LOAD OVERWEIGHT PERMITS (Used for transporting overweight divisible loads on highways, e.g. sand, gravel, fuel oil, milk, etc.) Policy provided must be in accordance with \(A\) or \(B\) above.

HIGHWAY WORK PERMITS (Used for installing and/or maintaining facilities on State right-of-way - coverage in such case shall be written only as protective liability insurance policy and shall also include completed operations liability insurance with respect to liability imposed by law arising between the date of final cessation of the work pursuant to the Highway Work Permit and the date of final acceptance of such work by the State.) Policy provided must be in accordance with \(A\) or \(C\) above.
RESTRICTED VEHICLE PERMITS (Necessary for vehicles registered as commercial to travel legally on restricted Parkways, and are SOLELY for the purpose of work done on the Parkways or to access areas that are only accessible via the Parkways.)

Authorized Signature of Insurance Agent

PERM 17 ATTACHMENT (11/05) NYS Department of Transportation Central Permit Office 50 Wolf Road, 1st Floor Albany, NY 12232

ATTACHMENT TO CERTIFICATE OF INSURANCE FOR SPECIAL HAULING, DIVISIBLE LOAD OVERWEIGHT, AND HIGHWAY WORK PERMIT INSURANCE REQUIREMENTS

THIS FORM MUST BE SUBMITTED WITH THE APPROPRIATE CERTIFICATE OF INSURANCE (PERM 17) TO BE PREPARED BY INSURANCE AGENCY OR INSURANCE COMPANY
1. NAME OF PERMIT APPLICANT \(\qquad\)
2. USDOT Number \(\qquad\) 3. FEIN Number \(\qquad\)
4. Consider the Certificate of Insurance (PERM 17) as PAGE 1, this ATTACHMENT is PAGE \(\qquad\) of \(\qquad\) TOTAL PAGES
5. BRANCH OFFICES - Additional locations also listed and covered by the same insurance policy indicated on page one, the Certificate of Insurance (PERM 17), where the insured has a physical place of business and the vehicles are dispatched from while operating under a NYS Department of Transportation permit.

NAME OR DESIGNATION OF BRANCH OFFICE: \(\qquad\)
BRANCH OFFICE PHYSICAL ADDRESS: \(\qquad\)
BRANCH OFFICE MAILING ADDRESS: \(\qquad\)
TELEPHONE NUMBER OF BRANCH OFFICE: \(\qquad\)
CONTACT PERSON: \(\qquad\)
NAME OR DESIGNATION OF BRANCH OFFICE: \(\qquad\)
BRANCH OFFICE PHYSICAL ADDRESS: \(\qquad\)
BRANCH OFFICE MAILING ADDRESS: \(\qquad\)
TELEPHONE NUMBER OF BRANCH OFFICE: \(\qquad\)
CONTACT PERSON: \(\qquad\)
NAME OR DESIGNATION OF BRANCH OFFICE: \(\qquad\)
BRANCH OFFICE PHYSICAL ADDRESS: \(\qquad\)
BRANCH OFFICE MAILING ADDRESS: \(\qquad\)
TELEPHONE NUMBER OF BRANCH OFFICE: \(\qquad\)
CONTACT PERSON: \(\qquad\)

\section*{STATE OF NEW YORK DEPARTMENT OF TRANSPORTATION}

SPECIAL HAULING TRIP \& BUILDING MOVEMENT PERMIT



OF TRIP ORIGIN:
OF TRIP DESTINATION:
REQUESTED ROUTING:

I WE) HAVE READ THIS FORM AND HEREBY CERTIFY THAT THE ABOVE DATA IS CORRECT TO THE BEST OF MY (OUR) KNOWLEDGE AND BELIEF. THAT THE LOAD IS NONDIVISIBLE. THAT SATISFACTORY ARRANGEMENTS HAVE BEEN MADE WITH THE PROPER AUTHORITIES TO TRAVEL ROADS AND CROSS OVER AND UNDER ALL STRUCTURES NOT UNDER THE JURISDICTIONAL AUTHORITY of The deparment of transportation. by accepting this permit, permittee certifies that the permit vehicle is properly constructed to safely carry the permitted load. that the permitted vehicle conforms to the REQUIREMENTS OF ALL STATE LAWS.

FOR OFFICIAL USE ONLY

APPROVED ROUTING:
Control No.: \(\qquad\)

PERMIT EFFECTIVE DATE: From \(\qquad\) To \(\qquad\) Permit No.: \(\qquad\)

\section*{GENERAL RESTRICTIONS:}

No Travel 7-9 a.m. and 4-6 p.m. for vehicles exceeding the size shown below in the area described: Wider than 10 ft . and/or longer than 72 ff . in Binghamton.
Wider than 10 ft . and/or longer than 85 ft . within a 10 mile radius of Syracuse. Wider than 11 ft. in the cities of Hurson, Kingston, Newburgh, Poughkeepsie. Wider than 12 ft . In the citles of Utica or Amsterdam (Contact police prior to move).
Wider than 13 ft . in Albany and Rensselaer Counties on Routes \(1-87,1-90\), and \(1-787\).
\(18^{\prime \prime} \times 18^{\prime \prime}\) minimum, red or orange fluorescent flags on staffs at extremitles of vehicielload are required on all over dimensional loads. Warning signs and lights are required on permited load.

\section*{SPECIAL REQUIREMENTS INDICATED BY X:}Certified escort vehicle to precede on two lane highways.Lead escort vehicle to have proper height pole attached.CertifiedThird certified escort vehicle required: Front \(\qquad\) Rear \(\qquad\)
lanes.
Police escort required on
No travel business districts \(7-9\) a.m. \& 4-6 p.m.No travel in New York State 7-9 a.m. \& 4-6 p.m.Cross no "R" posted structures.Cross all "R" posted structures at less than 5 miles per hour.Cross all non-interstate structures at less than 5 miles per hour.
_ Thruway permit required.Night move allowed.Continuous travel authorized.Night travel authorized: Nassau \& Suffolk counties only, notify police prior to move. Saturday move allowed untll 12 noon.
\(\qquad\) C \(\qquad\) w \(\qquad\)
Initials:
Time: \(\qquad\)
_ Other
ALL. MOVES MUST CONFORM TO PART 154-1, TITLE 17 OF THE NEW YORK STATE COMPILATION OF CODES, RULES AND REGULATIONS. THIS PERMIT MUST BE WTH THE VEHICLE DURING THE MOVE FOR THE MOVE TO BE VALID.
A. GENERAL:

The form PERM 39-1 is used in connection with Special Hauling Trip, Building Movement and Farm Equipment Trip Permits. The form may be typed or hand printed. An application form must be submitted for each vehicle or combination of vehicles for which a permit is being requested, A CERTIFICATE OF INSURANCE FOR HIGHWAY PERMITS (PERM 17) must be either on file and cover the time period of the permit or insurance may be obtained under the Department of Transportation blanket insurance policy.
Applicants for Special Hauling permits for vehicles that have a gross weight of 18,000 pounds or more must obtain a HIGHWAY USE TAX STICKER from the Highway Use Tax Unit, NYS Department of Taxation and Finance, State Campus, Albany, New York, for the permit to be valid.
This permit is only valid for highways under Department of Transportation jurisdiction. Permission must be obtained from appropriate authority when traveling over Thruway, Parkway, County. Roads, Town Highways, City or Village Roads.
The applicant agrees to assume all responsibility and liability for damages to persons or property that may occur during movements of the vehicle or combination of vehicles, through negligence of himself, his agent or employees or from any other causes and to save the State of New York harmless therefrom.
The permit is revocable without hearing or necessity of showing cause, either before or during movement.
B. APPLICANT:

Permit Account No.: This number will be assigned by DOT upon issuance of first permit. If this number has been established by prior permit, it must be supplied on application.
Requested Start Date: The day you wish to start your move.
Motor Carrier's Name and Address: The individual/company the permit will be issued to. If PERM 17 is on file, the name must be same as permit applicant or an insurance fee must accompany the permit application. The address (including ZIP) of the name stated on the application.
C. LOAD DESCRIPTION:

Describe what you are transporting. If it is equipment, list the make, model and serial number. If it is a sealed shipping container, list the shipping container number or bill of lading number. For mobile/modular units, list the serial number of the unit.
D. VEHICLE AND LOAD DIMENSIONS AND WEIGHTS:

List the overall Length, Width and Height of the vehicle and load. If any dimension is within legal limits, state legal in the appropriate location.
List the Overhang; both front and rear. If no overhang, state 0.
List the gross vehicle weight. If the vehicle and load are within legal weight, state legal in this section. If the vehicle and load exceed legal weight state the gross weight and complete the individual axle weight, manufactures tire rating (MAN. TIRE RATING) and spacing between the centers of each axle. MANUFACTURES TIRE RATINGS are given on the side of each tire, for both single and dual rating (For the steering axde there is usually only two tires, one on each end of the axte, you would read the single axie rating for each tire and add them together for your total. steering axle manufactures rating. For more than one tire on each end of the axie, use the dual rating mutiplied by the TOTAL number of tires for that axie). If more room is needed, attach. a second PERM 39-1 with the following items completed: Motor Cartiers Name and Address, Power Unit Identification and additional axle spacing and weights.
E. FEINISS\#:

This is your federal indentification number for your business. If you do not have a \(F E \mathbb{N}\), state your sócial security number.
F. VEHICLE AND TRAILER INFORMATION:

List the Power Unit and Trailer state or jurisdiction (ST/JUR), where the power unit and trailer is base plated.
List the Power Unit and Trailer registration or vehicle identification number. This is the license plate number.
List the number of axles on the power unit and trailer. The steering axle counts as an axle.
G. SPECIFIC ADDRESS OF TRIP ORIGIN AND DESTINATION:

If the trip originates or ends in New York State, the complete address must be shown (i.e. Origin: 123 Genesee St., Utica, NY., Destination: 65 Main St., llion, NY.)
- If the trip originates or ends outside of New York State, list the City and State. (i.e. Harrisburg, PA.)
H. REQUESTED ROUTES:

State the routes you would like to travel in New York State. If more room is needed, attach a second PERM 39-1 with the following items complated; Motor Carriers Name and Address, Power Unit Identification and additional routing.
I. INSURANCE AND FEES:

Insurance on file: Place a check mark or the line if you have a current PERM 17 on file with the Permit Section.
Permit Fee: Refer to "Information to Applicant for Special Hauling Permits (PERM 43) for cost of the permit. List the amount on this line.
Liability insurance Fee: If you do not have a PERM 17 on file, you must pay for the use of a blanket insurance policy which protects the laxpayers of NY State. The cost of this insurance is listed on the PERM 43.
Total Fee: List the total amount of money you are enclosing. DO NOT SEND CASH.
Check No.: List your check or money order number, which you have enclosed.
J. SIGNATURE AND PHONE NUMBER OF APPLICANT:

The applicant or representative of the company must sign the application and give a phone number (induding area code). where the applicant can be reached.
K. FOR OFFICIAL USE ONLY:

DO NOT WRITE IN THIS SPACE. This space is used to tell you what routes you can travel on, how long your permit will be effective, and what restrictions and requirements will be necessary for you to comply with to move your load on the state highway.
L. PERMISSIBLE HAULING DAYS

Any normal working day, Monday through Friday, during the daylight hours between one-half hour before sunrise and one-half hour after sunset, when weather conditions are favorable. No movements are allowed at night (between the hours of one-half hour after sunsel and one-half hour before sunnise) on Saturdays, Sundays, or the following holidays: New Years Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day, or from 12 noon the day preceding Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day. The day preceding means any normal working day, Monday through Friday.

\section*{INSTRUCTIONS FOR REQUESTING A PERMIT:}
- Original and yellow copy to be submitted to the Department of Transportation.
-- This Permit may be mailed or hand delivered to: New York State Department of Transportation, 1220 Washington Avenue, Building 5, Room 311, Albany, New York 12232 or any Regional Office.
-- Permit applications which are mailed must be submitted 10 days prior to move to allow for mail and processing time. You must have the permit in the vehicle in order to travel on the State Highway System.
-- All requested information must be completed for us to process your permit.

\section*{SPECIAL HAULING PERMIT AMENDMENT}

After validation, this permit amendment becomes part of the original issued Special Hauling Permit. All provisions of the original permit apply to this amendment. Any new information listed will supersede the original permit.
\begin{tabular}{|c|c|c|c|c|}
\hline PERMIT ACCOUNT NO. & APPLICATION NO. & EXISTING PERMIT NUMBER & \multicolumn{2}{|l|}{EXISTING PERMIT EFFECTIVE DATES} \\
\hline & & & FROM: & TO: \\
\hline \multicolumn{2}{|l|}{\multirow[t]{2}{*}{MOTOR CARRIER'S NAME AND ADDRESS}} & \multicolumn{3}{|l|}{INFORMATION WHICH MAY BE AMENDED (CHECK APPROPRIATE LINE)} \\
\hline & & \(\qquad\) POWER UNIT
\(\qquad\) TRAILER
\(\qquad\) GROSS WEIGHT
\(\qquad\) REGISTERED WEIGHT
\(\qquad\) MANUF. TIRE RATING
\(\qquad\) ORIGIN
\(\qquad\) dESTINATION & \(\qquad\) ROUTES
\(\qquad\) NUMBER OF AXLES
\(\qquad\) AXLE WEIGHT
\(\qquad\) AXLE SPACING
\(\qquad\) OVERHANG-FRONT
\(\qquad\) OVERHANG-REAR & \(\qquad\) EFFECTIVE DATES
\(\qquad\) LOAD DESCRIPTION
\(\qquad\) OVERALL HEIGHT
\(\qquad\) OVERALL LENGTH
\(\qquad\) OVERALL WIDTH
\(\qquad\) LICENSE PLATE \\
\hline
\end{tabular}

\section*{AMENDED INFORMATION}

Whaymud FOR OFFICIAL USE ONLY

\section*{STATE FEE:}

\section*{SPECIAL REQUIREMENTS INDICATED BY X:}Certified escort vehicle to precede on two lane highways.Lead escort vehicle to have proper height pole attached.Certified escort vehicle to follow on highways of more than two lanes.Third certified escort vehicle required: Front \(\qquad\) Rear \(\qquad\)Pollice escort required on \(\qquad\) contact police 48 hours prior to moveNo travel business districts 7-9 a.m. \& 4-6 p.m.No travel in New York State 7-9 a.m. \& 4-6 p.m.Cross no 'R' posted structures.Cross all ' \(R\) ' posted structures at less than 5 miles per hour.Cross all non-interstate structures at less than 5 miles per hour.Thruway permit required.
_ Night move allowed.Continuous travel authorized.
Night travel authorized: Nassau \& Suffolk counties only, notify police prior to move.Saturday move allowed until 12 noon.See attached - Page \(\qquad\) of \(\qquad\) Requirements same as original permit.

Initials: \(\qquad\)
Time: \(\qquad\)

\section*{INSTRUCTIONS}

GENERAL: The form PERM 39-3 is used in connection with Amending a Special Hauling Permit. This form may be typed or hand printed. An Amendment form must be submitted if there are changes: to the original issued permit. Once the Amendment is approved, it becomes part of the original permit and must be carried with the original on the vehicle to which it pertains. The special requirements on the Amendment will supersede the original special requirements, in addition to any other requirements stated on either the original or amended permit.

PERMIT ACCOUNT NO.: List your account number assigned to you by DOT. If you are a Transmission Service Company, list the permittee's DOT account number.

\author{
APPLICATION NO.: If you are a Transmission Service Company, list your application number. For individuals applying, leave this blank.
}

EXISTING PERMIT NUMBER: List the existing permit number of the permit which is to be amended.

EXISTING PERMIT EFFECTIVE DATES: List the effective dates of the permit which is to be amended.

MOTOR CARRIER'S NAME AND ADDRESS: The name and address as shown on the existing permit which is to be amended.

INFORMATION WHICH MAY BE AMENDED: Check the appropriate information which is to be amended from the original permit; i.e., if you are changing the origin of your trip, check this box and list the new origin in the "Amended Information". section on the application. A change such as an origin or destination could change your routes. For change in routes, all routes must be shown from origin to destination within this State.

AMENDED INFORMATION: List how the information is to be changed; i.e., if you had checked that you were changing the origin, the new origin would be listed here. For axle weights or gross weight changes, you must list all axles and spacings of the vehicles or combination of vehicles, not just the item which is being changed. If more space is needed, attach another completed PERM 39-3.

FOR OFFICIAL USE ONLY: DO NOT WRITE IN THIS SPACE. This space is used to approve your Amendment and let you know if there are any additional special requirements necessary to move the load.

\section*{SPECIAL HAULING ROUTE SURVEY FOR OVER DIMENSIONAL VEHICLES}

THE ROUTES SHOWN BELOW FOR THE MOVEMENT OF A:
(load description)
OVERALL VEHICLE DIMENSIONS
(in feet \& inches)

HEIGHT: \(\qquad\) ' - \(\qquad\) " LENGTH: \(\qquad\) '- \(\qquad\) " WIDTH: \(\qquad\) '- \(\qquad\) \(n^{\prime \prime}\)

GROSS VEHICLE WEIGHT: \(\qquad\) POUNDS

FROM: \(\qquad\)
(origin)

TO: \(\qquad\)

HAVE BEEN PHYSICALLY SURVEYED ON:
(date survey performed)
FOR: \(\qquad\)
ON ROUTES:
\(\qquad\)
\(\qquad\)

AND THERE IS SAFE AND SUFFICIENT CLEARANCE TO ALL OBSTACLES AND THAT THE ROUTE SURVEYED FOR HEIGHT IS AT LEAST 3 INCHES HIGHER THAN THE OVERALL HEIGHT FOR LOADS THAT ARE 12 FEET AND UNDER IN WIDTH AND 6 INCHES HIGHER THAN THE OVERALL HEIGHT FOR LOADS THATARE GREATER THEN 12 FEET IN WIDTH. THE GROSS VEHICLE WEIGHT AS STATED ABOVE DOES NOT EXCEED HIGHWAY AND/OR STRUCTURAL WEIGHT POSTINGS ON ANY ROUTES, AND THAT THE SURVEY HAS BEEN PERFORMED NO EARLIER THAN ONE MONTH PRIOR TO THE MOVE. HIGHWAYS OTHER THAN THOSE UNDER THE JURISDICTION OF THE STATE OF NEW YORK DEPARTMENT OF TRANSPORTATION WILL BE SHOWN FOR ROUTING CONTINUITY, BUT APPEARANCE OF ROUTES OTHER THAN THOSE UNDER THE JURISDICTION OF THE STATE OF NEW YORK DEPARTMENT OF TRANSPORTATION DOES NOT CONSTITUTE PERMISSION OR AUTHORIZATION FOR THEIR USE.

CERTIFIED BY:
COMPANY NAME:
(if a DBA, name of DBA and owner/legal entity)
COMPANY ADDRESS:
COMPANY PHONE NUMBER: \(\qquad\) )

NAME:
(name of individual signing survey)
SUBMISSION OF OR OPERATION ON A ROUTE SURVEY FOUND NOT TO BE LEGITIMATE BY ANY LAW ENFORCEMENT OFFICER ORDEPARTMENT OF TRANSPORTATION EMPLOYEE ORASURVEY NOTACTUALLYPERFORMED ON THE DATE SHOWNABOVE, WILL RESULT \(\operatorname{IN}\) REVOCATION OF THE EXISTING SPECIAL HAULING PERMIT AND MAY RESULT IN SANCTIONS AGAINST THE COMPANY THAT HAS CERTIFIED THE ROUTE. IF THE VEHICLE CANNOT OPERATE IN THE RIGHT HAND LANE, ATTACHALIST OF LOCATIONS WHERE THE VEHICLE MUST CHANGE LANES OR GO INTO THE OPPOSING TRAFFIC LANES. IF THE VEHICLE MUST GOTHE WRONG WAY ONANY ROADWAY, NAME THE ROADWAY, PROVIDE MAP OF THE ROADWAY LOCATIONAND MUNICIPALITY IN WHICH ROADWAY IS LOCATED.

\section*{SPECIAL HAULING PERMIT}

\section*{VEHICLE CONFIGURATION ATTACHMENT SHEET}

This form should only be used with PERM 39-2 if more than one traller is to be attached to the power unit, which will result in different axle spacing, manufacturers tire ratings and/or axle weights.

PLEASE PRINT OR TYPE


The Vehicle Configuration Attachment Sheet (PERM 39-4) is used as an attachment sheet for Monthly, Annual \& Blanket Special Hauling Permit (PERM 39-2). It is used for listing more than one trailer to the power unit which results in a different tractor trailer configuration. Up to a maximum of four different tractor trailer configurations per form are allowed. If more than a total of 5 combinations for the same power unit is desired, attach additional Vehicle Configuration Attachment Sheets (PERM 39-4).

\section*{POWER UNIT}

ST/JUR: List the State the power unit is registered in. This will be the same power unit that appears on the form PERM 39-2.
LICENSE NO.: The vehicle license plate number of the power unit.

\section*{VEHICLE CONFIGURATION}

VEHICLE CONFIGURATION: Assign a sequential number to this combination for future use. Your first combination appears on form PERM 39-2.

TRAILER LICENSE NO.: List the license plate number of the trailer in this combination.
GROSS WT: This will be filled in based on your configuration by the person processing the application. DO NOT WRITE IN THIS AREA.

AXLE WEIGHTS: List the axle weights for each axle, starting with the steering axle of the power unit.
TIRE RATING: List the total tire rating for the axie in question. Tire ratings are listed on the side of the tire in both single and dual capacity. For any axle with one tire on each side of the axle use the single rating and multiply it by 2 ( 2 total tires on the axie). For any axle with 2 tires on each side of the axle use the dual rating and multiply it by 4 ( 4 total tires on the axle). List the total weight which you have calculated for each axle. AXLE WEIGHTS ARE NOT'ALLOWED TO EXCEED TOTAL TIRE RATINGS FOR THAT AXLE.

AXLE SPACINGS: List the spacing from CENTER OF AXLE TO CENTER OF AXLE, starting with spacing from the steering axle of the power unit.

SPECIAL HAULING PERMIT

\section*{ADDITIONAL TRAILER ATTACHMENT FORM}

This form should only be used with PERM 39-2 for multiple trailers with the same configuration. (See Reverse Side)

PLEASE PRINT OR TYPE


\section*{INSTRUCTIONS}

The Additional Trailer Attachment Form (PERM 99) is used as an attachment sheet for Monthly. Annual \& Blanket Special Hauling Permits (PERM 39-2). It is used for listing more than one trailer to the power unit where the axle weights, tire ratings and axle spacings are all identical. If more space is needed for additional trailers for the same power unit, attach additional Trailer Attachment Forms (PERM 99).

\section*{POWER UNIT}

ST/JUR: List the State the power unit is registered in. This will be the same power unit that appears on the form PERM 39-2.
LICENSE NO.: The vehicle license plate number of the power unit.

\section*{VEHICLE CONFIGURATION}

VEHICLE CONFIGURATION: Complete this section for the combination of vehicles if the combination is different than that on the form PERM 39-2, as a second configuration. IF THE VEHICLE CONFIGURATION IS IDENTICAL TO THAT SHOWN ON PERM 39-2 AND YOU ARE JUST ADDING TRAILERS WHICH WILL PRODUCE THE SAME INFORMATION, COMPLETE THE TRAILER INFORMATION ONLY.

GROSS WT: This will be filled in by the State.
AXLE WEIGHT: List the axle weights for each axie. starting with the steering axle of the power unit.
TIRE RATING: List the total tire rating for the axie in question. Tire ratings are listed on the side of the tire in both single and dual capacity. For any axle with one tire on each side of the axle use the single rating and multiply it by 2 (2 total tires on the ax(e). For any axle with 2 tires on each side of the axle use the dual rating and multiply it by 4 ( 4 total tires on the axie). List the total weight which you have calculated for each axle. AXLE WEIGHTS ARE NOT ALLOWED TO EXCEED TOTAL TIRE RATINGS FOR THAT AXLE.

AXLE SPACINGS: List the spacing from CENTER OF AXLE TO CENTER OF AXLE, starting with spacing from the steering axle of the power unit.

\section*{TRAILER INFORMATION}

TRAILER NUMBER: This is the number which you assign for each trailer for identification.
TRAILER LICENSE NO: List the license plate number of the trailer(s) which you are marrying to the power unit shown on PERM 39-2.

New York State Transportation Federation

\section*{Divisible Load Overweight Permits}

\section*{About us}

Transportation Federation
Bridge Authority
Dept. of Transportation Thruway Authority

\section*{Unfied Permiting Unified Permitting Initiative}

About the Unified Permitting Initiative

\section*{PevimitigMexs}

Last Updated: 09/20/05

\section*{Other Atgences}

The following agencies also have special requirements for moving OverSize or OverWeight loads over their facilities:
- New York City DOT
- MTA Bridges and Tunnels
- Port Authority of NY/NJ
- Peace Bridge
- Niagara Falls Bridge Commission
- Ogdensburg Bridge and Port Authority

\section*{Oher thks}
- Department of Motor Vehicles
- Department of Taxation and Finance
- New York State Police
- MTA
- FHWA Vehicle Sizes and Weights
- USDOT Federal Motor Carriers Administration (FMCSA)
- Safety and Fitness Electronic Records System (SAFER)

Insurance
- PERM 3 - Indemnification Agreement between Municipality and NYS DOT [Letter]̨́
- PERM 17 - Certificate of Insurance for Special Hauling, Divisible Load, Hwy V [Letter] 11/05

\section*{New Applications}
- PERM 61 - Application for Divisible Load Overweight Permit - Permit Option I [Lє 09/04
- PERM 92 - Lottery Application for Divisible Load Overweight Permit [Legal] 09/0

Amendments
- PERM 64 - Amendment to Divisible Load W Overweight Permit [Legal] 09/04

Trailer Attachments
- PERM 63 - Trailer Attachment Form - Application for Divisible Load Overweight \(P_{\epsilon}\) - Option I [Legal] 09/04
- PERM 79 - Trailer attachment option II [Legal] 2/01
- PERM 93 - Trailer Attachment Option I or II [Legal] 09/04

\title{
INDEMNIFICATION AGREEMENT BETWEEN A MUNICIPALITY AND THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION FOR SPECIAL HAULING PERMITS, DIVISIBLE LOAD OVERWEIGHT PERMITS, AND/OR RESTRICTED VEHICLE PERMITS
}

This Agreement is made this \(\qquad\) day of \(\qquad\) 20 \(\qquad\) , pursuant to the provisions of Part 154, Title 17, Official Compilation of Codes, Rules and Regulations of the State of New York, by and between the People of the State of New York, all municipal subdivisions thereof except the party of the second part, and the Commissioner of the New York State Department of Transportation, the New York State Thruway Authority, the State Bridge Authority and their officials, officers, agents and employees, parties of the first part, acting through and between the Commissioner of Transportation or his designee ("DOT") and \(\qquad\) , party of the second part ("Municipality").

WHEREAS, \(\qquad\) (Name of Municipality) intends to apply for and receive permits pursuant to subpart 154-1 and/or subpart 154-2 of Title 17 of the official compilation of codes, rules and regulations of the State of New York, from the Department of Transportation for the operation of certain of its vehicles; and

WHEREAS, the Commissioner of Transportation has determined that this agreement will protect the interests of the People of the State of New York to the same extent as protective liability insurance coverage;

NOW, THEREFORE, the parties hereto do agree as follows:
1. \(\qquad\) (Name of Municipality), in lieu of obtaining protective liability insurance coverage with respect to such permits, hereby agrees to assume the risk of, and to release, indemnify, protect, defend and save harmless the parties of the first part and/or all employees of the party of the first part from and against any and all claims, demands, actions, settlements, awards and judgements for such loss, injury, death or damage and any cost or expense in connection therewith caused by or arising out of the issuance and/or use of such permits.
2. The party of the second part hereby agrees to indemnify and save harmless the parties of the first part and/or all employees of the parties of the first part from all liability of claims, demands and costs for or arising out of the issuance and/or use of such permits or the acceptance of such permits, whether caused by negligence of the part of the second part or by anyone acting by, through or for the party of the second part or caused by negligence, including omissions and supervisory acts, of the parties of the first part.
3. Any payments required by the foregoing will be guaranteed by the full faith and credit of the party of the second part, or its districts, as applicable, and will be paid out of current budgeted funds, or if insufficient, from its liability and casualty reserve fund or from the proceeds of bonds which current laws permit the party of the second part to issue to pay claims against it.

IN WITNESS WHEREOF, the State has caused this instrument to be signed by the Commissioner of Transportation or his designee and the party of the second part has caused this instrument to be signed by as authorized by Resolution of its , a copy which is annexed hereto.

\section*{Date}

New York State Department of Transportation

Date
Municipality

Address: \(\qquad\)

Telephone No.: \(\qquad\)

Attach Copy of Resolution

\section*{DEPARTMENT OF TRANSPORTATION}

\section*{VEHICLE TYPE FOR DIVISIBLE WEIGHT LOAD PERMITS}




\section*{APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT}
（THIS FORM SUPERCEDES ALL PREVIOUS VERSIONS OF THE PERM 61）
To move a vehicle or combination of vehicles on highways under the jurisdiction of the N．Y．S．Department of Transportation exceeding the weight as specified in Section 385 of the N．Y．S．Vehicle and Trafic Law．

NOTE：The Department makes no representation that it will issue a permit until the Commissioner is satisfied the applicant is entitled to a permit，and until all documentation required is submitted and deemed to be satisfactory．If this application is not submitted on the most recent version or found to be illegible，incorrect，incomplete or lacks required supporting documentation，a \(\$ 50\) administrative handling fee shall be charged and the application will be returned．

\section*{APPLICANT INFORMATION}

1）NYSDOT ACCOUNT No． \(\qquad\) 2）US DOT NO． \(\qquad\) 3）FEDERAL EMPLOYEE I．D．NO．（FEIN or SSN）

4）LEGAL NAME OF BUSINESS ENTITY
（Name and addfess as it appears on PERM 17 and curent Motor Vehicle Registration）
－Check if Name Change

5）ADDRESS

6） PHONE （Exi）ATTENTION＿—＿（Name of person to be contacted concerring this application）
\begin{tabular}{|c|c|c|}
\hline 7）VEHICLE TYPE（See PERM 68a） & \multicolumn{2}{|c|}{－－} \\
\hline 8）IS THIS A TRUCK WITH A PUP TRAILER？ & YESD & NO： \\
\hline 9）PERMIT TYPE（S）（See PERM 69）（Circle） 1 1A & 22 A & 6B789 \\
\hline 10A）CLASS OF PERMIT：STANDARD－SANI－VAN & 1 －A & TURAL \\
\hline 10B）TRACTOR TRAILER OPTION（See PERM 69）： & Optio & Option 11 口 \\
\hline 11）IS THIS A PERMIT TRANSFER？YES ם
IF YES，PERMIT NUMBER & \[
\begin{array}{r}
\text { NO } \\
\times P, \text { DAT }
\end{array}
\] & \\
\hline
\end{tabular}

\section*{POWER UNIT}

17）YEAR \(\qquad\) MAKE \(\qquad\)
18）VIN
19）IS THIS AN IRP REGISTERED VEHICLE？NO 口 YES 口 BASE STATE／PROVINCE \(\qquad\)
20）LICENSE PLATE NO． \(\qquad\)
FEES
12）BASE PERMIT FEE \(\$\)
13）ADDITIONAL PERMIT TYPE FEES \(\$\)
14）ADDITIONAL TRAILER FEE \＄ \(\qquad\)
15）TOTAL FEE ENCLOSED \＄ \(\qquad\)
16）CHECK OR M．O．NUMBER \(\qquad\)
\(\qquad\)

\section*{TRAILER}

25）TOTAL NUMBER OF TRAILERS \(\qquad\)
TRALLER NO． 1
26）YEAR \(\qquad\) MAKE \(\qquad\)
27）VIN
28）BASE STATE／PROVINCE \(\qquad\)

21）REGISTRATION EXPIRATION DATE \(\qquad\)
29）LICENSE PLATENO．
30）REGISTERED WEIGHT OF PUP TRAILER（if applicable） \(\qquad\)
31）LESSER OF GVWR or TOTAL of GAWRs（as certified） \(\qquad\)
32）TRAILER LENGTH（INCHES） \(\qquad\)
24）ARE LIFT AXLE AIR PRESSURE CONTROLS LOCATED OUTSIDE THE CAB OF THE POWER UNIT AND BEYOND THE REACH OF OCCUPANTS WHILE THE POWER UNIT IS IN MOTION？YES I NO I
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline \multicolumn{2}{|l|}{AXLE DATA} & & & & & \\
\hline AXIE & LIFTABLE AXLE（S）： & GROSS AXLE WEIGHT RATING （GAWR） & NO． OF TIRES & \begin{tabular}{l}
SMALLEST \\
TIRE WIDTH （INCHES）
\end{tabular} & TIRE RATING （MAX．LOAD IN POUNDS） & \[
\begin{gathered}
\text { SPACING } \\
\text { BETWEEN AXLES } \\
\text { (INCHES) }
\end{gathered}
\] \\
\hline 1 & \(\square\) & & \(\underline{\square}\) & & & \\
\hline 2 & \(\underline{\square}\) & & － & & & \\
\hline 3 & \(\underline{\square}\) & & － & & & \\
\hline 4 & － & & － & ————— & & \\
\hline 5 & － & & － & － & － & \\
\hline 6 & \(\underline{\square}\) & & \(\square\) & \(\underline{\square}\) & & \\
\hline 7 &  & \(\longrightarrow\) & \(\underline{\square}\) & － & & \\
\hline 8 & & & & & & \\
\hline
\end{tabular}

\section*{AFFIRMATION}

False information attested to in this application is punishable as a Misdemeanor pursuant to the Penal Law and／or may result in the revocation or suspension of the permit．Accordingly，and with notice of the foregoing，I hereby affirm that the foregoing statements of fact are true，under penalty of perjury．Furthermore，l affirm that prior to operating this vehicle undera permit load the vehicle＇s registration will be changed to the permitted weight．

By \(\qquad\) （Date）

MAIL THIS APPLICATION TO：
NEW YORK STATE
DEPARTMENT OF TRANSPORTATION CENTAL PERMIT OFFICE
50 W OLF ROAD， \(1^{\text {sT }}\) FLOOR， ALBANY，NEW YORK 12232

FOR INFORMATION，CALL（888）783－1685

\section*{INSTRUCTIONS}

This form, PERM 61 APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT, supercedes all previous versions of this form and must be used to 1)renew or transfer an expired Downstate permit, 2) transfer a Statewide permit that has been expired less than 4 years within the same account or 3) transfer any permit from one account to another as part of the sale or transfer of a permit holder's business. To transfer a permit to another account, documentation must be submitted which clearly demonstrates that 1) the permit holder's business has been legally sold or transferred, 2) the permitted vehicles (identified by year, make and VIN) are part of the transaction and 3) the permitted vehicles actually changed hands. If documentation submitted does not completely satisfy these requirements, no transfer canbe allowed. A PERM 17 CERTIFICATE OF INSURANCE mustbe on file with the N.Y.S. Department of Transportation before this application can be approved. This form must be legibly typed or hand printed in blue or black ink except that the signature of the authorized representative must be handwritten. Staple all supporting documentation to the application with payment firmly attached to the front of the application.

\section*{APPLICANT}
1) NYSDOT Account Number: This number will be assigned by N.Y.S. Department of Transportation upon issuance of first permit. If this number has been established by pricr permit, it must be supplied on application.
2) US DOT Number: This number is issued by the Federal Motor Carrier Safety Administration (Form MCS-150) for interstate carriers or N.Y.S. Department of Transportation Passenger \& Freight Safety Division (Form MCS-150 NY) for intrastate carriers..
3) Applicant's Federal I.D. Number or Social Security Number: The Federal employer identification number (FEIN) or social security number (SSN) assigned to the motor carrier operation by the Internal Revenue Service.
4) Legal Name of Business Entity: The legal name of the business entity (i.e., corporation, partnership or individual) that owns/controls the motor cartier operation. Must match exactly the name on the PERM 17 CERTIFICATE OF INSURANCE and the vehicle's registration (except for lessees of leased vehicles with exclusive leasing arrangement exceeding 30 days). (Note: The name on registrations for all permitted power units under this account must match exactly the name of the account established with the N.Y.S. Department of Transportation for these permits.) If Name Change is checked, legal dacumentation of such change must be provided.
5) Address: Address (including ZIP code) of person or company that appears on PERM 17 CERTIFICATE OF INSURANCE and the vehicle's registration Indicate if this is an Address Change. A new PERM 17 CERTIFICATE OF INSURANCE must also be submitted to reflect an address change.
6) Phone: Phone number, including area code, and name of person who should be contacted concerning this application.
7) Vehicle Type: Select the lype of vehicle which best depicts the vehicle to be permitted from the form PERM 68a Vehicle Types for Divisible Load Weight Permits.
8) Truck with a pup trailer: This is a single unit vehicle (example: tri-axle dump truck) with a trailer attached by a pintle hook. (example: dump pup trailer or a pup trailer used for logs, etc.).
9) Permit Type(s): Select from the form PERM 69 Types of Divisible Load Weight Permits which type(s) of permit(s) you are applying for.

10A) Class of Permit: Divisible Load Overweight Permits are classified into 3 groups: Standard, authorizes travel on all STATE highways. Sani-Van (rear compaction refuse trucks), authorizes travel on ALL. highways. Agricultural (seasonal permit), authorizes travel on all STATE highways for 4 consecutive months. STATE highways shall mean all N.Y.S. and Intersiate highways under the jurisdiction of the N.Y.S. Department of Transportation Commissioner. 10B) Tractor Trailer Option: Option I allows a marriage of unlimited trailers of various axle spacings, GAWRs, different tire size and number of axles to one power unit. Oplion il allows a marriage of unlimited frallers when Axle Data is IDENTICAL for all combinations.
11) Is this a permit transfer?: If yes, enter permit number and expiration date of permit. The Grandfather Rights Certificate and active permit must be submitted with this application..

\section*{FEES}
12) Base Permit Fee: Select from form PERM 69 Types of Divisible Load Weight Permits. If applying for more than one type, this fee is the highest of the permit types requested.
13) Additional Permit Type Fee(s): Add \(\$ 65\) for each additional Permit Type added.
14) Trailer Fee: The fee is \(\$ 20.00\) per trailer (excluding the first trailer) for Option I and \(\$ 10.00\) per trailer for Option 11 for all trailers.
15) Total Fee Enclosed: This is the sum of lines 12 thru 14.
16) Check or M.O. Number: List the check or money order number and make payable to N.Y.S. DEPARTMENT OF TRANSPORTATION. Write on check or money order "Divisible Load" and the NYSDOT Account Number.

\section*{POWER UNIT (Truck or Tractor)}
17) Year and Make: Year and make of the power unit.
18) VIN: Vehicle identification number of power unit.
19) IRP Registered Vehicle?: Motor carriers having apportioned vehicles registered under the International Registration Plan (IRP) must check yes. Indicate the Base State or Province the power unit is registered in. If IRP regislered, New York State must be designated as one of the jurisdictions. If not IRP registared, the power unit must be registered in New York State. A copy of the power unit's current vehicle registration cab card must be submitted. 20) License Plate No: Vehicle license plate number. A copy of the power unit's current vehicle registration must be submitted.
21) Registration Expiration Date: The day, month and year the vehicle registration expires, i.e., 03 JAN 04 . The power unit's vehicle registration must be in effect at the time the permit becomes effective.
22) Power Unit GVWR: The manufacturer's gross vehicle weight rating for the power unit. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications.
23) Requested Permit Weight - Enter weight desired or indicate "maximum allowed." Note: maximum permitted weight may be less than requested. Prior to operating the permitted vehicle under a permit load, the vehicle registration must be changed to match the permitted weight. Failure to change the registration weight nullifies the permit, and may result in the permit's seizure, suspension or revocation.
24) Are lift axle air pressure controls located outside cab of the power unit and beyond the reach of occupants while the power unit is in motion?: Indicate yes or no by placing an \(X\) in appropriate box. This is a requirement for Type 9 permits and model years 2006 and newer.

\section*{TRAILER}
25)Total Number of Trailers: The total number of trailers to be separately married to the power unit for this application. If more than one trailer, use form PERM 63 Trailer Altachment Form (Trailer Option 1) for trailers of varying tire size, length, or capacity; or form PERM 93 Traller Attachment Form (Trailer Option I or II) and form PERM 79 Additional Traller Attachment Form (Trailer Option II) for trailers that are of the same configuration.
26)Year and Make: Year and make of trailer.
27) VIN: Vehicle identification number of trailer.
28)Base State/Province: Indicate the Base State or Province the trailer is registered in and enclose a copy of the registration. The Base State or Province of the trailer's registration must be either the same as the power unit's registration OR New York State.
29) License Plate No: License plate number on the trailer. A copy of the trailer's current vehicle registration must be submitted.
30) Registered Weight of Pup Trailer: As shown on motor vehicle registration.
31) Lesser of GVWR or Total of GAWRs: Enter the lesser of the trailer's GVWR or the sum of each of the gross axde weight ratings (GAWR) for the trailer. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications.
32) Trailer Lenglt: List the length of the trailer (in inches) from front to rear face, excluding any tongue length. The maximum length allowed is 576 inches ( 48 feet). Exception for Type 2 or 2A permits, the maximum length allowed is 480 inches ( 40 feet).

\section*{AXLE DATA}
- Liftable Axle(s): Indicate any liftable axies by placing an X on the appropriate line. Are all liftable axles steerable or trackable? When applying for a Type 9 permit with this vehicle combination or applying for a permit with a power unit model year 2006 or newer, all liftable axles must be steerable or trackable. In such instances, indicate yes or no by placing an \(X\) in the appropriate box. In all other inslances, place an \(X\) in the N/A box.
- Gross Axle Weight Rating: List the gross axle weight rating (GAWR) for each axle, starting with the steering axie of the power unit. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications. - Number of Tires: List the number of tires on each axie.
- Number of Tires: List the number of tires on each axie.
- Smallest Tire Width: List the width of the smallest tire on each axle IN INCHES. Example: an \(11.00 \times 24\) tire would be listed as 11 iNCHES.
- Tire Rating: List the tire rating as the maximum load in pounds as stated by the tire manufacturer. This rating is on the sidewall of the tire. If there are only 2 tires on an axle, use the single tire rating. If there are 4 tires on an axle, use the dual rating.
- Spacing Between Axies: List the spacing IN INCHES from CENTER OF AXLE TO CENTER OF NEXT AXLE. Example: 144 would go on the first line if from center of axte one (steering axie) to center of axie two (first drive axle of power unit) is 144 INCHES.

NOTE: A permit (except Sani-Van permii) issued by NYSDOT does not authorize operation at permit weights over local roads, and roadways under the jurisdiction of the New York State Thruway, New York City or Any Bridge or Tunnel Authority. You must check with these jurisdictions regarding their acceptability for use on their highways.

\title{
LOTTERY APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT
}
(Statewide Permit Types 1, 1A, 7, and 9 only)
(THIS FORM SUPERCEDES ALL PREVIOUS VERSIONS OF THE PERM 92)
To move a vehicle or combination of vehicles on highways under the jurisdiction of the N.Y.S. Department of Transportation exceeding the weight as specified in Section 385 of the N.Y.S. Vehicle and Traffic Law.

NOTE: The Department makes no representation that it will issue a permit until the Commissioner is satisfied the applicant is entitled to a permit, and until all documentation required is submitted and deemed to be satisfactory. If this application is not submitted on the most recent version or found to be illegible, incorrect, incomplete or lacks required supporting documentation, a \(\$ 50\) administrative handling fee shall be charged and the application will be returned.


\section*{INSTRUCTIONS}

This form, PERM 92 LOTTERY APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT, supercedes all previous versions of this form and must be used to apply for a new Statewide Permit (Types 1, 1A, 7 and 9 only) for a vehicla that has never been permitted by the applicant or has been previously permitted but whose permit has expired by more than four (4) years. A PERM 17 CERTIFICATE OF INSURANCE must be on file with the N.Y.S. Department of Transportation before this application can be approved. This form must be legibly typed or hand printed in blue or black ink except that the signalure of the authorized representative must be handwritten. Staple all supporting documentation to the application with payment firmly attached to the front of the application.

\section*{APPLICANT}
1) NYSDOT Account Number: This number will be assigned by N.Y.S. Department of Transportation upon issuance of first permit. If this number has been established by prior permit, it must be supplied on application.
2) US DOT Number: This number is issued by the Federal Motor Carrier Safety Administration (Form MCS-150) for interstate carriers or N.Y.S. Department of Transportation Passenger \& Freight Safety Division (Form MCS-150 NY) for intrastate carriers..
3) Applicant's Federal I.D. Number or Social Security Number: The Federal employer identification number (FEIN) or social security number (SSN) assigned to the motor carrier operation by the Internal Revenue Service.
4) Legal Name of Business Entity: The legal name of the business entity (i.e., corporation, parinership or individual) that owns/controls the motor carrier operation. Must match exactly the name on the PERM 17 CERTIFICATE OF INSURANCE and the vehicle's registration (except for lessees of leased vehicles with exclusive leasing arrangement exceeding 30 days). (Note: The name on registrations for all permitted power units under this account must match exacly the name of the account established with the N.Y.S. Department of Transporiation for these permits.) If Name Change is checked, legal documentation of such change must be provided.
5) Address: Address (including ZIP code) of person or company that appears on PERM 17 CERTIFICATE OF INSURANCE and the vehicle's registration. Indicate if this is an Address Change. A new PERM 17 CERTIFICATE OF INSURANCE must also be submitted to reflect an address change.
6) Phone: Phone number, including area code, and neme of person who should be contacted concerning this application.
7) Vehicle Type: Select the type of vehicle which best depicts the vehicie to be permitted from the form PERM 68a Vehicle Types for Divisible Load Weight Permits.
8) Truck with a pup trailer: This is a single unil vehicle (example: tri-axle dump truck) with a trailer attached by a pintle hook. (example: dump pup trailer or a pup trailer used for logs, etc.).
9) Permit Type(s): Select from the form PERM 69 Types of Divisible Load Weight Permits which type(s) of permil(s) you are applying for.

10A) Class of Permit: Divisible Load Overweight Permits are classified into 3 groups: Standard, authorizes travel on all STATE highways. Sani-Van (rear compaction refuse trucks), authorizes travel on ALL highways. Agricultural (seasonal permit), authorizes travel on all STATE highways for 4 consecutive months. STATE highways shall mean all N.Y.S. and Interstate highways under the jurisdiction of the N.Y.S. Department of Transportation Commissioner. 10B) Tractor Trailer Option: Option lallows a marriage of unlimited trailers of various axle spacings, GAWRs, different tire size and number of axles to one power unit. Option II allows a marriage of unlimited trailers when Axde Data is IDENTICAL for all combinations.
11) Indicate whether the vehicle on this application has been permitted in your account within the last four years. If yes, indicate the permit number and enclose a copy of the permit.

\section*{FEES}
12) Base Permit Fee: Select from form PERM 69 Types of Divisible Load Weight Permits. If applying for more than one type, this fee is the highest of the permit types requested.
13) Addilional Permit Type Fee(s): Add \(\$ 65\) for each additional Permil Type added.
14) Trailer Fee: The fee is \(\$ 20.00\) per traiter (excluding the first trailer) for Option 1 and \(\$ 10.00\) per trailer for Option II for all trailers.
15) Total Fee Enclosed: This is the sum of lines 12 thru 14.
16) Check or M.O. Number: List the check or money order number and make payable to N.Y.S. DEPARTMENT OF TRANSPORTATION. Write on check or money order "Divisible Load" and the NYSDOT Account Number.

\section*{POWER UNIT (Truck or Tractor)}
17) Year and Make: Year and make of the power unit.
18) VIN: Vehicle identification number of power unit.
19) IRP Registered Vehicle?: Motor carriers having apportioned vehicles registered under the International Registration Plan (IRP) must check yes. Indicate the Base State or Province the power unit is registered in. If IRP registered, New York Stale must be designated as one of the jurisdictions. If not IRP registered, the power unit must be registered in New York State. A copy of the power unit's current vehicle registration cab card must be submitted. 20) License Plate No: Vehicle license plate number. A copy of the power unit's current vehicle registration must be submitted.
21) Registration Expiration Date: The day, month and year the vehicle registration expires, i.e., 03 JAN 04. The power unit's vehicle registration must be in effect at the lime the permit becomes effective.
22) Power Unit GVWR: The manufacturer's gross vehicle weight rating for the power unit. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications.
23) Requested Permit Weight - Enter weight desired or indicate "maximum allowed." Note: maximum permitted weight may be less than requested. Prior to operating the permitted vehicle under a permit load, the vehicle registration must be changed to match the permitted weight. Failure to change the registration weight nullifies the permit, and may result in the permit's seizure, suspension or revocation.
24) Are lift axle air pressure controls located outside cab of the power unit and beyond the reach of occupants while the power unit is in motion?: Indicate yes or no by placing an \(X\) in appropriate box. This is a requirement for Type 9 permits and model years 2006 and newer.

\section*{TRAILER}
25)Total Number of Trailers: The total number of trailers to be separately married to the power unit for this application. If more than one trailer, use form PERM 63 Trailer Attachment Form (Trailer Option I) for trailers of varying tire size, length, or capacity; or form PERM 93 Trailer Attachment Form (Trailer Option I or II) and form PERM 79 Additional Trailer Attachment Form (Trailer Option II) for trailers that are of the same configuration.
26) Year and Make: Year and make of trailer.
27) VIN: Vehicle identification number of trailer.
28)Base State/Province: Indicate the Base State or Province the trailer is registered in and enclose a copy of the registration. The Base State or Province of the trailer's registration must be either the same as the power unit's registration OR New York State.
29) License Plate No: License plate number on the trailer. A copy of the trailer's current vehicle registration must be submitted.
30) Registered Weight of Pup Trailer: As shown on motor vehicle registration.
31) Lesser of GVWR or Total of GAWRs: Enter the lesser of the Irailer's GVWR or the sum of each of the gross axie weight ratings (GAWR) for the frailer. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications.
32) Trailer Length: List the length of the trailer (in inches) from front to rear face, excluding any tongue length. The maximum length allowed is 576 inches ( 48 feet). Exception for Type 2 or 2A permits, the maximum length allowed is 480 inches ( 40 feet).

\section*{AXLE DATA}
- Liftable Axe(s): Indicate any liftable axles by placing an \(X\) on the appropiate line. Are all liftable axles steerable or trackable? When applying for a Type 9 permit with this vehicle combination or applying for a permil with a power unit model year 2006 or newer, all liftable axles must be steerable or trackable. in such instances, indicate yes or no by placing an \(X\) in the appropriate box. In all other instances, place an \(X\) in the N/A box.
- Gross Axle Weight Rating: List the gross axle weight rating (GAWR) for each axle, starting with the steering axle of the power unit. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submilted. See PERM 7 for acceptable forms of certifications. - Number of Tires: List the number of tires on each axle.
-Smallest Tire Width: List the width of the smallest tire on each axle IN INCHES. Example: an \(11.00 \times 24\) tire would be listed as 11 INCHES.
- Tire Rating: List the tire rating as the maximum load in pounds as stated by the tire manufacturer. This rating is on the sidewall of the tire. If there are only 2 tires on an axde, use the single tire rating. If there are 4 tires on an axle, use the dual rating.
- Spacing Between Axles: List the spacing IN INCHES from CENTER OFAXLE TO CENTER OF NEXT AXLE. Example: 144 would goon the first line if from center of axle one (steering axle) to center of axle two (first drive axle of power unit) is 144 INCHES.

NOTE: A permit (except Sani-Van permit) issued by NYSDOT does not authorize operation at permit weights over local roads, and roadways under the jurisdiction of the New York State Thruway, New York City or Any Bridge or Tunnel Authority. You must check with these jurisdictions regarding their acceplability for use on their highways.

\section*{AMENDMENT TO DIVISIBLE LOAD OVERWEIGHT PERMIT}

\section*{(THIS FORM SUPERCEDES ALL PREVIOUS VERSIONS OF THE PERM 64)}

NOTE: The Department makes no representation that it will issue a permit until the Commissioner is satisfied the applicant is entitled to a permit, and until all documentation required is submitted and deemed to be satisfactory. If this application is not submitted on the most recent version or found to be illegible, incorrect, incomplete or lacks required supporting documentation, a \(\$ 15\) administrative handling fee shall be charged and the application will be returned.


This form, PERM 64 AMENDMENT TO DIVISIBLE LOAD OVERWEIGHT PERMIT, supercedes all previous versions of this form and must be used in connection with an active Dlvislble Load Overweight Permit. An amendment form must be submitted for each vehtcle (or combination of vehicies) for which an amended permil is being requested. This form must be legibly typed or hand printed in blue or black ink except that the signature of the authorized representative must be handwilten. Staple all supporting documentation to the application with payment fimly attached to the front of the application.

\section*{APPLICANT INFORMATION}
1) NYSDOT Account Number: This number was assigned by N.Y.S. Department of Transportation upon issuance of first permit, and it must be supplied on this application.
2) US DOT Number, This number is issued by the Federal Motor Carrier Safety Administration (Form MCS-150) for interstate carriers or N.Y.S. Department of Transportation Passenger \& Frelght Safety Division (Form MCS-150 NY) for intrastate carriers..
3) Appicant's Federal I.D. Number or Social Security Number: The Federal employer identification number (FEIN) or social security number (SSN) assigned to the motor carrier operalion by the Intemal Revenue Service.
4) Legal Name of Business Entity: The legal name of the business entity (i.e, corporation, parinership or individual) that owns/controls the motor carrier operation. Must match exactly the name on the PERM 17 CERTIFICATE OF INSURANCE and the vehicle's registration (except lessees of leased vehicles wilh exclusive leasing arrangement exceeding 30 days). (Nole: The name on registrations for all permitted power units under this account must match exactly the name of the account established with the N.Y.S. Department of Transportation for these permits.) If Name Change is checked, legal documentation of such change must be provided.
5) Address: Address (including ZIP code) of person or company that appears on PERM 17 CERTIFICATE OF INSURANCE and the vehicle's registration. Indicate if this is an Address Change. A new PERM 17 CERTIFICATE OF INSURANCE must also be submitted to reflect an address change.
6) Phone: Phone number, including area code, and name of person who should be contacted concerning this application.

LICENSE PLATE CHANGES (If additional space is required, iist on a separate sheet of paper.)
\(\square\) Power Unit Plate No.: If you have changed plates on your power unit, list old and new plate numbers.
- Trailer Plate No.: If you have changed plates on your irailer unit, list old and new plate numbers.

\section*{REPLACEMENT VEHICLE}
\(\square\) Replacing Power Unit: Check this box if replacing the power unit. All active permits for this power unit and the Grandfather Rights Certificate must be attached. Enter Vehicle Type of new power unit (see PERM 68).
\(\square\) Is this a Sani Van?: Indicate if this is a rear compaction garbage truck.
\(\square\) Replacing Trailer Unit: Check this box if replacing the frailer unit. Attach all active permits which list this trailer, List the license plate number of all trallers being replaced.
\(\square\) Adding Trailer(s): List the number of trailers being replaced and their old license plate numbers. A pup trailer is a trailer attached to the power unit by a pintle hook. Indicate yes if any of the additional trailers is a pup trailer, and no if you are adding no pup trailers.
\(\square\) Removing Trailer(s): Indicate how many trallers you are removing in the space provided. Attach all active permits which list this trailer. List the license plate numbers of the trailers being removed. If additional space is required, list on a separate sheet of paper.

\section*{EXISTING VEHICLE}
\(\square\) GAWR Rating: check this box if one or more GAWRs have changed due to a modification, List the axle number(s) to which the changes apply
Number of Tires: check this box if changing the number of tires on an axle. List the axle number(s) to which the changes apply.
\(\square\) Addifional Axdes: check this box if adding an axle.
\(\square\) Additional Permit Type: check this box to obtain additional type(s) of permit(s) during the active permit period.
- Permittee Name Change (same owner); If you have changed the name on your vehicle registration of your company or changed from a private individual to a company and ownership has not changed, check this box. Legal copies of corporate or company showing principal holder must accompany this amendment.
- GWWR Rating: check this box if the GWWR has changed due to a modification.

Tire Size: check this box if changing a tire size on one or more axles. List the axle number(s) to which the changes apply.
Tire Raling: check this box if changing a tire rating on one or more axles. List the axle number(s) to which the changes apply.
Spacing Between Axles: check this box if you are changing the spacing between axles.
Duplicate Permit/Grandfather Rights Cert: check the appropriate box only for lost or destroyed permils/Grandfather Rights Certificates. Must indicate reason for request.
\(\square\) Other Use this space to list any other changes to the original permit.

\section*{FEES}

The base fee for an amendment is \(\$ 50\). Amendmenl fee is waived if submitted with Renewal (at time of Renewal). Fee for a request for Duplicate pemit or Duplicate Grandfather Rights Certificate is \(\$ 25\). If requesting a duplicate, cross out \(\$ 50\) and enter \(\$ 25\).
Addtional Trailer Fee: The fee is \(\$ 20.00\) per trailer (excluding the first trailer) for Option I and \(\$ 10.00\) per trailer for Option II for all trailers.
- Addtional Trailer Fee: The fee is \(\$ 20.00\) per trailer (excluding the first trailer) for Opion and
- Check or M.O. Number: List the check or money order\#, make payable to N.Y.S. Department of Transportation. Write "Divisible Load" and NYSDOT Acct. No. as memo.

\section*{POWER UNIT (Truck or Tractor)}
7) Year and Make: Year and make of the power unit.
8) VIN: Vehicle identification number of power unit.
9) IRP Registered Vehicle?: Motor carriers having apportioned vehicles registered under the International Registration Plan (IRP) must check yes. Indicate the Base State or Province the power unit is registered in. If IRP registered, New York State must be designated as one of the jurisdictions. If not IRP registered, the power unit must be registered in New York State. A copy of the power unit's current vehicle registration cab card must be submitted.
10) License Plate No: Vehicle license plate number. A copy of the power unit's current vehicle registration must be submitted.
11) Registration Expiration Date: The day, month and yearthe vehicle registration expires, i.e., 03 JAN 04 . The power unit's vehicle registration must be in effect al the time the permit becomes effective.
12) Power Unit GVWR: The manufacturer's gross vehicle weight rating for the power unit. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceplable forms of certifications.
13) Requested Permit Weight - Enter weight desired or indicale "maximum allowed." Nole: maximum permitted weight may be less than requested. Prior to operating the permitted vehicle under a permit load, the vehicle registration must be changed to match the permitted weight. Failure to change the registration weight nullifies the permit, and may result in the permit's seizure, suspension or revocation.
14) Are lift axie air pressure controls located outside cab of the power unit and beyond the reach of occupants while the power unit is in motion?: Indicate yes or no by placing an \(X\) in appropriate box. This is a requirement for Type 9 permils and model years 2006 and newer.

\section*{TRAILER}
15)Total Number of Trailers: The total number of trailers to be separately married to the power unit for this application. If more than one trailer, use form PERM 63 Trailer Attachment Form (Traller Option I) for trailers of varying tire size, length, or capacily; or form PERM 93 Trailer Attachment Form (Trailer Option I or II) and form PERM 79 Additional Trailer Attachment Form (Traller Option II) for trailers that are of the same configuration.
16) Year and Make: Year and make of trailer.
17) VIN: Vehicla identification number of traller.
18)Base State/Province: Indicate the Base State or Province the trailer is registered in and enclose a copy of the registration. The Base State or Province of the trailer's registration must be either the same as the power unit's registration OR New York State.
19) License Plate No: License plate number on the trailer. A copy of the trailer's current vehicle registration must be submitted.
20) Registered Weight of Pup Trailer: As shown on motor vehicle registration.
21) Lesser of GVWR or Total of GAWRs: Enter the lesser of the trailer's GVWR or the sum of each of the gross axle weight ratings (GAWR) for the trailer. Proof of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications.
22) Trailer Length: List the length of the trailer (in inches) from fronl to rear face, excluding any tongue length. The maximum lengit allowed is 576 inches ( 48 feet). Exception for Type 2 or 2A permits, the maximum length allowed is 480 inches ( 40 feet).

\section*{AXLE DATA}
- Liftable Axle(s): Indicate any liftable axles by placing an \(X\) on the appropriate line. Are all liftable axles steerable or trackable? When applying for a Type 9 permit with this vehicle combination or applying for a permit with a power unit model year 2006 or newer, all liffable axles must be steerable or trackable. In such instances, indicate yes or no by placing an \(X\) in the appropriate box. In all other instances, place an \(X\) in the N/A box.
- Gross Axle Weight Raling: List the gross axle weight rating (GAWR) for each axle, starting with the steering axle of the power unit. Proof of the manufacturer's cerlification of gross axde weight ratings and gross vehide weight rating must be submilted. See PERM 7 for acceptable forms of certifications.
- Number of Tires: List the number of tires on each axde.
- Smallest Tire Width: List the width of the smallest tre on each axle IN INCHES. Example: an \(11.00 \times 24\) tire would be listed as 11 INCHES.
- Tire Rating: List the tire rating as the maximum load in pounds as stated by the tire manufacturer. This rating is on the sidewall of the tire. If there are only 2 tires on an ade, use the single tire rating. If there are 4 tires on an axle, use the dual rating.
- Spacing Between Axles: List the spacing IN INCHES from CENTER OF AXLE TO CENTER OF NEXT AXLE. Example: 144 would go on the first line if from center of ade one (steering axle) to center of axde two (first drive axle of power unii) is 144 INCHES.

\section*{NEW YORK STATE} DEPARTMENT OF TRANSPORTATION

\section*{TRAILER ATTACHMENT FORM (TRAILER OPTION I)}

This form is used when more than one trailer is married to a power unit.


\section*{INSTRUCTIONS}

This form PERM 63 TRAILER ATTACHMENT FORM (TRAILER - OPTION I) is used as an attachment sheet for forms PERM 92 LOTTERY APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT, PERM 64 AMENDMENT TO DIVISIBLE LOAD OVERWEIGHT PERMIT or PERM 61 APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT. It is used for listing an unlimited number of trailers to be married with the same power unit as that on forms PERM 61, PERM 64 or PERM 92.

\section*{POWER UNIT IDENTIFICATION}
(The Power Unit information must be the same as that appears on forms PERM 61, PERM 64 or PERM 92.)
YEAR: Enter the year and make of the power unit.
MAKE: Enter the year and make of the power unit.
VIN: Enter the vehicle identification number of the power unit.

\section*{POWER UNIT AND TRAILER AXLE DATA}

\section*{For Trailers only}

TRAILER NUMBER: List the number of this trailer and the total of all trailers to be permitted with this power unit, e.g., 1 of 3,2 of 3 , etc.
TRAILER ID: For applicant's own use in identifying trailers.
YEAR AND MAKE: Enter the year and make of the trailer unit.
VIN: Enter the vehicle identification number of the trailer as listed on vehicle registration.
LICENSE PLATE NUMBER: Enter the trailer license plate number. A copy of the trailer's current vehicle registration must be submitted.

BASE STATE/PROVINCE: Enter the State or Province the trailer is registered in. The State or Province the trailer is registered in must be the same as power unit's registration OR New York State.

REGISTERED WEIGHT OF PUP TRAILER: As shown on motor vehicle registration.
LESSER OF GVWR or TOTAL OF GAWRs: Enter the lesser of the trailer's GVWR or the sum of each of the gross axle weight ratings (GAWR) for the trailer. A copy of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications.

TRAILER LENGTH: Enter the length of the trailer (in inches) from front to rear face, excluding any tongue length. The maximum length allowed is 576 inches ( 48 feet). Exception: for Type 2 or 2A permits, the maximum length allowed is 480 inches ( 40 feet).

\section*{For both Power Unit and Trailers}

LIFTABLE AXLE(S): - Indicate any liftable axles by placing an \(X\) on the appropriate line. Are all liftable axles steerable or trackable? When applying for a Type 9 permit with this vehicle combination or applying for a permit with a power unit model year 2006 or newer, all liftable axles must be steerable or trackable. In such instances, indicate yes or no by placing an \(X\) in the appropriate box. In all other instances, place an \(X\) in the N/A box.

GROSS AXLE WEIGHT RATING (GAWR): Enter the GAWR for each axle, starting with the steering axle of the power unit.
NUMBER OF TIRES: Enter the number of tires on each axle.
SMALLEST TIRE WIDTH: Enter the width of the smallest tire on each axle IN INCHES. Example: an \(11.00 \times 24\) tire would be listed as 11 INCHES.

TIRE RATING: Enter the tire rating as the maximum load IN POUNDS as stated by the tire manufacturer. This rating is on the sidewall of the tire. If there are only 2 tires on an axle, use the single tire rating. If there are 4 tires on an axle, use the dual rating.

SPACING BETWEEN AXLES: Enter the spacing IN INCHES from CENTER OF AXLE TO CENTER OF NEXT AXLE. Example: 144 would go on the first line if the distance from center of Axle No. 1 (steering axle) to center of Axle No. 2 (first drive axle of power unit) is 144 INCHES.

ADDITIONAL TRAILER ATTACHMENT FORM APPLICATION FOR DIVISIBLE LOAD WEIGHT PERMIT PERMIT OPTION II
\begin{tabular}{|c|c|c|c|c|c|}
\hline TRAILER NUMBER & YEAR & MAKE & & TRAILER GVWR & VEHICLE IDENTIFICATION NO. \\
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\hline & & & & & \\
\hline & & ENGTH & \(\underline{-}\) & 48 FEET & MUM) (576 inches) \\
\hline \multicolumn{3}{|l|}{AFFIRMATION} & \multicolumn{3}{|c|}{POWER UNIT} \\
\hline \multicolumn{3}{|l|}{False statements made in the foregoing application are punishable as a Misdemeanor pursuant to the Penal Law. Accordingly, and with notice of the foregoing. I hereby atfirm that the foregoing statements of fact are true, under penalty of periury.} & & \begin{tabular}{l}
KE \(\qquad\) \\
ATE NO.
\(\qquad\)
\end{tabular} &  \\
\hline
\end{tabular}

\section*{INSTRUCTIONS}

This form is to be used for the listing of information on trailers that are to be married to the power unit under Permit Option II.
THIS OPTION CAN ONLY BE USED IF ALL OF THE FOLLOWING ARE IDENTICAL: NUMBER OF AXLES, GAWR, NUMBER OF TIRES, TIRE SIZE, TIRE RATING, SPACING BETWEEN AXLES, AND LENGTH OF TRAILER.

TRAILER NO.: List number of trailer. Example: \#1, 2, 3, etc.
YEAR: List the year of the trailer unit.
MAKE: List the make of the trailer unit.
LICENSE PLATE NO.: List the license plate number of the trailer.
TRAILER GVWR: The gross vehicle weight rating for the trailer. Send a copy of the manufacturer's certification of the gross axles and gross trailer weight ratings.
VEHICLE IDENTIFICATION NO.: List the vehicle identification number as shown on your registration.
TRAILER LENGTH: The length of the trailer (in inches) from front to rear face, excluding any tongue length. (ALL TRAILERS MUST BE THE SAME LENGTH FOR OPTION II.) MAXIMUM LENGTH: 48 FEET.

\section*{POWER UNIT}

YEAR AND MAKE: List the year and make of the power unit to which these trailers are attached. LICENSE NO.: List the license plate number of the power unit to which these trailers are attached. VIN \#: List the vehicle identification number of the power unit to which these trailers are attached.

\section*{TRAILER ATTACHMENT FORM (TRAILER OPTION I or II)}

This form is used as an attachment sheet for forms PERM 61, PERM 64 and PERM 92; it is used for listing multiple trailers with IDENTICAL Axle Data.

PRINT OR TYPE
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \multirow[t]{2}{*}{\begin{tabular}{l} 
POWER UNIT IDENTIFICATION \\
YEAR \\
\hline
\end{tabular}} & \multicolumn{7}{|c|}{AXLE DATA} \\
\hline & \(\xrightarrow{\text { AxLE }}\) No. & \[
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& \text { AXLE(S)* }
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& \text { RATING (GAWR) } \\
& \hline
\end{aligned}
\] & \[
\begin{gathered}
\substack{\text { NO. } \\
\text { TIRES }}
\end{gathered}
\] & \begin{tabular}{l}
\(\underset{\substack{\text { Smallest } \\ \text { TIRE }}}{ }\) \\
WIDTH
\end{tabular} & tire rating (MAX LOAD IN POUNDS) & SPACING AXLES (INCHES \\
\hline MAKE & 1 & - & - & - & - & - & \\
\hline VIN & 2 & \(\underline{\square}\) & - & - & - & - & \(\cdots\) \\
\hline & 3 & \(\underline{+}\) & - & - & - & & \(\cdots\) \\
\hline & 4 & \(\underline{\square}\) & - & - & - &  & - \\
\hline & 5 & - & & - & & & \(\cdots\) \\
\hline & 6 & \(\underline{ }\) & & - & & & - \\
\hline & 7 &  & & & & & \(\cdots\) \\
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& \text { TLR } \\
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\end{aligned}
\] & TLR ID & YEAR & MAKE & LICENSE PLATE NO. & BASE STATE/ PROVINCE & VIN & LESSER of GVWR or TOTAL of GAWRs \\
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\end{tabular}

\section*{INSTRUCTIONS}

This form PERM 93 TRAILER ATTACHMENT FORM (TRAILER OPTION I or II) is used as an attachment sheet for forms PERM 92 LOTTERY APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT, PERM 64 AMENDMENT TO DIVISIBLELOAD OVERWEIGHT PERMIT or PERM 61 APPLICATION FOR DIVISIBLE LOAD OVERWEIGHT PERMIT. It is used for listing multiple trailers with IDENTICAL Axle Data; i.e., when ALL of the following are identical: number of axles, number of tires, GAWR, tire size, tire rating, spacing between axles and length of trailer.

\section*{POWER UNIT IDENTIFICATION}
(The Power Unit information must be the same as that appears on forms PERM 61, PERM 64 or PERM 92.)
- YEAR: Enter the year and make of the power unit.
- MAKE: Enter the year and make of the power unit.
- VIN: Enter the vehicle identification number of the power unit.

\section*{AXLE DATA}
- LIFTABLE AXLE(S): - Indicate any liftable axles by placing an \(X\) on the appropriate line. Are all liftable axles steerable or trackable? When applying for a Type 9 permit with this vehicle combination or applying for a permit with a power unit model year 2006 or newer, all liftable axles must be steerable or trackable. In such instances, indicate yes or no by placing an \(X\) in the appropriate box. In all other instances, place an \(X\) in the N/A box.
- GROSS AXLE WEIGHT RATING (GAWR): Enter the GAWR for each axle, starting with the steering axle of the power unit.
- NUMBER OF TIRES: Enter the number of tires on each axle.
- SMALLEST TIRE WIDTH: Enter the width of the smallest tire on each axie \(\mathbb{N} \operatorname{INCHES}\). Example: an \(11.00 \times 24\) tire would be listed as 11 INCHES.
- TIRE RATING: Enter the tire rating as the maximum load IN POUNDS as stated by the tire manufacturer. This rating is on the sidewall of the tire. If there are only 2 tires on an axle, use the single tire rating. If there are 4 tires on an axle, use the dual rating.
- SPACING BETWEEN AXLES: Enter the spacing IN INCHES from CENTER OF AXLE TO CENTER OF NEXT AXLE. Example: 144 would go on the first line if the distance from center of Axle No. 1 (steering axle) to center of Axle No. 2 (first drive axle of power unit) is 144 INCHES.

TRAILER NUMBER: List the number of the trailer(s) to be permitted with this power unit, e.g., \(1,2,3\), etc.
TRAILER ID: For applicant's own use in identifying trailers.
YEAR: Enter the year of the trailer unit.
MAKE: Enter the make of the trailer unit.
VIN: Enter the vehicle identification number of the trailer as listed on vehicle registration.
LICENSE PLATE NUMBER: Enter the trailer license plate number. A copy of the trailer's current vehicle registration must be submitted.

BASE STATE/PROVINCE: Enter the State or Province the trailer is registered in. The State or Province the trailer is registered in must be the same as power unit's registration OR New York State.

LESSER OF GVWR or TOTAL OF GAWRs: Enter the lesser of the trailer's GVWR or the sum of each of the gross axle weight ratings (GAWR) for the trailer. A copy of the manufacturer's certification of gross axle weight ratings and gross vehicle weight rating must be submitted. See PERM 7 for acceptable forms of certifications.

TRAILER LENGTH: Enter the length of the trailer (in inches) from front to rear face, excluding any tongue length. The maximum length allowed is 576 inches ( 48 feet). Exception: for Type 2 or \(2 A\) permits, the maximum length allowed is 480 inches ( 40 feet).

NOTE: Use form PERM 79 ADDITIONAL TRAILER ATTACHMENT FORM when additional space is required.

New York State Transportation Federation

\section*{Permiting News}

\section*{About Us}

Transportation Federation
Bridge Authority
Dept. of Transportation Thruway Authority

Unffed Permiting Unified Permitting Initiative

About the Unified
Permitting Initiative

\section*{Peximing News}

Last Updated: 09/20/05

\section*{Other Agencies}

The following agencies also have special requirements for moving OverSize or OverWeight loads over their facilities:
- New York City DOT
- MTA Bridges and Tunnels
- Port Authority of NY/NJ
- Peace Bridge
- Niagara Falls Bridge Commission
- Ogdensburg Bridge and Port Authority

\section*{pthenltnks and}
- Department of Motor Vehicles
- Department of Taxation and Finance
- New York State Police
- MTA
- FHWA Vehicle Sizes and Weights
- USDOT Federal Motor Carriers Administration (FMCSA)
- Safety and Fitness Electronic Records System (SAFER)

A list of the contents of the newsletter is provided. [PDF Format]

\section*{09/20/05 - Changes In Window Policies For Walk-In Customers}

07/27/05 - NYSDOT Permitting News
1. R-Posted Bridges
2. 2006 Model Year Vehicles
3. Type 9 Permits ( 7 Axle \(-117,000\) pounds)

04/29/05 - NYSDOT Permitting News
1. Restrictions on crossing R-Posted Bridges extended to all vehicles operating purs to a Divisible Load Overweight Permit beginning January 1, 2005.
2. Ammended Blanket Waiver issued allowing Divisible Load customers to cros: Posted Bridges until July 31, 2005 affording the industry additional time to modify operations.
3. New Streamlined Hardship Waiver Process established to enable selected Divi: Load Overweight vehicles to cross R-Posted Bridges when it has been found ts safe.
4. An update of the work done to date on the R-Pposted Bridge Hardship Program next steps.
5. New Posted Bridges website established

\section*{09/20/04 - NYSDOT Permitting News}

\section*{Changes to Divisible Load Permit Statute}
1. Annual Cap Increased from 17,000 to 25,000 Power Units
2. New Infra-Structure Friendly (7 Axle) Vehicles allowed at Gross Weights L \(117,000 \mathrm{lbs}\).
3. Infra-Structure Friendly Vehicle configurations are now Required for 2006 Newer Model Year Vehicles
4. Effective January 1, 2005, all Divisible Load Overweight Permitted Vehicles Prohibited from Crossing R-Posted Bridge
5. Changes to Type 2 Permit
6. Phase out of Type 6A Permit and creation of new Type 6B permit
7. Greater Flexibility is now provided for the Transfer of "Grandfathered" Per between businesses in the Lower Hudson Valley and Long Island
8. Authorizes DOT's Commissioner to Conduct Hearings Regardng the Revoct of Any Permit
9. Permits Seized by Law Enforcement Officers are to be promptly Returned tc Permit Issuing Authority
10. A New Penalty Schedule is established for Overweight Permit Violat Outside of New York City
11. Outside of New York City the Weight (Including Scale) Tolerance is Low (from 10\% to 7\%) for when an overweight permit is Deemed Voided for Purpose of Determining the Fine
12. Fees Change for Type 1A Permit[s]

2004 Divisible Load Permits Legislation Guidance for Customers
Statewide Permits
- New Type 9 Permit

Phase In of Infra-Structure Friendly Vehicles Statewide
- Model Year 2006 or Newer Vehicles


Contact Is
Questions on NYS
Permits?
Contact the Permit Team
We encourage your feedback on this site. Contact the Webmaster
- Model Year 2005 and Older Vehicles

\section*{Downstate Permits}
- Type 2 Permits
- Type 6A Permits
- Type 6B Permits

06/18/04 - NYSDOT News
1. Tickets for Permit Violations are Not Issued by DOT Employees
2. Procedural Changes for Divisible Load Program
3. Cambridge Systematics Hires 3 Additional Employees for Special Hauling Unit

\section*{05/04/04-NYSDOT News}
1. DOT Moves over the May \(7^{\text {th }}, 8^{\text {th }}\) and \(9^{\text {th }}\) Weekend
2. Allow One Week Processing Time for Special Hauling Permits
3. Contacting the Permit Office
4. Special Hauling Requirements to Move Manufactured/Modular Homes
5. Note to Customers of Transmission Service Companies
6. Information for Power Unit Lottery Applicants

04/09/04 - NYSDOT News
1. Special Hauling - Return Trips
2. Special Hauling - Posted Bridges or Route
3. Special Hauling/Divisible Load - Upgrades of Posted Bridges
4. Divisible Load - Applicant/Registraton
5. Divisible Load - Lottery Process
6. Special Hauling/Divisible Load - Staffing Enhancements Site Index | Home

\title{
PERMITTING NEWSLETTER
}

\author{
July 27, 2005
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\section*{1. R-POSTED BRIDGES}

Blanket Waiver Extended: NYSDOT will extend the current Blanket Waiver which allows vehicles operating pursuant to Divisible Load Overweight Permits at weights up to 102,000 pounds to cross any R-Posted bridge with the exception of 12 Bridges statewide. Further information will be available within the next few days on the posted bridges website www.travelinfony.com/postedbridges/ and the general permitting website www.nypermits.org. In extending the waiver NYSDOT is also suspending the current Waiver Request Program. Efforts are underway to simplify the overall program and focus prohibitions on those bridges of greatest concern.

\section*{2. 2006 MODEL YEAR VEHICLES}

Draft Consensus Rule Proposed: A proposal has been drafted by NYSDOT to clarify the new law regarding the phase in of Infrastructure Friendly Vehicle requirements. It has been shared with industry association leaders for comment. If a consensus is reached the rule can be fast tracked through the State regulatory process which will expedite when the rule would take effect. The proposed consensus rule will enable Divisible Load Overweight Permits to be issued to combinations of vehicles that marry 2006 model year or newer vehicles with 2005 or older model year vehicles. When a 2006 or newer power unit is married to a 2005 or older model trailer only the power unit would need to comply with the Infrastructure Friendly Vehicle requirements of the law. Conversely, when a 2006 or newer model year trailer is married to a 2005 or older power unit, only the trailer need comply with the Infrastructure Friendly Vehicle requirements. This position should address concerns raised by various industry association leaders which surfaced this Spring when 2006 model year tractors and trailers began to be delivered.

\section*{There are four Infrastructure Friendly Vehicle Requirements in the law:}
1. All lift axles must be steerable or trackable;
2. Any air pressure controls for lift axles must be located outside the cab of the power unit and beyond the reach of occupants of the cab while the power unit is in motion;
3. All axles, other than steerable or trackable axles, must be equipped with two tires on each side of the axle; and,
4. All vehicles should be constructed to facilitate a uniform distribution of load within tandem, tridem, and quadem axle groupings, such that no axle in the grouping carries less than \(80 \%\) of the weight of any other axle in such grouping.

\section*{TYPE 9 PERMITS (7 Axle-117,000 lbs.)}

New Permit Type Being Issued: The first Type 9 statewide permits were issued July 6. This new permit type, which was enabled through legislation passed last year, allows NYSDOT to issue Divisible Load Overweight Permits up to 117,000 pounds for Infrastructure Friendly Vehicles with at least 7 Axles and a wheelbase of at least 43 feet. The guidelines for this new Permit Type will be published on the permits website within the next few weeks. Until then, customers contemplating investment in equipment to qualify for the new permit type are asked to contact the Central Permits Office through the permitting website at http://www.nypermits.org/per-quest2.shtml or call 1800 672-7107.```


[^0]:    DATE: Mar 21, 2006-1:10PM

[^1]:    * SUPERLOAD (PERM12S) required by NYSDOT for any vehicle or combination of vehicles which exceed 16 feet in width; or 16 feet in height or greater; or greater than 160 feet in length; or 200,000 lbs. or greater in GVW; or a combination of any of the above.

